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15 **TREE-RING BASED, REGIONAL-SCALE RECONSTRUCTION OF FLASH FLOODS IN**
16 **MEDITERRANEAN MOUNTAIN TORRENTS**

17

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31

32 **Abstract**

33

34 In small mountain catchments of the Mediterranean basin, heavy and intense rainfalls produce
35 frequent flash floods that can cause economic damage and even fatalities in the often densely
36 populated valley areas. To reduce future damage of such events, the application of mitigation
37 strategies aimed at hydrological control and disaster risk reduction is essential. However, to reach
38 this goal, flash flood frequency and magnitude need to be characterized in space and time. This task
39 is highly challenging in areas where existing records are either lacking, short or highly incomplete.
40 In poorly or completely ungauged catchments, tree-ring based flash flood reconstruction has proven
41 useful to overcome this limitation and is now considered a valuable, alternative approach to extend
42 flow records back in time. Tree-ring based reconstructions of past flash floods have been employed
43 widely in many different geographic contexts worldwide, but were less frequently used in
44 Mediterranean torrents, and not at all in Southern Italy so far. Here, we present a regional flash
45 flood reconstruction from five poorly gauged mountain catchments in Calabria (southern Italy) to

46 reconstruct both the timing and the magnitude of five extreme flash flood events of the last 60
47 years. We show that the return periods of these events ranged between 5 and 30 years based on
48 hydraulic (Manning's equation) and hydrological (rational formula) approaches. Validation of the
49 reconstructed discharge values was done by using direct water depth measurements (obtained from
50 a gauging station). Our results suggest that the combination of different methods allows a reliable
51 reconstruction of extreme hydrological events by easy-to-survey parameters in ungauged torrents of
52 Mediterranean environments. We also demonstrate that tree-ring approaches have the potential to
53 be applied in ungauged (or poorly gauged) Mediterranean mountain catchments as well so as to
54 improve records of the frequency and magnitude of past flash flood activity.

55

56 **Keywords:** Paleo-stage indicators; ungauged headwater; catchment hydrology; semi-arid
57 environment; extreme events; hydraulic approach.

58

59 **1. Introduction**

60

61 The small catchments of the Mediterranean semi-arid environment are repeatedly affected by
62 intense rainfalls capable of generating high and impulsive floods (so-called "flash floods").
63 Although originating in remote mountain areas, the disruptive effects of flash floods often
64 propagate to valley urban areas (Sabato et al., 2004; Bombino et al., 2007; 2008; Fortugno et al.,
65 2017). A flash flood is defined by high amounts of rainfall leading to a very rapid (flashy) runoff in
66 small catchments with a concentration time of few hours (Lóczy et al., 2012; Lucia et al., 2015).

67 In mountain streams characterized by high longitudinal gradients and concentration times of less
68 than 2 hours, flash floods can activate high water and sediment flows (Jarrett, 1990; Borga et al.,
69 2007; Gaume et al., 2009; Ruiz Villanueva et al., 2010; Hooke 2016). The sudden occurrence of
70 flash floods renders the process difficult to predict and favours the occurrence of important
71 economic and human losses (Gaume et al., 2009). Pappenberger et al. (2015) reported that flood
72 events occurred in Europe between 2002 and 2013 caused € 54 billion of losses either by direct
73 damage to goods and people or by indirect damage to the economic development of the affected
74 region following such events. As a result, the European Commission has issued the Flood Directive
75 (2007/60/EC) in 2007 in which she forces EU Member States to produce flood hazard and risk
76 maps.

77 However, this task is highly challenging due to the scarcity of flow records, particularly in regions
78 of southern and eastern Europe (Llasat et al., 2010). This lack of data often prevents proper design
79 and implementation of catchment management strategies, such as plans for the mitigation of
80 hydraulic risks (Enzel et al., 1993; Benito et al., 2004; Bodoque et al., 2015; Ballesteros-Canovas et
81 al., 2019).

82 The reconstruction of past floods in ungauged or poorly gauged watercourses could critically assist
83 risk mapping in areas subjected to floods of a certain magnitude (Garrote et al. 2018) and increase
84 the knowledge of "flooding history" (in particular, dates and discharge of past extreme floods, also
85 called "paleofloods"; Kochel and Baker 1982; Baker, 1983; Baker, 2008; Benito et al., 2003,
86 Wilhem et al., 2018; Speer et al., 2019). In the absence of such data, any probabilistic assessment,
87 aimed at estimating flood frequency-magnitude relations, remains difficult and poorly significant
88 due to the lack of any observed flows.

