

Trees

Short-term effects of prescribed fire and soil mulching with fern on natural regeneration of *Quercus Frainetto* L.

--Manuscript Draft--

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Abstract:	<p>To avoid the negative impacts of wildfire, prescribed fire is applied in several environments, often with post-fire soil mulching, to control wildfire hazard and erosion in burned areas. However, uncertainties remain about impacts of these forest management techniques on post-fire regeneration, especially for some forest species, such as oak, which is predominant in Mediterranean fire-prone areas. This study evaluates the effects of prescribed fire and post-fire soil mulching with fern on initial recruitment of an oak forest of Southern Italy. Acorn emergence and seedling survival as well as some important plant and root biometric characteristics (height, diameter, and dry weight) have been monitored in plots burned by prescribed fire with or without post-fire treatment with fern. The acorn rain among the experimental conditions was not statistically different, whereas the prescribed fire significantly increased acorn emergence compared to the unburned area. About 30% of acorns germinated, of which more than 70% survived. Soil mulching with fern did not significantly increase acorn emergence (35%) and plant survival (85%) compared to the burned and untreated sites, presumably due to the shadowing effect of the cut fern, which reduces the light availability for juvenile plants. However, this post-fire treatment significantly</p>	

	<p>enhanced plant height (+44%) and root mass (+30%) but not its diameter (+6%) and root length (+1%). These contrasting effects in part support the initial hypothesis that soil mulching may be synergistic with the prescribed fire. Overall, the knowledge of the beneficial influences of prescribed fire and post-fire treatments on oak recruitment, thanks to the fire-tolerance character of this forest species, is useful to develop sustainable management plans for the delicate forest ecosystems of the semi-arid Mediterranean environment.</p>
<p>Response to Reviewers:</p>	<p>AUTHORS' REPLIES TO THE COMMENTS OF THE COMMUNICATING EDITOR AND REVIEWER #2</p> <p>Comment</p> <p>CE: Thank you for revising the MS. I have read the revised MS and your responses, and found that the MS is well revised. However, as Reviewer 2 pointed out, there is a need for further minor correction. The comment is fair and reasonable. Following the comments shown below, please reconsider your analysis.</p> <p>Reply</p> <p>Dear Communicating Editor,</p> <p>we are glad that You have appreciated our revision work. Thank You again for the new suggestions, which we have addressed in the revised MS. Below, You will find the replies to the new considerations by the Second Reviewer.</p> <p>Kind regards.</p> <p>Reviewer #2:</p> <p>Comment</p> <p>Dear Author,</p> <p>The manuscript has undergone significant improvements after the revisions but there are still some points on which I invite you to think about:</p> <p>Reply</p> <p>Dear Prof./Dr.,</p> <p>thanks a lot for the new review round and the related considerations, which we appreciate very much. As done before, we have addressed these suggestions in the revised MS. Below, the relevant replies.</p> <p>Kind regards.</p> <p>Comment</p> <p>- Section Methods-study site: the species composition of the forest stand remains untold, I deduce it is a pure oak wood, it would be better to make it explicit (e.g. row 146 and Table 1 within the Tree characteristics).</p> <p>Reply</p> <p>We have specified that the natural forest is a pure stand in the main text and heading of Table 1. See the marked changes in the revised MS.</p> <p>Comment</p> <p>- Experimental design & so on: I appreciated your clarifications in response to the reviewer's criticism and the studies you indicated to support your analytical choices. However, I am still perplexed how in the Results (e.g. between lines 246 and 249) the mean values for each group are reported in an inappropriate but formally correct</p>

manner which are essentially the mean of three counts/measures. Strictly speaking, it is also possible to compute a mean value between two measurements and also apply the analysis of variance between $n + 1$ samples (with $n =$ the number of groups, so in your case the minimum number of samples required is 4); it's not advisable to have so few, but it's possible to do ANOVA in that situation and still have the theory work when the assumptions hold (data distributions for normality). But are the Results consistent with reality? Are the results of the tests significant? In this regard, in the Figures you could indicate this situation using scatter plots rather than histograms (since you have 3 points for each group). In my opinion, if you want to carry out your analysis scheme as proposed, I recommend that you state the weaknesses of the statistical analysis inherent in the low number of samples available (albeit conditioned by the situation you described in response to the reviewer). The biggest concerns at really small sample sizes would be very low power and higher-than-usual sensitivity to the normality assumption at very low sample size. You can estimate the effect of size on your results. When you obtain ANOVA results, see the sum of square results. Calculate the sum of squares between groups/ total sum of the square. According to Cohen's (1988, pp.284-7), if the value 0.01 the effect is small, 0.06; the effect is medium, and 0.14; the effect is large.

Cohen, J., 1988. Statistical power analysis for the behavioral sciences (No. 300.72 C6).

Reply

Many thanks again for this valuable observation. Accordingly, we have stated the limitation of our study, due to the small number of plots in regeneration surveys (lines 271-277 of the revised MS with tracked changes). Moreover, we have replaced the histogram of Figure 2 with three scatter plots (one for the acorn rain, another for the acorn emergence, and the last for the seedling survival) with points related to the plot surveys and their mean and standard deviation, as You have suggested. The other figures do not need changes, since the observations are much more than three. Also in this case, please see the revised MS with marked changes.

[Click here to view linked References](#)

1 **Short-term effects of prescribed fire and soil mulching with fern on natural**
2 **regeneration of *Quercus Frainetto* L.**

3

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11

12 **Abstract**

13

14 **Key message**

15

16 In Mediterranean oak stands prescribed burning increases acorn emergence and plant
17 survival, while post-fire soil mulching with fern does not significantly enhance the
18 initial recruitment of plants.

19

20 To avoid the negative impacts of wildfire, prescribed fire is applied in several
21 environments, often with post-fire soil mulching, to control wildfire hazard and erosion
22 in burned areas. However, uncertainties remain about impacts of these forest
23 management techniques on post-fire regeneration, especially for some forest species,
24 such as oak, which is predominant in Mediterranean fire-prone areas. This study
25 evaluates the effects of prescribed fire and post-fire soil mulching with fern on initial
26 recruitment of an oak forest of Southern Italy. Acorn emergence and seedling survival
27 as well as some important plant and root biometric characteristics (height, diameter, and
28 dry weight) have been monitored in plots burned by prescribed fire with or without
29 post-fire treatment with fern. The acorn rain among the experimental conditions was not
30 statistically different, whereas the prescribed fire significantly increased acorn
31 emergence compared to the unburned area. About 30% of acorns germinated, of which
32 more than 70% survived. Soil mulching with fern did not significantly increase acorn
33 emergence (35%) and plant survival (85%) compared to the burned and untreated sites,

34 presumably due to the shadowing effect of the cut fern, which reduces the light
35 availability for juvenile plants. However, this post-fire treatment significantly enhanced
36 plant height (+44%) and root mass (+30%) but not its diameter (+6%) and root length
37 (+1%). These contrasting effects in part support the initial hypothesis that soil mulching
38 may be synergistic with the prescribed fire. Overall, the knowledge of the beneficial
39 influences of prescribed fire and post-fire treatments on oak recruitment, thanks to the
40 fire-tolerance character of this forest species, is useful to develop sustainable
41 management plans for the delicate forest ecosystems of the semi-arid Mediterranean
42 environment.

43

44 **Keywords:** initial recruitment; oak stands; burning; acorn rain; acorn emergence,
45 seedling survival.

46

47 **1. Introduction**

48

49 A detailed understanding of processes driving the dynamics of forest ecosystems is
50 essential to develop sustainable management plans. This understanding must include the
51 process of natural regeneration, since the initial recruitment of forest species is
52 fundamental to achieve stand persistence under the pressure of future climate change
53 (Lucas-Borja et al. 2012b), which forecasts reduced lower precipitation and higher
54 temperatures (Collins et al. 2013). Acorn emergence and seedling survival stages, which
55 determine the future forest structure, have been recognised as the most limiting stages
56 for natural regeneration (Calama et al. 2017). In early stages of forest trees, abiotic
57 factors, such as soil moisture and temperature, and sunlight, primarily control seedling
58 establishment and growth (Lucas-Borja et al. 2011). Some biotic factors, such as canopy
59 cover, acorn and seedling predation, and/or sapling herbivore, may also influence these
60 stages (Lucas-Borja et al. 2012b).

61 Among these factors, fire may favour or hinder early seedling recruitment, since several
62 forest species depend on the impact of fire to germinate (Keeley and Pausas 2019).
63 However, fire, when at high severity, such as wildfires, may pose pervasive effects on
64 human goods and assets (Wittenberg and Pereira 2021). To avoid the negative impacts
65 of wildfire, prescribed fires - the planned use of low-intensity fire to achieve very
66 different goals given certain weather, fuel and topographic conditions (Fernandes et al.

67 2013; Alcañiz et al. 2018) - has been suggested and applied in several environments
68 (Klimas et al. 2020), for management of fuel accumulation and future fire hazard in
69 forests that are prone to the wildfire risk (Fernandes and Botelho 2003). On an
70 ecological approach, prescribed fire is thought to change the structure and composition
71 of the forest (Romeo et al. 2020), increasing the heterogeneity of the landscape, and can
72 be purposely used for restoration of fire-associated ecosystems (Ryan et al. 2013),
73 promoting or regenerating fire-adapted species (Van Lear and Waldrop 1991). Its
74 controlled and rational use can improve forest habitats (Fontaine and Kennedy 2012),
75 facilitating the emergence and growth of understory vegetation (Neary et al. 1999; Hunt
76 et al. 2014), discouraging establishment of some plant species, while favouring some
77 others (Galford 1989; Barnes and Van Lear 1998). Post-fire recovery is generally
78 accomplished by direct regeneration, i.e., the recovery of a plant community composed
79 of the same pool of species that existed before the fire (Romeo et al. 2020).