89 Extreme floods typically leave traceable evidence along their course both in the riverbed where the
90 morphology can be strongly modified and in riparian vegetation which is often damaged or even
91 completely destroyed by the flood (Gurnell and Petts, 2003; Surian and Rinaldi, 2003; Osterkamp
92 and Hupp, 2010; Hooke 2007). Scars on tree trunks induced by the impact of debris and wood
93 carried by a flood can be observed frequently along the active channel of a river. These signs
94 represent the most commonly observed botanical evidence (Yanosky and Jarret, 2002) of past flood
95 activities and are sometimes also defined as "paleostage indicators" (PSI; Baker et al., 2002; Jarrett
96 and England, 2002; Ballesteros et al., 2015a). PSI are fundamental for flood reconstruction as they
97 offer reliable estimates of past flood frequency (Harrison and Reid, 1967; Gottesfeld and
98 Gottesfeld, 1990; Zielonka et al., 2008; Ruiz-Villanueva et al., 2010) and/or magnitude (Sigafos,
99 1964; Yanosky and Jarrett, 2002; Ballesteros-Canovas et al., 2011a/b), provided that a relevant
100 approach for their survey and dating is available.

101 In the case of scars on trees, dendro-geomorphic approaches can be used to study interactions of
102 torrent hydrology and morphology during the occurrence of paleofloods with riparian vegetation
103 (Fortugno et al., 2017; Ballesteros-Canovas et al., 2015b); scars in trees have therefore been used in
104 different environments across the globe to reconstruct past floods, especially in Central and
105 Northern Europe and in North America (Sigafos and Hendricks, 1961; Sigafos, 1964; Helley and
106 LaMarche, 1973; Yanosky, 1982; Hupp, 1988; Jarrett and England, 2002; Stoffel et al., 2010;
107 Ballesteros Canovas et al., 2013; Šilhán et al., 2018; Victoriano et al., 2018). By contrast, the

108 approach has been applied much less frequently to Mediterranean torrents, and never so far in
109 southern Italy.

110 In this paper, we attempt to fill this gap by reconstructing paleofloods and peak discharge in five
111 mountain catchments (2 ungauged sites and 3 gauged sites with stream flow monitoring) of Calabria
112 (southern Italy). This analysis represents the first attempt to apply dendro-geomorphic method in
113 *fiumaras*, i.e. in streams that are typical of the semiarid Mediterranean environment of southern
114 Italy. We then compare peak discharge based on dendro-geomorphic approaches with those
115 obtained with Manning's equation (hereafter "hydraulic approach") and rational formula (hereafter
116 "hydrological approach"). In a last step, results are validated by direct comparison to water depths
117 measured by the available gauging stations in the three gauged catchments.

118

119 **2. Study areas**

120

121 The study was carried out in five mountain torrents in Calabria (southern Italy); two of these are
122 ungauged (Gallico, hereafter "GA"; Sant'Agata, "SA"), whereas three have a significant
123 observational record (Allaro, "AL"; Alli, "AI"; Melito "ME"), (Fig.1). The selection of the 5
124 catchments was guided by the presence/absence of gauging stations as well as by differences in
125 climate, i.e. temperate at GA and AL and semiarid at SA, AI and ME. These torrents, locally called
126 "*fiumara*", are short, ephemeral streams subjected to abundant autumn and winter rainstorms, with
127 subsequent flash floods mobilizing large amounts of sediments (Zema et al., 2018; Bombino et al.,
128 2019). The headwaters of these *fiumaras*, representative of mountainous watercourses of Calabria
129 and Sicily, exhibit perennial flow and steep longitudinal profiles (Fig. 2). Erosion is massive during
130 floods and allowed the formation of deep and narrow valleys with many floodplains in the
131 lowlands. Hillslopes are covered with well-developed riparian vegetation.

132

133 **Fig. 1.** Location and map of the five studied catchments with the relative land use

134

135 The SA, GA and AL torrents originate in the Aspromonte massif, whereas the AI and ME torrents
136 have their sources on the Sila plateau. All five torrents discharge into the Ionian Sea (Table 1); their
137 morphology can be classified as 'alluvial cascade' according to Montgomery and Buffington
138 (1997). From a climatic and environmental perspective, the five catchments belong to 3

139 microclimatic and geographic groups: GA and SA are located in the area of the strait of Messina and
 140 are influenced by the Tyrrhenian Sea with a hot Mediterranean climate and semiarid conditions
 141 (Csa, according to the Köppen classification), AL is influenced by the lower Ionian Sea with a warm
 142 Mediterranean climate and humid conditions (Csb), whereas ME and AI are controlled by the
 143 central Ionian Sea with a hot Mediterranean climate and arid conditions (Csa).

144

145 **Table 1.** Main morphometric and climatic characteristics of the five catchments.