80 Despite these beneficial effects of prescribed fires, uncertainties remain about its
81 ecological impacts (Fuentes et al. 2018). The forest species may perform differently
82 after prescribed fires (Lucas-Borja et al. 2016), and even the results of relevant research
83 on the fire effect on tree regeneration are often contrasting. For some forest species,
84 such as oak, which is predominant in Mediterranean fire-prone areas and has adapted to
85 fire (Curt et al. 2009), studies of fire effects on regeneration have been shown varying
86 results (Petersson et al. 2020). Oak woodlands rely on the ability of this species to
87 survive fire, resprout efficiently after fire and regenerate from seeds (Pausas 2006;
88 Arthur et al. 2012). However, failure of oak regeneration from acorns is frequent in
89 Mediterranean countries (Maltez-Mouro et al. 2007; Curt et al. 2009). Oak is fire-
90 adapted and moderately shade-intolerant, and therefore prescribed fire can be used as a
91 management tool to decrease competition and increase light levels, thus promoting oak
92 regeneration in some ecosystems (Brose et al. 2013; Izbicki et al. 2020). Prescribed fire
93 also eliminates excess fire-sensitive vegetation and reduces litter, favouring the
94 emergence of acorns (Blankenship and Arthur 2006). Many studies have shown that fire
95 alone or in combination with other treatments, such as partial canopy removal, can
96 improve the establishment and growth of regenerating oak trees (Hutchinson et al.
97 2005). However, several oak species have exhibited unsuccessful regeneration in recent
98 years, and it is difficult to identify the reasons, due to a multitude of biotic and abiotic
99 factors influencing this ecological processes (Pausas et al. 2004; Curt et al. 2009; Royse

100 et al. 2010). In this context, the role of how prescribed burning affects initial seedling
101 recruitment is still not understood and more research is needed with particular focus for
102 Mediterranean mountainous areas, where the fire hazard is high and the post-fire
103 recruitment of vegetation is limited by drought and some adverse soil characteristics
104 (Shakesby 2011; Moody et al. 2013).

105 In spite of these beneficial effects on forest ecology, prescribed fire shows negative
106 impacts on burned ecosystems, especially on soil hydrology. More specifically,
107 removing litter and understory vegetation and modifying some important hydrological
108 properties of soil (such as reducing the hydraulic conductivity of soil and inducing
109 water repellency (Zavala et al. 2014; Lucas-Borja et al. 2018; Plaza-Álvarez et al. 2018,
110 2019), prescribed fire can increase the runoff and erosion rates, also by some order of
111 magnitude (Vega et al. 2005; González-Pelayo et al. 2010; Cawson et al. 2012). Soil
112 mulching with vegetal material (commonly pruning residues or straw) may mitigate
113 runoff and erosion in burned areas (Lucas-Borja 2021; Zema 2021), since this post-fire
114 management technique protects soil from raindrop impacts and reduces the velocity of
115 overland flow (Patil Shirish et al. 2013; Prosdocimi et al. 2016; Zituni et al. 2019). This
116 mulch action may also increase moisture and reduce temperature of burned soils, which
117 are key factors in initial seedling recruitment of forest species (Calama et al. 2017).
118 However, post-fire mulching can also have negative effects, especially regarding the
119 mulching material. For instance, the residues of straw can be displaced by wind in some
120 areas and may contain seeds, chemicals and parasites, which can be the sources of non-
121 native vegetation and plant diseases. On this regard, forest residues (e.g. wood strands,
122 chips or shreds) or dead plants may be preferable to straw, because these substrates do
123 not carry non-native seeds or chemical residues, and are more resistant to wind
124 displacement (Robichaud et al. 2020). In Mediterranean forest floor, fern - *Pteridium*
125 *aquilinum* (L.) Kuhn - is widely available, and this avoids transport from other
126 locations. On an ecological approach, fern stands act as an ecological filter that
127 influences tree regeneration and favours emergence of late-successional species (Ssali et
128 al. 2019).

129 However, to the best authors' knowledge, very few evaluations about the effect of fern
130 on early recruitment of oak species in burned soil are available in literature. It results
131 that the question of how prescribed fire and post-fire mulching affects the early seedling
132 recruitment of an important forest species, as oak, is still not understood in

133 Mediterranean forest ecosystems. To fill these gaps, this study evaluates the effects of
134 prescribed fire and post-fire soil mulching with fern on initial recruitment of an oak
135 forest of Southern Italy. More specifically, acorn emergence and seedling survival as
136 well as some important plant and root biometric characteristics (height, diameter, and
137 dry weight) have been monitored in plots burned by prescribed fire with or without
138 post-fire treatment with fern. Since oak is a forest species that is adapted to fire, we
139 hypothesised that prescribed burning enhances the initial recruitment of plants, and this
140 effect may be synergistic with mulching application.

141

142 **2. Material and Methods**

143

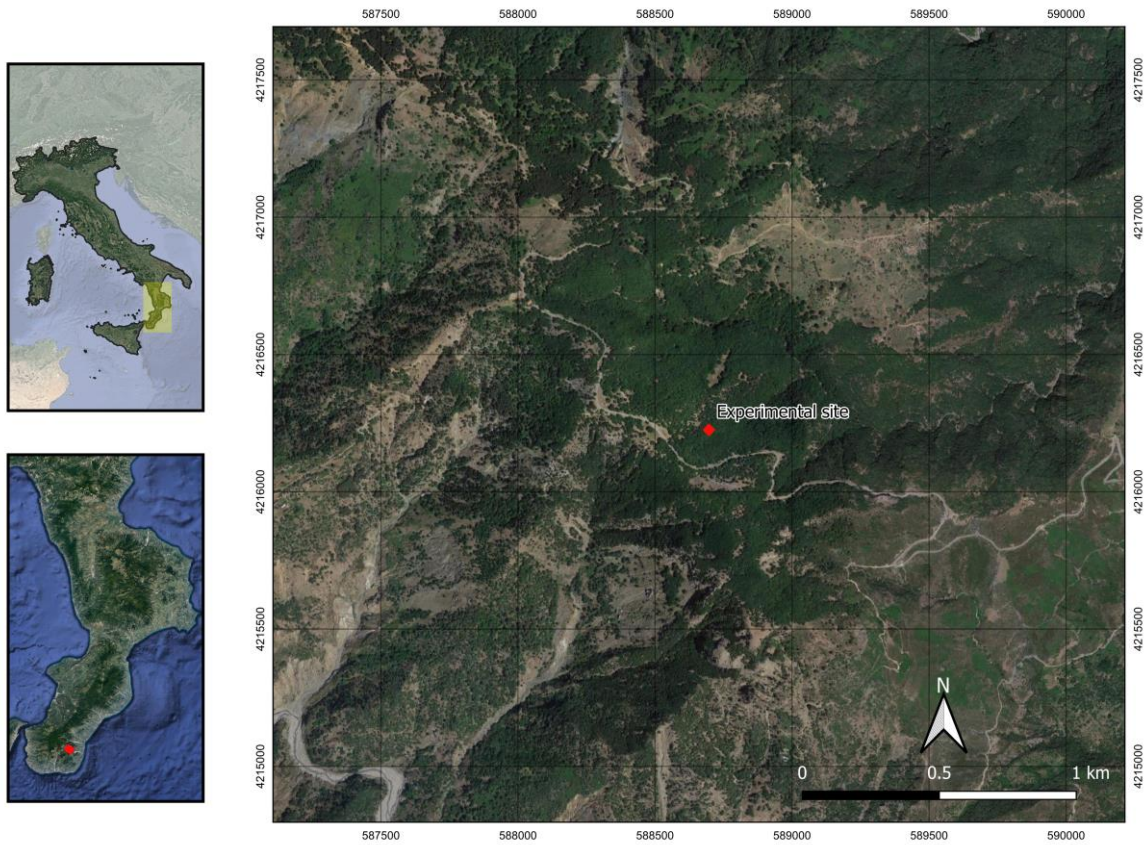
144 *2.1. Study site*

145

146 The study was carried out in a natural and pure stand of oak (*Quercus frainetto* Ten.) in
147 the locality of “Rungia”, geographical coordinates 588635 E and 4216172 N,
148 municipality of Samo, Calabria, Southern Italy). The climate of the area is semi-arid
149 (“Csa” class, “Hot-summer Mediterranean” according to Koppen (Kottek et al. 2006)
150 with mild and wet winters, and warm and dry summers. The mean annual precipitation
151 and temperature are 1100 mm and 17.4 °C, respectively.

152 The oak stand under investigation is between 900 and 950 m above sea level and is
153 exposed to North-East (Figure 1). The stand is an adult forest (about 150 years old) with
154 a monoplane structure and a large contribution of natural mulching from the fall of the
155 leaves and fern. No management actions have been accomplished in this forest stands in
156 the last century. Table 1 reports the main dimensional characteristics of the tree layer
157 and the composition of the herbaceous layer. No shrub species are found in the
158 understory vegetation.

159 The soil of the experimental site, with a mean slope of $19.1 \pm 1.65\%$, are loamy sand with
160 contents of silt, clay and sand of $11.5 \pm 1.89\%$, $9.2 \pm 0.58\%$ and $79.1 \pm 1.59\%$, respectively.



161

162 Figure 1 - Location of the experimental site (Samo, Calabria, Southern Italy).

163 Table 1 - Main characteristics of the pure oak forest in the experimental site (Rungia,
 164 municipality of Samo, Calabria, Southern Italy).