<i>Parameters</i>	<i>Catchments</i>					
	<i>Ungauged</i>		<i>Gauged</i>			
	<i>Gallico¹</i>	<i>S. Agata²</i>	<i>Allaro³</i>	<i>Melito⁴</i>	<i>Alli⁵</i>	
<i>Morphometry</i>						
Area (km ²)	55.5	61.0	130.34	157.44	128.33	
Latitude	38°10'N	38°05' N	38°26'N	39°03N	39°06'N	
Longitude	15°47'E	15°45'E	16°21'E	16°29'E	16°30'E	
Maximum altitude (m a.s.l.)	1770	1610	1407	1322	1454	
Mean altitude (m a.s.l.)	704	893	713	858	708	
Mean slope (%)	26	29	31	25	38	
Length of main stream (km)	26	24	30	23	17	
Mean slope of the main stream (%)	7.24	6.67	16	12	20	
<i>Climate</i>						
Annual rainfall (mm)	Mountain reach	1613	1381	1802	1242	1455
	Valley reach	763	621	963	767	798
Annual temperature (°C)	Mountain reach	9.9	11.1	11.4	9.0	9.0
	Valley reach	18.2	18.2	17.9	18.4	18.4

146 Notes: 1) Mountain reach: rainfall / temperature station, Gambarie - 1350 m a.s.l.; valley reach: rainfall / temperature station, Catona
 147 - 50 m a.s.l.; 2) Mountain reach: rainfall / temperature station, Gambarie - 1350 m a.s.l.; valley reach: rainfall / temperature station,
 148 Reggio Calabria - 30 m a.s.l.; 3) Mountain reach: rainfall / temperature station, Mongiana - 920 m a.s.l.; valley reach: rainfall /
 149 temperature station, Caulonia - 298 m a.s.l.; 4) Mountain reach: rainfall / temperature station, Albi -742 m a.s.l.,; valley reach:
 150 rainfall / temperature station, Catanzaro Lido - 40 m a.s.l.

151

152 Geology consists of metamorphic rocks (mostly micaschists, amphibolites, phyllites, quartzites and
153 granitic gneisses) and Pleistocene gravels. Land use consists mostly of forest with shrublands,
154 natural grassland and natural forests dominated by *Fagus sylvatica* L., *Abies alba* subsp. *apennina*,
155 as well as reforestation by *Pinus nigra* ssp. *laricio*. Riparian vegetation inside the active channel is
156 dominated by *Alnus glutinosa* L., *Alnus cordata* (Loisel.), *Salix caprea* L, *Fraxinus ornus* L. and
157 *Populus tremula* L., whereas the shrub layer is composed primarily by *Cytisus scoparius* L., *Cytisus*
158 *villosus* and *Spartium junceum* L.

159 The catchments host the city of Reggio Calabria and some villages with an overall permanent
160 population of about 190,000 (SA and GA catchments), 8,340 (AL) and 106,108 (AI and ME)
161 inhabitants. These populations have suffered repeatedly from destructive floods in 1911, 1929,
162 1953, 1971, 1996, and 2000, and by more than 100 smaller events recorded in newspaper articles.
163 Despite the number and magnitude of floods in the early 20th century, systematic and continuous
164 measurements of flood events only started in the 1970s (Caloiero et al., 1980).

165

166 **Fig. 2.**Characteristic views of the mountain reaches of the Gallico (a), Sant’Agata (b), Allaro (c),
167 Melito (d) and Alli (e) catchments.

168

169 **3. Methodology**

170

171 Dating of past floods and estimation of their peak discharge was undertaken through the integration
172 of dendro-geomorphic, hydrological and hydraulic analyses (Ballesteros Canovas et al., 2011a;
173 2011b; Yanosky and Jarrett, 2002). To this end, the following working steps have been undertaken
174 (Fig. 3): (i) sampling and analysis of PSIs left on trees by past floods; (ii) collection and processing
175 of historical rainfall series; (iii) analysis of relationships between PSIs and the most important
176 rainfall parameters; (iv) location and characterization of stable cross-sections showing PSIs; (v)
177 peak discharge estimation using two independent hydraulic and hydrological approaches; (vi)
178 validation of the dendro-geomorphic method in the gauged catchments.

179

180 3.1 Sampling and analysis of PSI left by past flash floods

181

182 PSIs were selected by field surveys for each section of the five headwaters. Evident PSIs used in
183 this study included scars, tilted trees, equally aged trees, sprouts from tilted parent trees and
184 represent the result of the impact of rocks and wood carried by the floods (Baker et al., 2002; Benito
185 et al. 2004). We carefully excluded marks which could have been inflicted by processes other than
186 hydrological, such as rot, landslide, and/or rockfall (Ballesteros et al., 2011 a,b; Zielonka et al.,
187 2008). PSIs were identified and georeferenced with a Trimble JUNO GPS.

188 Cross-sections of trees bearing PSI evidence, were cut from dead stumps, whereas increment cores
189 and wedges containing scars were taken from living trees (Arno and Sneek, 1977). After species
190 identification and positioning of trees on the map, cross-sections and wedges were transported to
191 the lab, air dried and then polished by a belt sander (Zielonka et al., 2008). Increment cores were
192 first glued on wooden supports, and then air-dried and polished as well. Wounds were located in the
193 tree-ring record and dated to the year (Yanosky, 1982; Zielonka et al., 2008) with a
194 stereomicroscope (Stoffel and Bollschweiler, 2008). To this end, we used a visual approach based on
195 skeleton plots to crossdate the dendrochronological curves of samples taken from each PSI (Wigley
196 et al. 1987; Fig 3).