165

Characteristics		Value
Tree	density (n./ha)	225 ± 44.7
	diameter at the breast height (cm)	40.7 ± 8.9
	height (m)	18.2 ± 1.9
	basal area (m ² /ha)	31.1 ± 3.6
Litter	height (cm)	12.2 ± 3.9
Main herbaceous species		<i>Cyclamen hederifolium</i> , <i>Bellis perennis</i> L.

166

167

168 2.2. Experimental design

169

170 The prescribed fire was carried out in an area (about 250 m²) of the oak forest in early
 171 June 2019 with the support of the Forest Regional Agency (Calabria Verde) and the
 172 surveillance of the National Corp of Firefighters. Wind was practically absent and air
 173 humidity was between 50 and 60%. The mean and maximum temperatures of soils,
 174 which were measured at a depth of 2.5 cm by a thermocouple connected to a datalogger,
 175 were 21 and 26.9 °C.

176 The burn severity of soils after the prescribed fire was evaluated according to the
 177 classification by (Parson et al. 2010). Accordingly, one day after prescribed fire, ground
 178 surface of all sites was visually checked, observing ash colour and fine roots. Following
 179 (Parson et al. 2010), the burn soil severity of all sites were low, that is, with small
 180 change from pre-fire status, black ground surface with recognizable fine fuels remaining
 181 on surface, and fine roots unchanged.

182 In the burned area, three small portions (about 9 m²) was mulched with a cover (more or
 183 less 3 to 5 cm of thickness) of fern residues, cut from an adjacent zone, and distributed
 184 over ground at a dose of 500 g/m² of fresh weight (equivalent to 200 g/m² of straw dry
 185 matter, usually applied in burned and mulched areas after fire (Vega et al. 2014; Lucas-
 186 Borja et al. 2018).

187 A third area, which was not burned and was located less than 10 m from the burned
188 areas, in each site was selected to be considered as “control”.

189 Immediately after the prescribed fire and soil mulching, a total of nine small plots (three
190 series of plots, area of 3 m², each series consisting of three replicated plots) were
191 selected and delimited using 0.3-m high metallic sheets inserted up to 0.2 m below the
192 ground surface. The plots were at a reciprocal distance between 1.5 and 2 m. Three plots
193 were set up in the unburned soils (considered as “control”), while six plots were located
194 in the burned area, of which three in the area without treatment (Figure 2a) and three in
195 the mulched area (Figure 2b).

196 Overall, the experimental design consisted of three soil conditions (unburned, burned
197 and untreated, and burned and mulched) × three replicated plots, for a total of nine plots.
198



199
200

(a)

(b)



201
202
203

(c)



204
205
206

(d)

207 Figure 2 - Photos of the experimental plots (a, burned and untreated soils; b, burned and
208 mulched soils), acorn emergence (c) and measurement of seedling height and diameter
209 (d) in oak forest under different soil conditions (Samo, Calabria, Southern Italy).

210

211 *2.3. Vegetation sampling and analysis*

212

213 Acorn rain was estimated in November 2019 (five months after the prescribed fire
214 application and soil treatment with fern mulch) in each plot of the three soil conditions.

215 Seed fall was calculated at each experimental treatment as a sum of acorns found at
216 each plot. The plots were protected with wire netting (1×1 cm mesh size) to avoid
217 acorn predation.

218 Acorn emergence (Figure 2c) was measured one year (June 2020) after the prescribed
219 fire application and soil treatment with fern mulch, while seedling survival was
220 surveyed at the end of the experiment in June 2021 (24 months after fire and mulching).
221 Moreover, the total length of the main stem and root-collar diameter of all surviving
222 seedlings was measured in June of 2020 and 2021 (Figure 2d). Then, in June 2021, the
223 soil supporting seedling growth was gently excavated and the aerial part of the plant
224 was separated from the root system. On the latter, the root length and dry weight (at a
225 temperature of $60\text{ }^{\circ}\text{C}$ for 48 hours) were determined on samples of ten seedlings per
226 plot.

227

228 *2.4. Statistical analyses*

229

230 Previously, Cochran's C test, a one-sided upper limit variance outlier test, was applied
231 to the BMP values, in order to detect possible outliers.

232 Then, two-way ANOVA was applied to evaluate the statistical significance of the
233 differences in the BMP (considered as dependent variables) among the number of
234 replicates and test duration (independent factors).

235 For both tests, to satisfy the assumptions of the statistical tests (equality of variance and
236 normal distribution), the data were subjected to normality test or were square root-
237 transformed whenever necessary.

238 All the statistical tests were carried out by with the XLSTAT software (release 2019).

239

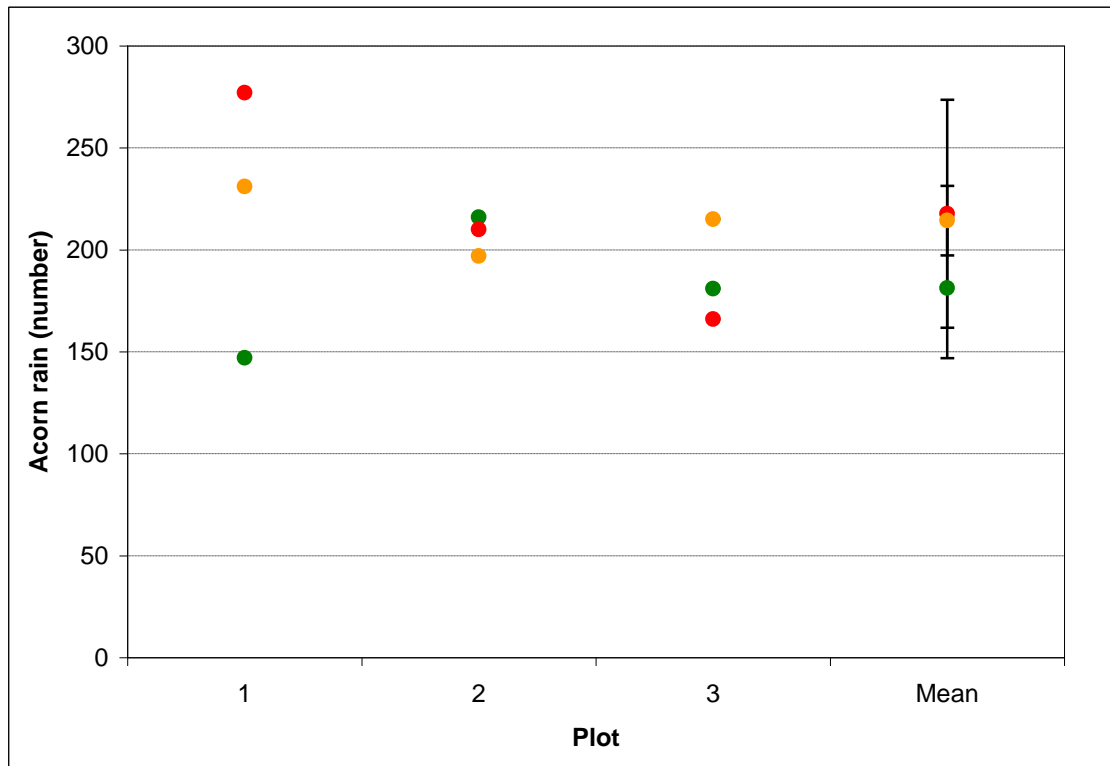
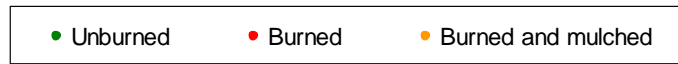
240 **3. Results**

241

242 Compared to the unburned sites, where acorn rain was on average 181 ± 35 , in burned,
243 and burned and mulched plots 218 ± 56 and 214 ± 17 acorns were counted, respectively
244 (Figure 3), although the differences among the different soil conditions were not
245 significant ($p = 0.497$, $n = 3$, after the pairwise comparison by Tukey's test).