197

198 3.2 Collection and processing of rainfall historical series

199

200 Meteorological information was collected from rainfall gauge stations located as close as possible
201 to the torrents. The selected stations included Gambarie d'Aspromonte for GA and SA, Albi for AI
202 and ME, and Mongiana for AL; all stations are located within the catchments for which their data
203 was used. Rain gauge records span the period 1918–2010, from which we extracted the maximum
204 24-hour ($h_{max,24}$) and critical depths (h_{tc}) indicators – i.e. the rainfalls with duration equal to the
205 catchments' concentration time (t_c) – are factors commonly used to represent the main
206 meteorological triggers.

207

208 3.3 Analysis of relationships between the PSIs and the rainfall parameters

209

210 All PSIs detected in the field were dated so as to relate their dates with heavy rainfall events causing
211 a flood. Moreover, the relationship between the number of PSIs, $h_{max,24}$ and h_{tc} was evaluated with a
212 linear regression analysis by selecting four timewindows, namely 1951-1965, 1966-1980, 1981-
213 1995, and 1996-2010.

214

215 3.4 Identification and location of stable cross-sections showing PSIs

216

217 In the reaches of the investigated catchments, we identified "stable" cross-sections (i.e. section with
218 unchanged width and depth over the years) with PSIs (Kochel and Baker, 1988; Benito and
219 O'Connor, 2013). For each cross-section (Fig. 3), we acquired topography and bathymetry
220 (Sigafos 1964; Ballesteros-Canovas et al., 2011a; 2011b) with a total station (Pentax R-400)
221 according to Zema et al. (2018) and Bombino et al. (2019). Water discharge was assumed as a
222 slightly variable between the upstream and downstream extreme sections, due to the lack of any
223 tributaries.

224

225 3.5 Peak discharge estimation

226

227 In the mountain reaches of the catchments, channel geometry was measured at the level of stable
228 cross-sections and the expected maximum water depth of floods was identified with the highest PSI.
229 On the basis of this assessment, we estimated peak discharge based on both hydraulic and
230 hydrological approaches (Fig. 3).

231 In a first step, we applied the "step-backwater" hydraulic approach (O'Connor and Webb, 1988;
232 Benito et al., 2003) in order to obtain peak flow values calculated from water depth, thereby
233 minimising differences between PSI heights and the expected water depth estimated by HEC-RAS
234 model (Hydraulic Engineering Center – River Analysis System, US Army Corps of Engineers,
235 2010). To this end, we used an iterative process to assign peak discharge whenever deviations
236 between the modelled water depth (hereafter h_{sim}) and PSI height (hereafter h_{PSI}) of a specific flood
237 event were minimal. Deviations were simulated considering the hypothetical impact depth of wood
238 or cobble transported by the flood under three "flood stage" scenarios (S_{min} : minimum, S_{med} :

239 medium, and S_{max} : maximum) based on scar-height distributions observed on trees in the reach.
240 These scenarios are in fact, based on the statistical distribution ($S_{min} = 5^{th}$ percentile, $S_{med} = 50^{th}$, and
241 $S_{max} = 95^{th}$) of the observed deviations between scar heights and modelled water depths in the case
242 that all scars were fully submerged (meaning that the related discharge is indicated as Q_{sub} ;
243 Ballesteros et al., 2011a).

244 In a second step, we employed a hydrological approach to estimate peak discharge from maximum
245 rainfall depths recorded in the year to which the PSI was dated. This approach uses the most reliable
246 value of the discharge based on the rational formula (Chow 1964; Wang et al., 2012). Hydrological
247 models are usually used to estimate the peak discharge in cases for which flow data is not available
248 and/or unreliable, but where precipitation data is abundant (Garrote et al., 2018). Using the critical
249 rainfall depths recorded in a year in which PSI exist, the rational formula can thus be employed to
250 estimate maximum discharge in the catchment. The return period ($T=15$ years) was calculated with
251 the empirical frequency of exceedance of 4 extreme rainfall events according to Weibull-Hazen and
252 Gringorten methods (Weibull, 1951; Hazen, 1914; Gringorten, 1963). The empirical distribution
253 frequency was then compared to the EV1 Gumbell law of extreme values distribution type 1 for $h_r =$
254 t_c and $h_{max}=24h$. Precipitation records for the calculation of h_r were collected at the rain gauge
255 stations listed above, using a 30-year historical series of 1, 3, 6, 12, and 24-hour maximum rainfall.
256 Topographic information needed to calculate catchment area was derived from a 1-m resolution
257 DEM provided by the Italian Ministry of Environment. Runoff coefficients were estimated as the
258 weighted average of the individual C of each area with homogenous land use, soil texture, and slope
259 (Grillone et al., 2014). In a final step, we compared peak discharges as obtained by the different
260 independent approaches.

261

262 **Fig. 3.** Methodological flowchart used for reconstructing of peak discharge during past flood events
263 based on palaeostage indicators (PSIs) and damage in trees in stable cross-sections ($h_{PSI} =$ scar
264 heights; $h_{SIM} =$ HEC-RAS simulated water depth; $Sn_x =$ scenarios 1, 2, and 3; $Q_{max} =$ max. discharge
265 calculated with the rational formula).

266

267 *3.6 Validation of estimated peak discharges*

268

269 In the catchments of the AL, ME and AI torrents, direct measurements of a flood are available for
270 the event that occurred on December 31, 1972 and January 1, 1973 from the Regional Agency for
271 Environment Protection of Calabria (ARPACAL). These measurements were used for validation of
272 the different methods (Fig.4). We also checked for correspondence between the date of the 1972-73
273 flood and the age of the PSIs identified in the catchments.

274 PSIs heights were then compared with measured water depths. Statistical significance of differences
275 between mean PSI heights and water depths were analysed with the Shapiro-Wilk test (at p level
276 <0.05). To this end, we first applied the Shapiro–Wilk test to determine whether the population
277 from which the samples originated followed a normal distribution (i.e., standardized kurtosis and
278 standardized skewness).

279 Moreover, for each cross-section containing PSIs, topography and other characteristics such as bed
280 sediment grain size and vegetation were measured. A 1-m DEM of entire catchments was derived
281 from LiDAR data so as to improve the reliability of topographic surveys. Finally, historical
282 discharges of sampled floods were simulated with HEC-RAS. On the basis of the water levels
283 related to historical discharges (obtained by simulating past floods within the channel), average
284 widths of the cross-section were calculated in order to assess possible cross-section geometry
285 changes that might have occurred over the years.

286

287 **Fig. 4.** Methodological flowchart used for the validation of dendro-geomorphic method ($h_{SIM} =$
288 HEC-RAS simulated water depth; $Q_{max} =$ Max discharge calculated by rational formula)

289

290 **4. Results and discussion**

291

292 *4.1 PSIs characterization and rainfall series processing*

293

294 In the sub-reaches of the GA, SA, AL, AI and ME mountain catchments, a total of 55 stable cross-
295 sections were identified with ample evidence of past floods recorded in trees. Within these sections,
296 107 (GA), 123 (SA), 10 (AL and AI, respectively) and 8 (ME) trees showed PSIs that could be
297 linked to the impacts of rocks and woody material transported by past floods; a majority of PSIs
298 were found in *Alnus cordata*, *Alnus glutinosa*, *Salix caprea* and *Fraxinus ornus* trees. For sequencing

299 PSIs a cross dating technique was carried out in the five studied catchments. A total of six
300 sequences were found and an example is reported in the Figure 5.

301

302

303 **Fig. 5.** A sequences carried out by cross-dating technique applied in the five studied catchments

304

305 The dates of the highest $h_{max,24}$ and h_{tc} observed in the observation period (1951-2010) were then
306 compared with the dates of PSIs. The values obtained in years exhibiting the largest number of
307 flood marks (1971, 1972-73, 1996, 2000 and 2003) were then matched with maximum values (or
308 extreme events) seen in the hydrological variables (Fig. 6a, b and c).

309

310 **Fig. 6.** Rainfall depth (dashed and full lines are for $h_{max,24}$ = 24-hour maximum rainfall depth and h_{tc}
311 = critical rainfall depth value, respectively) and number of PSIs (bars) left by floods in reaches of
312 the (a) Gallico, (b) Allaro and (c) Alli /Melito catchments. Light grey bars highlight the extreme
313 events of 1971, 1972-73, 1996, 2000, and 2003.

314

315 A positive, but low correlation ($r^2 = 0.12$ for GA, $r^2 = 0.37$ for SA) was found regressing the
316 number of PSIs against the annual values of h_{tc} during the observation period (Fig. 7 - for
317 simplicity, Figures 7, 8 and 9 show three representative catchments, G, for the area of the Strait of
318 Messina, AL, for the lower Ionian Sea, and AI, for the central Ionia Sea). To identify partial
319 temporal trends, the entire period was split into 15-year time windows (1951-1965, 1966-1980,
320 1981-1995 and 1996-2010). High coefficients of determination were only found for the time
321 window 1996–2010 ($r^2 = 0.63$ for GA, 0.86 for SA, 0.88 for AL, 0.81 for AI, and 0.92 for ME,
322 respectively) (Fig. 7). By contrast, minimum values were found in the early period 1951–1965; ($r^2 =$
323 0.10 for GA, 0.40 for SA, 0.30 for AL, 0.35 for AI, and 0.09 ME, respectively) (Fig. 7 8); this
324 finding can likely be explained by the lower probability to observe visible scars as one goes back in
325 time. It is therefore, possible that we miss some events in the 1950s, which can influence correlation
326 analyses between paleoflood and precipitation time series (Ballesteros Canovas et al. 2018; Stoffel
327 et al., 2013). The coefficients of determination were slightly higher compared to those estimated for
328 h_{tc} , provided that the number of PSIs was correlated to $h_{max,24}$ recorded for each year ($r^2 = 0.49$ for
329 GA, 0.64 for SA, 0.33 for AL, 0.50 for AI, 0.36 for ME, respectively) for the period 1951–2010. In

330 this case, r^2 values of the four time windows progressively increased from 1951 to 2010 ($r^2 = 0.02$
331 for GA, 0.01 for SA, 0.38 for AL, 0.13 for AI, and 0.80 for ME, respectively for the period 1951–
332 1965 as compared to $r^2 = 0.74$ for GA, 0.87 for SA, 0.67 for AL, 0.63 for AI, and 0.63 for ME,
333 respectively for 1996–2010) (Fig. 7 8).