246

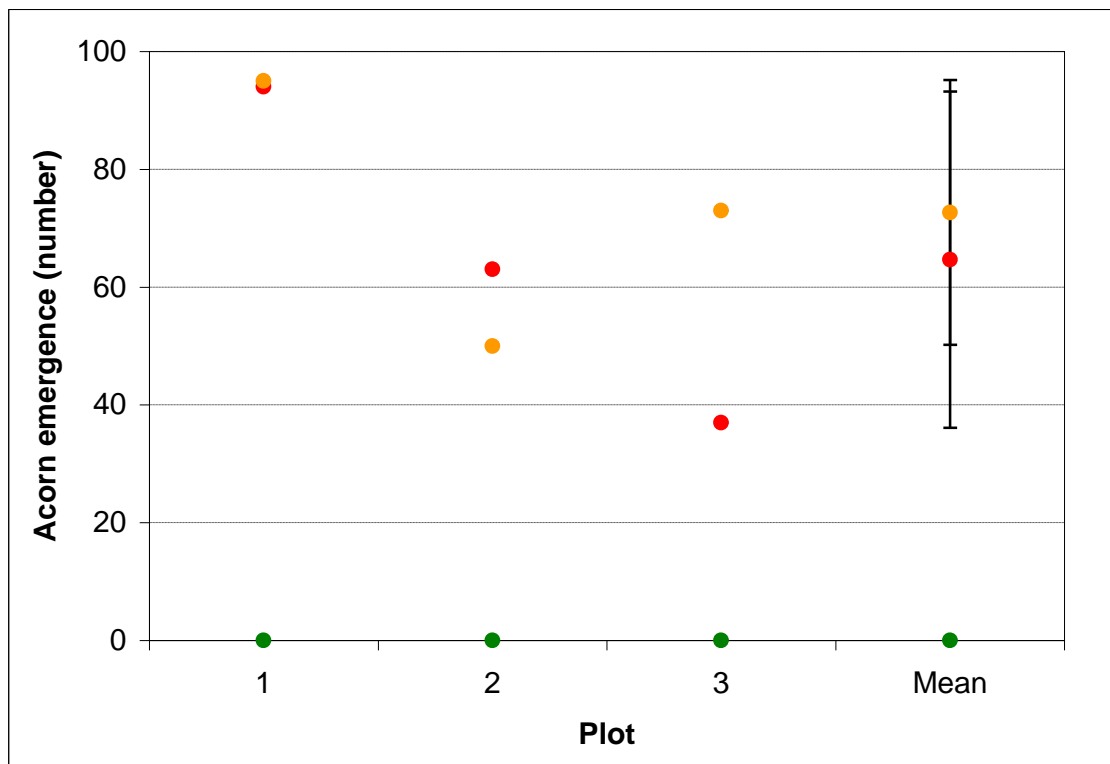
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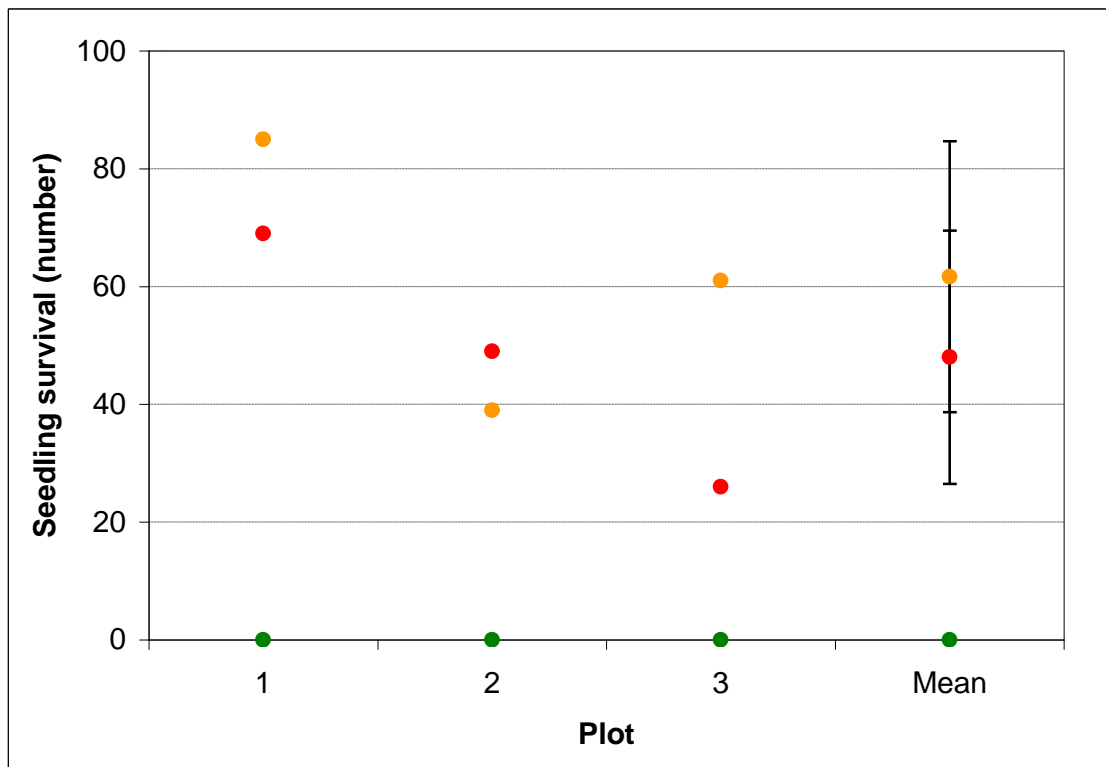
(a)



250

251

(b)



253

254

(c)

255

256 Figure 3 - Acorn rain (a) and emergence (b), and seedling survival (c) counted in nine
 257 plots (three per each soil condition, unburned, burned, and burned and mulched) of oak
 258 forest under different soil conditions (Samo, Calabria, Southern Italy). The vertical bar
 259 expresses the standard deviation of data in the three plots.

260

261

262 While no acorn emergence was noticed in unburned sites, 65 ± 29 acorns germinated on
 263 burned soils and 73 ± 23 on burned and mulched plots (Figure 3). Only the difference
 264 between unburned, and burned soils (mulched or not) was significant ($p < 0.01$, $n = 3$,
 265 after the pairwise comparison by Tukey's test).

266 Of the emerged acorns, 48 ± 22 and 62 ± 23 seedlings survived on burned, and burned
 267 and mulched soils (Figure 3), but the difference between this soil conditions was not
 268 significant ($p = 0.068$, $n = 3$, after the pairwise comparison by Tukey's test).

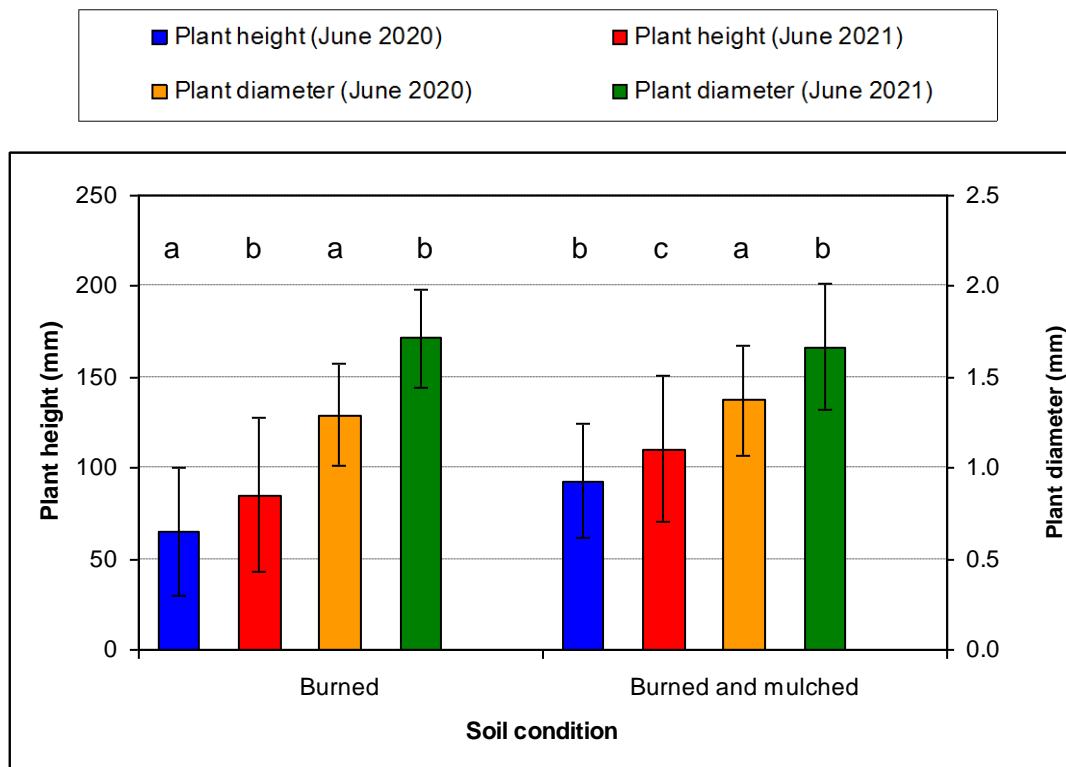
269 It should be highlighted that the values of oak regeneration may be affected by a bias,
 270 due to the low number of samples (three plots per soil condition), and the effect of this
 271 small size is large. This effect, which was measured by the ratio between the sum of

272 squares between groups and the total sum of the squares (given by ANOVA), was large,
 273 according to the reference values proposed by (Cohen 2013), since this ratio is higher
 274 than 0.21 for the three variables analyzed (acorn rain and emergence, and seedling
 275 survival).

276 At both survey dates (June 2020 and 2021), plant height was higher in burned and
 277 mulched plots (92.8 ± 31.2 mm, June 2020, and 110.5 ± 40.3 mm, June 2021) compared
 278 to the burned and untreated soils (64.6 ± 35.3 mm, June 2020, and 85.3 ± 41.9 mm, June
 279 2021) (Figure 4). According the 2-way ANOVA, both soil condition and survey date
 280 were significant factors ($p < 0.0001$ and 0.01 , respectively, $n = 3$, after the pairwise
 281 comparison by Tukey's test) on plant height, but not its interaction ($p = 0.630$).

282

283



284

285 Figure 4 - Plant height and diameter (mean \pm standard deviation) surveyed in oak forest
 286 under different soil conditions at two survey dates (Samo, Calabria, Southern Italy).
 287 Different letters indicate significant differences between the soil conditions after the
 288 pairwise comparison by Tukey's test ($p < 0.05$, $n = 3$).

289

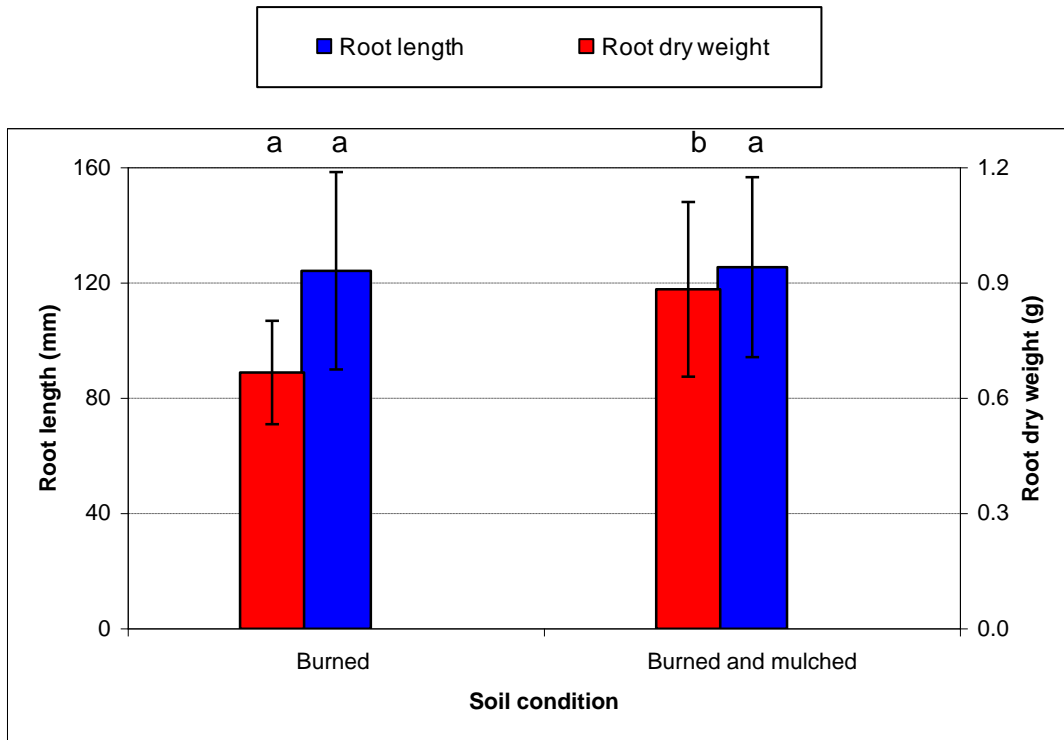
290

291 In June 2020, seedling diameter was lower in the burned and untreated plots ($1.29 \pm$
 292 0.28 mm) compared to the burned and mulched soils (1.38 ± 0.30 mm). In contrast, in

293 June 2021, the latter soils showed seedlings with lower diameter (1.67 ± 0.35 mm vs.
 294 1.71 ± 0.27 mm of burned and untreated plots) (Figure 4). For seedling characteristic,
 295 both the date and its interaction with the soil condition determined significant
 296 differences in plant diameter ($p < 0.0001$ and 0.01 , respectively, $n = 3$, after the pairwise
 297 comparison by Tukey's test). In contrast, soil condition did not statistically influence
 298 the seedling diameter ($p = 0.485$).

299

300



301

302 Figure 5 - Root length and dry weight (mean \pm standard deviation) of oak seedlings
 303 under different soil conditions at two survey dates (Samo, Calabria, Southern Italy).
 304 Different letters indicate significant differences between the two soil conditions after the
 305 pairwise comparison by Tukey's test ($p < 0.05$, $n = 3$).

306

307

308 Root length (125 ± 34.3 mm and 126 ± 34.0 mm, respectively) was practically the same
 309 in burned, and burned and mulched soils. In contrast, the latter soils showed a higher
 310 root dry weight (0.88 ± 0.23 g) compared to the burned and untreated plots (0.67 ± 0.14
 311 g) (Figure 5). These differences were significant for root dry weight ($p < 0.001$, $n = 3$,
 312 after the pairwise comparison by Tukey's test), but not for root length ($p = 0.905$).

313

314

315 **4. Discussions**

316

317 Since seedling recruitment is ultimately limited by the quantity of viable acorn fall, an
318 evaluation of seedling patterns is necessary to understand the dynamics of recruitment
319 (Lucas-Borja and Vacchiano 2018). Oak acorns are dispersed across a wide variety of
320 sites covering a range of abiotic and biotic conditions. Given that a similar forest
321 structure of oak forest among all plots, we assumed that acorns fall was similar at all
322 plots. Furthermore, since parent rock material, topography, climate and forest structure
323 were similar among selected plots, differences with respect to seedling emergence,
324 survival and initial growth can be associated with the influence of fire and mulch.

325 While none of the fallen acorns sprouted in the unburned area, about 30% of acorns
326 germinated in the burned areas, of which more than 70% survived. Higher regeneration
327 in burned areas is in agreement with other studies dealing with oak recruitment, which
328 reported higher survival rates (Royse et al. 2010; Brose et al. 2013; Petersson et al.
329 2020), the latter in combination with other treatments (e.g., tree canopy openness or
330 ungulate exclosure) in burned oak stands, while (Hutchinson et al. 2005) found reduced
331 sapling density in areas with burn treatments. Many reasons may explain the greater
332 early recruitment of oak in the burned sites. First, the reduction in tree density and
333 canopy cover increases light availability on the forest floor, which is very important for
334 regeneration of oak species, which are considered light demanding for survival and
335 growth once energy reserves of the cotyledons are exhausted (Annighöfer et al. 2015;
336 Petersson et al. 2020). Second, the lower competition with herbaceous vegetation
337 presumably enhances vegetation growth in this semi-arid environment, having a limited
338 water availability (Garcia-Fayos et al. 2020; Petersson et al. 2020); in contrast, the
339 presence of herb layer found in unburned plots could have delayed or impeded acorn
340 emergence development (Caccia and Ballaré 1998). Third, low-intensity fire creates
341 more favourable seedbed conditions to oak establishment, promoting the contact with
342 mineral soil and thus favouring acorn emergence (Facelli and Pickett 1991; Hutchinson
343 et al. 2005). Fourth, the increases in organic carbon, total nitrogen and available
344 phosphorous measured in the same experimental site immediately after the prescribed
345 fire compared to the unburned sites, followed by significant decreases one year after
346 fire, when the plant regeneration was higher (Carra et al. 2021). A higher availability of
347 organic matter and nitrogen contents of burned soils, which have been well documented

348 after prescribed fires (Úbeda et al. 2005; Alcañiz et al. 2018, 2020; Hueso-González et
349 al. 2018), may have supported the recruitment of oak seedlings detected in this study.
350 These changes in soil properties also improve microbial diversity, which may increase
351 soil fertility (Nannipieri et al. 2003; Lucas-Borja et al. 2012a). The latter effect together
352 with the low damage to canopy acorn bank and the low impact on forest floor
353 conditions after prescribed fires might generate better conditions for initial seedling
354 recruitment. In line with this, (Madrigal et al. 2010) have also shown a positive
355 influence of the soil organic layer remaining after fire on seedling recruitment, which
356 has been related to a higher water content of soils with higher organic matter. However,
357 since several studies have indicated that oak regeneration may be more effective after a
358 single prescribed fire when combined with other treatments (Ssali et al. 2019; Petersson
359 et al. 2020; Izbicki et al. 2020), the opening of tree canopy by mechanized operations
360 and replicated applications of prescribed fire can be suggested, in order to remove
361 midstory competition, increasing understory light, and increasing oak seedling growth
362 and density (Green et al. 2010; Brose et al. 2013; Izbicki et al. 2020).

363 Mulching determined increases - although not being significant - in these percentages
364 (about 35% of germinated acorns, and 85% of survived seedlings) that can be quantified
365 in 14% and 12% for emergence and survival, respectively. (Lucas- Borja et al. 2021)
366 have demonstrated that seedling survival but not emergence rates is largely controlled
367 by post-fire treatments enhancing the establishment of vegetation cover, due to the
368 beneficial effect of shrubs on the recruitment of seedlings located under their canopies
369 (Emborg 1998; Heydari et al. 2017). Moreover, the mulch cover spread over the ground
370 of burned soils acts as a barrier that reduces drought by lowering solar radiation and soil
371 temperature and increasing its water content (Castro et al. 2003; Lucas- Borja et al.
372 2021). Also (Ssali et al. 2019) reported higher seedling survival on soils of tropical
373 forests subjected to post-fire treatment with fern.

374 Many studies have stated that growth of oak seedlings in burned areas is higher
375 compared to fire-excluded sites, since larger diameters (Royse et al. 2010), faster
376 biomass growth (Wang et al. 2005), and greater heights (Petersson et al. 2020) were
377 observed in oak seedlings after prescribed fires.

378 In spite of the non-significant difference in the initial recruitment of oak seedlings
379 between mulched and untreated areas, this post-fire treatment enhanced plant growth at
380 both survey dates, as shown by the significantly greater height (+44% one year after the

381 treatment) compared to the plants grown on burned and untreated plots. In contrast, the
382 plant diameter was not significantly influenced by the treatment (only +6% after one
383 year). These enhancements of the plant morphology lost weight over time (+30% in
384 height two years after the treatment) and even became negative (-3% in diameter) over
385 time. Higher growth in soils burned and mulched with fern was reported also by (Ssali
386 et al. 2019).

387 Regarding the root system, mulching did not significantly influence the length (only
388 +1% in mulched areas compared to the burned and untreated plots), but determined a
389 significant increase (by more than 30%) of the root mass.

390 The faster growth of seedlings in mulched areas compared to the untreated sites may be
391 due to the higher water content, since it is well known that mulching enhances soil
392 moisture which is a key point in natural regeneration process, especially in forest
393 ecosystems of the semi-arid environments with limited water availability (Prosdocimi et
394 al. 2016; Lucas-Borja et al. 2018). (Bautista et al. 2009) theorized that the main
395 advantage of mulch application is the immediate increase in vegetal cover of soil, which
396 result in an effective protection during the first rain events after fire. Moreover, the
397 mulch material can be fast incorporated into the soil, thus increasing the its content of
398 organic matter and nutrients (Bombino et al. 2019).

399

400 **5. Conclusions**

401

402 This study has demonstrated that the application of prescribed burning to an oak stand
403 of the Mediterranean environment play significant effects on plant regeneration
404 compared to the unburned sites. In relation to emergence, in burned areas, about 30% of
405 acorns emerged, of which more than 70% survived. This may be due to the higher light
406 availability on the forest floor, lower competition with herbaceous vegetation, more
407 favourable seedbed conditions to oak establishment, and increases in soil contents of
408 organic carbon, total nitrogen and available phosphorous. This result confirms the first
409 working hypothesis that prescribed burning enhances the initial recruitment of plants,
410 since oak is a forest species that is adapted to fire.