334

335 **Fig. 7.** Linear regressions between the number of PSIs and critical rainfall depth (h_{tc}) measured in
336 the Gallico, Allaro and Alli torrents for the time windows 1951–1965 and 1996–2010.

337

338 **Fig. 8.** Linear regressions between the number of PSIs and maximum 24-hour rainfall depth (h_{max}) at
339 Gallico, Allaro, and Alli torrents for the time windows 1951–1965 and 1996–2010.

340

341 4.2 Step-backwater and rational methods application

342

343 The estimation of peak discharge based on PSIs requires an *ex-ante* calculation of a peak discharge
344 that submerges all scars (Q_{sub} ; Ballesteros-Canovas, 2011a; 2011b). For the events of 1996, 2000,
345 and 2003, Q_{sub} values were in the range of 70.2–96.3, 25–50, 15–67, 11.4–34, and 14–41 $m^3 s^{-1}$ for
346 the GA, SA, AL, ME and, AI catchments, respectively (Fig. 9a–c). In line with Gottesfeld (1996),
347 Yanosky et al. (2002) and Ballesteros-Canovas et al. (2011a; b), we hypothesize that PSIs can be
348 located above or below the estimated flood level (Q_{sub}), such that, the use of a single value for the
349 reference value of Q_{sub} , can be questioned because scars can be inflicted to trees above (in the case
350 of woody debris) or below (rocks) the actual water table. The minimum peak discharge that
351 submerged all PSI (Q_{sub}) ranged between 19 and 32 $m^3 s^{-1}$ for SA and between 15 and 28 $m^3 s^{-1}$ in
352 the case of GA. In the case of AL, ME, and AI, values were 30, 35, and 70 $m^3 s^{-1}$, respectively.
353 Based on the aforementioned, we considered that water depth can be above (maximum scenario) or
354 below (minimum scenario) the actual flow level (Ballesteros et al., 2011a)

355

356 **Fig. 9.** Estimation of peak discharge submerging all PSIs (Q_{sub}) as obtained with a step-backwater
357 approach for the extreme floods that occurred in 1971, 1996, 2000, and 2003 in the Gallico (a) and
358 in 1972–73 in the Allaro (b) and Alli (c) torrents.

359

360 Deviations between PSI heights measured in the field and estimated flood depths were calculated
361 for the minimum, medium, and maximum scenarios for an expected discharge range for the extreme
362 floods of 1971, 1972-73, 1996, 2000, and 2003. The discharge variability between the S_{min} and S_{max}
363 scenarios (Table 2) was generally smaller than 10%. These calculations also provided the most
364 reliable peak discharge value, which, according to Ballesteros-Canovas et al. (2011a), was the
365 minimum value (defined by S_{med} , or the 50th percentile of the distribution of PSI deviations) of the
366 deviation for this range (Figs. 10-11). The estimated peak discharges had a return interval of 5–30
367 years (Table 2).

368

369 **Fig. 10.** Estimation of the most reliable peak discharge value (calculated for the three scenarios, S_{min} ,
370 S_{med} and S_{max} , of the step-backwater method) of extreme floods in Gallico torrent.

371

372 **Fig. 11.** Estimation of the most reliable peak discharge value (calculated for the three scenarios, S_{min} ,
373 S_{med} and S_{max} , of the step-backwater method) of the flood of 1971-7972 for the Allaro and Alli
374 torrents.

375

376 The reliability of this approach was checked by comparison with peak discharge values as obtained
377 with the rational method. We realize that differences between the approaches were negligible
378 overall (<6%), which supports the suitability of the dendro-geomorphic approach for reconstructing
379 peak discharge in small mountain catchments further (Table 2). Deviations between scar heights
380 and the water depth were between -0.05 and 1.27 m. A total of fourteen scars (50% of all cases)
381 were within a deviation of ± 0.2 m with an average deviation of scars of 0.14 m. Values obtained in
382 this study are comparable to those reported by Yanosky and Jarrett (2002), who found that almost
383 half of their scars were within ± 0.2 m. In the case of the AL, ME and AI torrents, deviations
384 between PSI heights and simulated flood water depths for the three scenarios were smallest for the
385 following range of peak discharges: 10–100 $\text{m}^3 \text{s}^{-1}$ (AL), 20–100 $\text{m}^3 \text{s}^{-1}$ (ME), and 40–150 $\text{m}^3 \text{s}^{-1}$ (AI)
386 (Fig. 11). For the S_{med} scenario, peak discharges corresponding to the minimum deviation are 26 m^3
387 s^{-1} (deviation of 1.9%; variance of 0.4 m, AL catchment), 55 $\text{m}^3 \text{s}^{-1}$ (deviation of 2.4%; variance of
388 0.60 m, ME), and 80 $\text{m}^3 \text{s}^{-1}$ (deviation of 1.9%; variance of 0.6 m, AI). Peak discharge of the flood
389 that occurred in 1972 can be estimated in a range from 20–80 $\text{m}^3 \text{s}^{-1}$ with an average deviation of
390 2.6% for the three gauged catchments (Table 2).