411 Soil mulching with fern applied as anti-erosive post-fire treatment did not significantly
412 increase acorn emergence and plant survival compared to the burned and untreated sites.

413 However, this post-fire treatment significantly enhanced plant height and root mass but

414 not its diameter and root length. With the same root length, higher root biomass with
415 more soil water availability led to greater height growth in the measured seedlings.
416 These contrasting effects, which may be attributed to the higher water content of
417 mulched area of this water-limited in forest ecosystem of the semi-arid environments, in
418 part support the initial hypothesis that soil mulching may be synergistic with the
419 prescribed fire.

420 Overall, the knowledge of the beneficial influences of prescribed fire and post-fire
421 treatments on oak recruitment, thanks to the fire-tolerance character of *Quercus*
422 *frainetto* L., is useful to develop sustainable management plans for the delicate forest
423 ecosystems of the semi-arid Mediterranean environment. More research is needed in
424 order to validate the outcomes of this study on different forest species and to evaluate
425 the effects of prescribed fire and mulching with other forest operations.

426

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437

438 **Author contributions**

439

440 Conceptualization, B.G.C., G.B., M.E.L.B. and D.A.Z.; methodology, B.G.C., A.L.,
441 P.A.P.A., M.E.L.B. and D.A.Z.; validation, G.B., M.E.L.B. and D.A.Z.; formal
442 analysis, G.B., M.E.L.B. and D.A.Z.; investigation, B.G.C., A.L., and P.A.P.A.; data
443 curation, B.G.C., A.L., P.A.P.A., and D.A.Z.; writing - original draft preparation,
444 B.G.C., and D.A.Z.; writing - review and editing, B.G.C., G.B., M.E.L.B and D.A.Z.;
445 supervision, M.E.L.B and D.A.Z.; project administration, D.A.Z.; funding acquisition,
446 D.A.Z. All authors have read and agreed to the published version of the manuscript.

447

448 **Conflicts of interest statement**

449

450 The authors declare that they have no conflict of interest.

451

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453

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- 654

1 **Short-term effects of prescribed fire and soil mulching with fern on natural**
2 **regeneration of *Quercus Frainetto* L.**

3

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11

12 **Abstract**

13

14 **Key message**

15

16 In Mediterranean oak stands prescribed burning increases acorn emergence and plant
17 survival, while post-fire soil mulching with fern does not significantly enhance the
18 initial recruitment of plants.

19

20 To avoid the negative impacts of wildfire, prescribed fire is applied in several
21 environments, often with post-fire soil mulching, to control wildfire hazard and erosion
22 in burned areas. However, uncertainties remain about impacts of these forest
23 management techniques on post-fire regeneration, especially for some forest species,
24 such as oak, which is predominant in Mediterranean fire-prone areas. This study
25 evaluates the effects of prescribed fire and post-fire soil mulching with fern on initial
26 recruitment of an oak forest of Southern Italy. Acorn emergence and seedling survival
27 as well as some important plant and root biometric characteristics (height, diameter, and
28 dry weight) have been monitored in plots burned by prescribed fire with or without
29 post-fire treatment with fern. The acorn rain among the experimental conditions was not
30 statistically different, whereas the prescribed fire significantly increased acorn
31 emergence compared to the unburned area. About 30% of acorns germinated, of which
32 more than 70% survived. Soil mulching with fern did not significantly increase acorn
33 emergence (35%) and plant survival (85%) compared to the burned and untreated sites,

34 presumably due to the shadowing effect of the cut fern, which reduces the light
35 availability for juvenile plants. However, this post-fire treatment significantly enhanced
36 plant height (+44%) and root mass (+30%) but not its diameter (+6%) and root length
37 (+1%). These contrasting effects in part support the initial hypothesis that soil mulching
38 may be synergistic with the prescribed fire. Overall, the knowledge of the beneficial
39 influences of prescribed fire and post-fire treatments on oak recruitment, thanks to the
40 fire-tolerance character of this forest species, is useful to develop sustainable
41 management plans for the delicate forest ecosystems of the semi-arid Mediterranean
42 environment.

43

44 **Keywords:** initial recruitment; oak stands; burning; acorn rain; acorn emergence,
45 seedling survival.

46

47 **1. Introduction**

48

49 A detailed understanding of processes driving the dynamics of forest ecosystems is
50 essential to develop sustainable management plans. This understanding must include the
51 process of natural regeneration, since the initial recruitment of forest species is
52 fundamental to achieve stand persistence under the pressure of future climate change
53 (Lucas-Borja et al. 2012b), which forecasts reduced lower precipitation and higher
54 temperatures (Collins et al. 2013). Acorn emergence and seedling survival stages, which
55 determine the future forest structure, have been recognised as the most limiting stages
56 for natural regeneration (Calama et al. 2017). In early stages of forest trees, abiotic
57 factors, such as soil moisture and temperature, and sunlight, primarily control seedling
58 establishment and growth (Lucas-Borja et al. 2011). Some biotic factors, such as canopy
59 cover, acorn and seedling predation, and/or sapling herbivore, may also influence these
60 stages (Lucas-Borja et al. 2012b).

61 Among these factors, fire may favour or hinder early seedling recruitment, since several
62 forest species depend on the impact of fire to germinate (Keeley and Pausas 2019).
63 However, fire, when at high severity, such as wildfires, may pose pervasive effects on
64 human goods and assets (Wittenberg and Pereira 2021). To avoid the negative impacts
65 of wildfire, prescribed fires - the planned use of low-intensity fire to achieve very
66 different goals given certain weather, fuel and topographic conditions (Fernandes et al.

2013; Alcañiz et al. 2018) - has been suggested and applied in several environments (Klimas et al. 2020), for management of fuel accumulation and future fire hazard in forests that are prone to the wildfire risk (Fernandes and Botelho 2003). On an ecological approach, prescribed fire is thought to change the structure and composition of the forest (Romeo et al. 2020), increasing the heterogeneity of the landscape, and can be purposely used for restoration of fire-associated ecosystems (Ryan et al. 2013), promoting or regenerating fire-adapted species (Van Lear and Waldrop 1991). Its controlled and rational use can improve forest habitats (Fontaine and Kennedy 2012), facilitating the emergence and growth of understory vegetation (Neary et al. 1999; Hunt et al. 2014), discouraging establishment of some plant species, while favouring some others (Galford 1989; Barnes and Van Lear 1998). Post-fire recovery is generally accomplished by direct regeneration, i.e., the recovery of a plant community composed of the same pool of species that existed before the fire (Romeo et al. 2020).

Despite these beneficial effects of prescribed fires, uncertainties remain about its ecological impacts (Fuentes et al. 2018). The forest species may perform differently after prescribed fires (Lucas-Borja et al. 2016), and even the results of relevant research on the fire effect on tree regeneration are often contrasting. For some forest species, such as oak, which is predominant in Mediterranean fire-prone areas and has adapted to fire (Curt et al. 2009), studies of fire effects on regeneration have been shown varying results (Petersson et al. 2020). Oak woodlands rely on the ability of this species to survive fire, resprout efficiently after fire and regenerate from seeds (Pausas 2006; Arthur et al. 2012). However, failure of oak regeneration from acorns is frequent in Mediterranean countries (Maltez-Mouro et al. 2007; Curt et al. 2009). Oak is fire-adapted and moderately shade-intolerant, and therefore prescribed fire can be used as a management tool to decrease competition and increase light levels, thus promoting oak regeneration in some ecosystems (Brose et al. 2013; Izbicki et al. 2020). Prescribed fire also eliminates excess fire-sensitive vegetation and reduces litter, favouring the emergence of acorns (Blankenship and Arthur 2006). Many studies have shown that fire alone or in combination with other treatments, such as partial canopy removal, can improve the establishment and growth of regenerating oak trees (Hutchinson et al. 2005). However, several oak species have exhibited unsuccessful regeneration in recent years, and it is difficult to identify the reasons, due to a multitude of biotic and abiotic factors influencing this ecological processes (Pausas et al. 2004; Curt et al. 2009; Royse

100 et al. 2010). In this context, the role of how prescribed burning affects initial seedling
101 recruitment is still not understood and more research is needed with particular focus for
102 Mediterranean mountainous areas, where the fire hazard is high and the post-fire
103 recruitment of vegetation is limited by drought and some adverse soil characteristics
104 (Shakesby 2011; Moody et al. 2013).

105 In spite of these beneficial effects on forest ecology, prescribed fire shows negative
106 impacts on burned ecosystems, especially on soil hydrology. More specifically,
107 removing litter and understory vegetation and modifying some important hydrological
108 properties of soil (such as reducing the hydraulic conductivity of soil and inducing
109 water repellency (Zavala et al. 2014; Lucas-Borja et al. 2018; Plaza-Álvarez et al. 2018,
110 2019), prescribed fire can increase the runoff and erosion rates, also by some order of
111 magnitude (Vega et al. 2005; González-Pelayo et al. 2010; Cawson et al. 2012). Soil
112 mulching with vegetal material (commonly pruning residues or straw) may mitigate
113 runoff and erosion in burned areas (Lucas-Borja 2021; Zema 2021), since this post-fire
114 management technique protects soil from raindrop impacts and reduces the velocity of
115 overland flow (Patil Shirish et al. 2013; Prosdocimi et al. 2016; Zituni et al. 2019). This
116 mulch action may also increase moisture and reduce temperature of burned soils, which
117 are key factors in initial seedling recruitment of forest species (Calama et al. 2017).
118 However, post-fire mulching can also have negative effects, especially regarding the
119 mulching material. For instance, the residues of straw can be displaced by wind in some
120 areas and may contain seeds, chemicals and parasites, which can be the sources of non-
121 native vegetation and plant diseases. On this regard, forest residues (e.g. wood strands,
122 chips or shreds) or dead plants may be preferable to straw, because these substrates do
123 not carry non-native seeds or chemical residues, and are more resistant to wind
124 displacement (Robichaud et al. 2020). In Mediterranean forest floor, fern - *Pteridium*
125 *aquilinum* (L.) Kuhn - is widely available, and this avoids transport from other
126 locations. On an ecological approach, fern stands act as an ecological filter that
127 influences tree regeneration and favours emergence of late-successional species (Ssali et
128 al. 2019).

129 However, to the best authors' knowledge, very few evaluations about the effect of fern
130 on early recruitment of oak species in burned soil are available in literature. It results
131 that the question of how prescribed fire and post-fire mulching affects the early seedling
132 recruitment of an important forest species, as oak, is still not understood in

133 Mediterranean forest ecosystems. To fill these gaps, this study evaluates the effects of
134 prescribed fire and post-fire soil mulching with fern on initial recruitment of an oak
135 forest of Southern Italy. More specifically, acorn emergence and seedling survival as
136 well as some important plant and root biometric characteristics (height, diameter, and
137 dry weight) have been monitored in plots burned by prescribed fire with or without
138 post-fire treatment with fern. Since oak is a forest species that is adapted to fire, we
139 hypothesised that prescribed burning enhances the initial recruitment of plants, and this
140 effect may be synergistic with mulching application.

141

142 **2. Material and Methods**

143

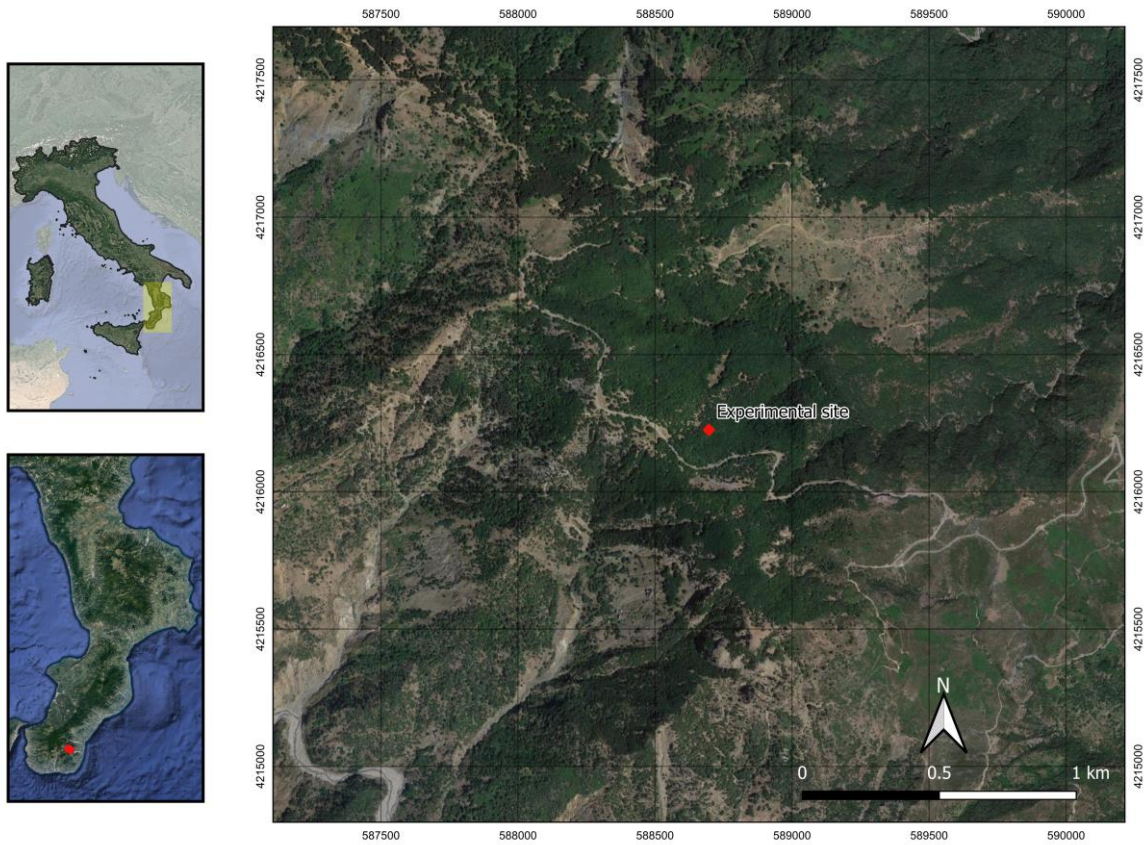
144 *2.1. Study site*

145

146 The study was carried out in a natural and pure stand of oak (*Quercus frainetto* Ten.) in
147 the locality of “Rungia”, geographical coordinates 588635 E and 4216172 N,
148 municipality of Samo, Calabria, Southern Italy). The climate of the area is semi-arid
149 (“Csa” class, “Hot-summer Mediterranean” according to Koppen (Kottek et al. 2006)
150 with mild and wet winters, and warm and dry summers. The mean annual precipitation
151 and temperature are 1100 mm and 17.4 °C, respectively.

152 The oak stand under investigation is between 900 and 950 m above sea level and is
153 exposed to North-East (Figure 1). The stand is an adult forest (about 150 years old) with
154 a monoplane structure and a large contribution of natural mulching from the fall of the
155 leaves and fern. No management actions have been accomplished in this forest stands in
156 the last century. Table 1 reports the main dimensional characteristics of the tree layer
157 and the composition of the herbaceous layer. No shrub species are found in the
158 understory vegetation.

159 The soil of the experimental site, with a mean slope of $19.1 \pm 1.65\%$, are loamy sand with
160 contents of silt, clay and sand of $11.5 \pm 1.89\%$, $9.2 \pm 0.58\%$ and $79.1 \pm 1.59\%$, respectively.



161

162 Figure 1 - Location of the experimental site (Samo, Calabria, Southern Italy).

163

164 Table 1 - Main characteristics of the pure oak forest ~~stand~~ in the experimental site
165 (Rungia, municipality of Samo, Calabria, Southern Italy).

166

Oak forest eCharacteristics		Value
Tree	density (n./ha)	225 ± 44.7
	diameter at the breast height (cm)	40.7 ± 8.9
	height (m)	18.2 ± 1.9
	basal area (m ² /ha)	31.1 ± 3.6
Litter	height (cm)	12.2 ± 3.9
Main herbaceous species		<i>Cyclamen hederifolium</i> , <i>Bellis perennis</i> L.

167

168 2.2. Experimental design

169

170 The prescribed fire was carried out in an area (about 250 m²) of the oak forest in early
171 June 2019 with the support of the Forest Regional Agency (Calabria Verde) and the
172 surveillance of the National Corp of Firefighters. Wind was practically absent and air
173 humidity was between 50 and 60%. The mean and maximum temperatures of soils,
174 which were measured at a depth of 2.5 cm by a thermocouple connected to a datalogger,
175 were 21 and 26.9 °C.

176 The burn severity of soils after the prescribed fire was evaluated according to the
177 classification by (Parson et al. 2010). Accordingly, one day after prescribed fire, ground
178 surface of all sites was visually checked, observing ash colour and fine roots. Following
179 (Parson et al. 2010), the burn soil severity of all sites were low, that is, with small
180 change from pre-fire status, black ground surface with recognizable fine fuels remaining
181 on surface, and fine roots unchanged.

182 In the burned area, three small portions (about 9 m²) was mulched with a cover (more or
183 less 3 to 5 cm of thickness) of fern residues, cut from an adjacent zone, and distributed
184 over ground at a dose of 500 g/m² of fresh weight (equivalent to 200 g/m² of straw dry
185 matter, usually applied in burned and mulched areas after fire (Vega et al. 2014; Lucas-
186 Borja et al. 2018).

187 A third area, which was not burned and was located less than 10 m from the burned
188 areas, in each site was selected to be considered as “control”.

189 Immediately after the prescribed fire and soil mulching, a total of nine small plots (three
190 series of plots, area of 3 m², each series consisting of three replicated plots) were
191 selected and delimited using 0.3-m high metallic sheets inserted up to 0.2 m below the
192 ground surface. The plots were at a reciprocal distance between 1.5 and 2 m. Three plots
193 were set up in the unburned soils (considered as “control”), while six plots were located
194 in the burned area, of which three in the area without treatment (Figure 2a) and three in
195 the mulched area (Figure 2b).

196 Overall, the experimental design consisted of three soil conditions (unburned, burned
197 and untreated, and burned and mulched) × three replicated plots, for a total of nine plots.
198



(a)



(b)

199

200



201
202
203

(c)



204
205
206

(d)

207 Figure 2 - Photos of the experimental plots (a, burned and untreated soils; b, burned and
208 mulched soils), acorn emergence (c) and measurement of seedling height and diameter
209 (d) in oak forest under different soil conditions (Samo, Calabria, Southern Italy).

210

211 *2.3. Vegetation sampling and analysis*

212

213 Acorn rain was estimated in November 2019 (five months after the prescribed fire
214 application and soil treatment with fern mulch) in each plot of the three soil conditions.

215 Seed fall was calculated at each experimental treatment as a sum of acorns found at
216 each plot. The plots were protected with wire netting (1×1 cm mesh size) to avoid
217 acorn predation.