391 The noticeable presence of PSIs in the upstream reaches of the GA and SA *fiumaras* (and somewhat
 392 less in AL, ME and AI) allowed dating the occurrence of extreme past floods and estimation of their
 393 magnitude. It is well known that floods with a certain discharge may damage trees (due to the
 394 impact of the material carried by the flow) and, in some upstream reaches, noticeably change,
 395 besides channel morphology, riparian forest stand structures; as was the case at our study sites
 396 (Bombino et al., 2009; 2014). Availability of historical series of heavy precipitation events allowed
 397 correlating PSI age with occurrence years, during which heavy rainfalls presumably generated the
 398 largest floods. In the investigated catchments, a large number of PSIs was detected in juvenile trees;
 399 thereby confirming the unsteady equilibrium of riparian environments where large spatial and
 400 temporal variability of hydrological and related geomorphic processes cyclically alters riparian
 401 ecosystems, with subsequent regrowth.

402

403 **Table 2.** Peak flow estimation for the three scenarios (S_{min} , S_{med} and S_{max}) as obtained with the
 404 step-backwater and rational methods for the Gallico (GA), Sant'Agata (SA), Allaro (AL), Melito
 405 (ME), and Alli (AI) torrents.

406

Year	Catchment	Step-backwater method					Rational method
		S_{min}	S_{med}	S_{max}	T_d	T_d/T_r	$Q (m^3 s^{-1})$
		$Q (m^3 s^{-1})$			(years)	(-)	
1971	S. Agata	45	45,8	46,3	10	2	48,2
	Gallico	70,2	70,4	71,2	20	1	79,5
1972	Allaro	25,5	26,0	27,0	5	1	27,7
	Melito	53,0	55,0	58,0	10	1	55,2
	Alli	74,0	80,0	88,0	30	1	84,6
1996	S. Agata	35,9	40,8	41,4	10	2	40,6
	Gallico	85,2	85,7	85,9	30	0,8	87,2
2000	S. Agata	75,2	75,8	76,3	20	0,7	81,5
	Gallico	95,2	95,8	96,3	30	0,4	100,3
2003	S. Agata	50,3	55,1	55,0	10	1	65,2
	Gallico	80,0	80,7	81,9	30	1	83,7

407

408 Note: T_r = return interval of rainfall; T_d = return interval of peak discharge.

409

410 In the catchments investigated here, a total of 20, 16, 5, 6, and 8 stable cross-sections were surveyed
411 at GA, SA, AL, ME, and AI catchments, respectively. Regarding flood reconstruction, comparison
412 of flood peak discharge values as calculated with the hydraulic approach at stable cross-sections
413 with those modelled by HEC-RAS and based on the h_{PSI} yielded very similar values with only very
414 small differences between the approaches. Moreover, the reliability of these estimations was
415 confirmed further by the hydrological approach in which peak discharge was calculated from
416 critical rainfall depth. Similar results were obtained by Ballesteros et al. (2011a), who combined
417 hydrological and hydraulic models to define different flood hazard scenarios in a catchment of the
418 Spanish Central System. We thus confirm the transferability of the proposed methodology to the
419 *fiumaras* of the Mediterranean semi-arid environment.

420

421 4.3 Validation of the dendro-geomorphic method and channel morphology evolution

422

423 Table 3 reports water depth values measured in the three gauged catchments and related peak
424 discharge derived from these measurements. Comparison of these peak discharge values and mean
425 PSI height was based on 27 scars located in the vicinity of the gauging stations (upstream or
426 downstream); the differences between the mean height of surveyed (h_{PSI}) and measured flood water
427 depth at the gauging stations were significant in the case of the AL catchment ($p < 0.05$), but not
428 ($p > 0.05$) at AI and ME according to the Shapiro-Wilk test.

429

430 Table 3. Water depths measured in Allaro, Melito and Alli torrents and related peak discharges
431 calculated from these values (flood event of 1971-1972).

432

Catchment (location of the stream gauge)	Area (km ²)	Measured water depth (m)	Measured peak discharge (m ³ s ⁻¹)	PSI height (mean ± std. dev.; number) (m)
Allaro (Mongiana)	11.8	2.47	22	2.41 ± 0.16 (n = 10)
Melito (Olivella)	11.2	2.29	49	2.54 ± 0.05 (n = 8)
Alli (Orso)	46.0	2.49	74	2.47 ± 0.09 (n = 10)

433

(*) Data from V.A.P.I. (Versace et al., 1989).

434

435 Differences between h_{PSI} and measured flood water depth (dH) increase with the rising distance of
436 trees from the thalweg (Fig. 12). Overall, we find correlations of $R^2 > 0.50$ between h_{PSI} and h_{SIM} for
437 the flood of 1971-1972.

438

439 **Fig. 12.** Linear regressions between: i) dH and tree distance from thalweg (upper charts) and ii) h_{PSI}
440 and h_{SIM} (lower charts) for the Allaro and Alli torrents. (dH =differences PSI height; h_{PSI} = PSI
441 height; h_{SIM} = water depth simulated by the HEC-RAS model)

442

443 Comparison of water depths simulated by HEC-RAS (h_{sim}) and PSI heights (h_{PSI}) surveyed in each
444 of the 19 cross-sections shows an average difference of +0.20 m (AL), +0.23 m (ME) and +0.41 m
445 (AI). Negative differences (that is, $h_{sim} < h_{PSI}$) occurred in seven out of ten (AL), one out of eight

446 (ME) and three out of ten (AI) cross-sections. These differences were small (<0.10 m) in 39% of the
447 cases (i.e. 11 cross-sections). With regards to torrent location, the largest vertical differences in
448 section depth were found at AL (with variations of about 1.2 m), whereas the smallest differences
449 were observed at AI (with variations <0.9 m). At AL torrent, the thalweg also shifted towards the
450 channel banks. The cross-section geometry of the sections studied in the other torrents did not show
451 appreciable vertical or lateral adjustments, apart from a slight erosion of the banks.

452 The methodology proposed by Ballesteros et al. (2011a, b) allows estimation of past flood discharge
453 and the dating of events in ungauged headwaters of *fiumaras* based on PSIs left on trees and
454 through the processing of related water depths by hydraulic and hydrologic models. Application of
455 a hydraulic approach (i.e., the step-backwater method proposed by O'Connor and Webb, 1988 and
456 Benito et al., 2003) in the absence of flow meters recording water depths of floods (as is the case in
457 virtually all *fiumaras* of Southern Italy) is verified with an independent hydrological approach, that
458 is the rational method to estimate peak discharge without hydraulic parameters.

459 The statistical analysis showed non-significant differences between mean h_{PSI} and the water depths
460 measured during the 1972-1973 flood in two (AI and ME) out of three catchments. The exception is
461 the AL catchment. Here, many trees are located far from the thalweg (only 70% of all trees with
462 scars are within 10 m from the thalweg whereas this was the case in 90% of all sampled trees at AI
463 and ME). Furthermore, the longitudinal axis of the current channel at AL obviously shifted and is
464 no longer at the location where it was at the start of the 1972-73 flood. By modeling the hydraulic
465 effects of the 1972-73 flood, the correlation between water depths and h_{PSI} on trees is evident, and
466 thus provides an explanation (in terms of morphological changes) for the lower correlation found in
467 this case. Moreover, surveys in the gauged catchments could not be realized in stable cross-sections.
468 In other words, we had to hypothesize that channel geometry did not change over time, therefore
469 assuming that floods routed along the channel under the same conditions as currently. Differences
470 detected between simulated water depth and PSI heights may be due just to the change in the shape
471 and size of the channel over time by spatially heterogeneous erosion and deposition processes
472 (Gharbi et al., 2016).

473 **5. Conclusions**

474

475

476 In the small mountain catchments of southern Italy, the absence of instruments measuring stream
477 flows renders the reconstruction of extreme past floods a very difficult task which also hampers the
478 development of strategies aimed at forecasting, controlling and mitigating hydrological risks. To fill
479 this gap, we adapted existing methodologies in the fields of dendro-geomorphology to estimate the
480 frequency and magnitude of past extreme floods in two ungauged mountain catchments of Calabria,
481 where these methodologies have never been validated. The application of this methodology allowed
482 estimation of occurrence dates and peak discharge of five events that occurred over the last 60 years
483 and that have return intervals ranging from 5 to 30 years. The use of two different approaches (i.e.
484 hydraulic and hydrological) to simulate peak discharges within stable cross-section is seen here as a
485 very useful aid to validate reconstructed estimates. The reliability of these estimations was checked
486 further by an independent technique by using a common hydrological approach. A comparison of
487 data showed the close agreement of results between the different approaches, which is promising
488 for future research. Further validation of the dendro-geomorphology was realized in three
489 catchments equipped with flow meters and results show a good correlation between modelled water
490 depth and PSI heights as well. Thanks to these findings, a better understanding of channel
491 morphological changes has been achieved. These additional results, coupled with past
492 achievements, have proven that dendro-geomorphic techniques may be applied in small and
493 ungauged mountain catchments with peculiar climatic and geomorphic characteristics of the
494 Mediterranean environments as well, and therefore open new doors for further research in a hitherto
495 underrepresented region.

496

497 **References**

498

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