218 Acorn emergence (Figure 2c) was measured one year (June 2020) after the prescribed
219 fire application and soil treatment with fern mulch, while seedling survival was
220 surveyed at the end of the experiment in June 2021 (24 months after fire and mulching).
221 Moreover, the total length of the main stem and root-collar diameter of all surviving
222 seedlings was measured in June of 2020 and 2021 (Figure 2d). Then, in June 2021, the
223 soil supporting seedling growth was gently excavated and the aerial part of the plant
224 was separated from the root system. On the latter, the root length and dry weight (at a
225 temperature of $60\text{ }^{\circ}\text{C}$ for 48 hours) were determined on samples of ten seedlings per
226 plot.

227

228 *2.4. Statistical analyses*

229

230 Previously, Cochran's C test, a one-sided upper limit variance outlier test, was applied
231 to the BMP values, in order to detect possible outliers.

232 Then, two-way ANOVA was applied to evaluate the statistical significance of the
233 differences in the BMP (considered as dependent variables) among the number of
234 replicates and test duration (independent factors).

235 For both tests, to satisfy the assumptions of the statistical tests (equality of variance and
236 normal distribution), the data were subjected to normality test or were square root-
237 transformed whenever necessary.

238 All the statistical tests were carried out by with the XLSTAT software (release 2019).

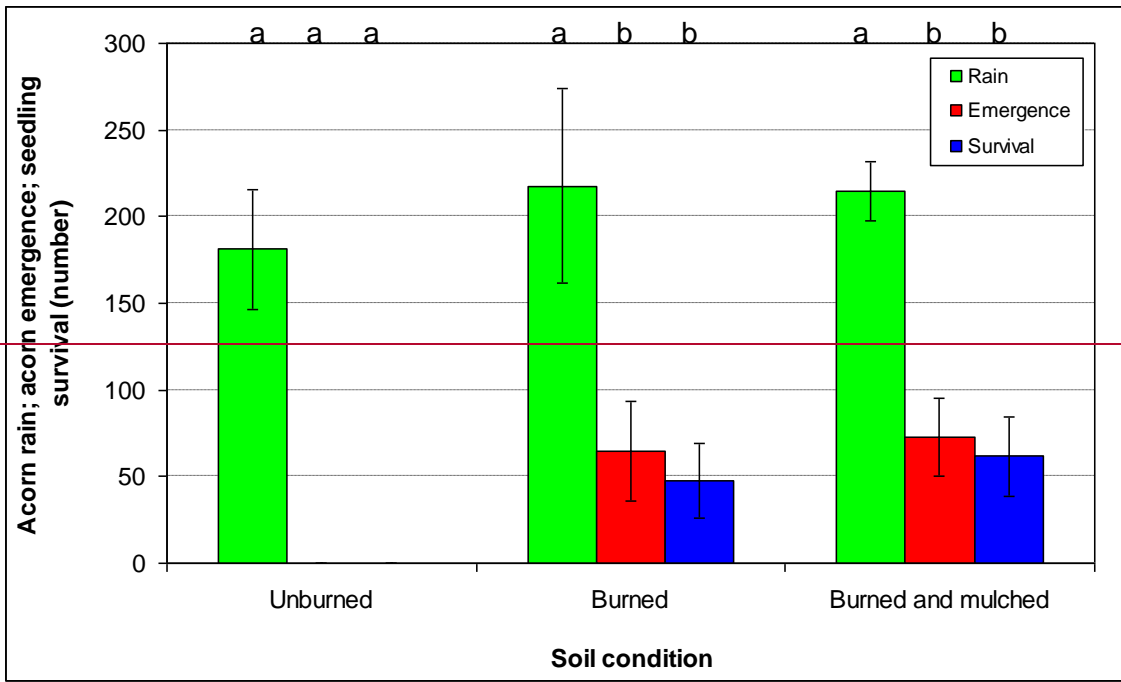
239

240 **3. Results**

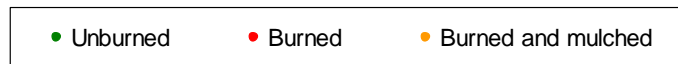
241

242 Compared to the unburned sites, where acorn rain was on average 181 ± 35 , in burned,
243 and burned and mulched plots 218 ± 56 and 214 ± 17 acorns were counted, respectively
244 (Figure 3), although the differences among the different soil conditions were not
245 significant ($p = 0.497$, $n = 3$, after the pairwise comparison by Tukey's test).

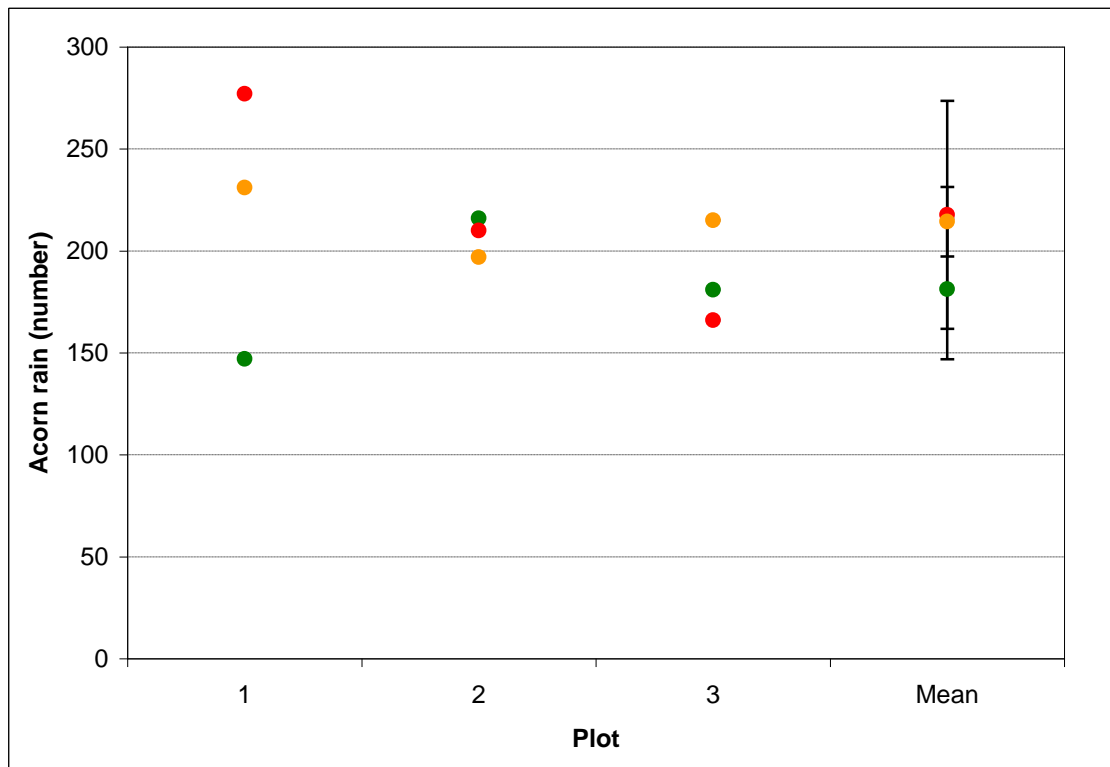
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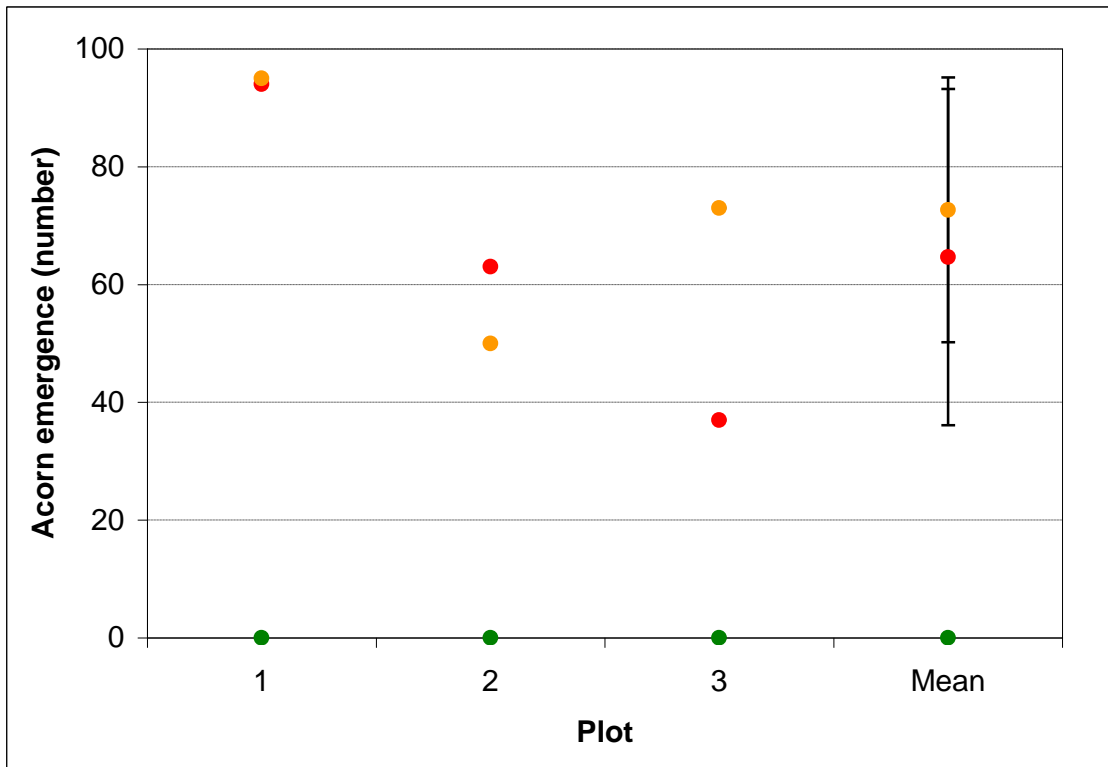
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249

250

(a)

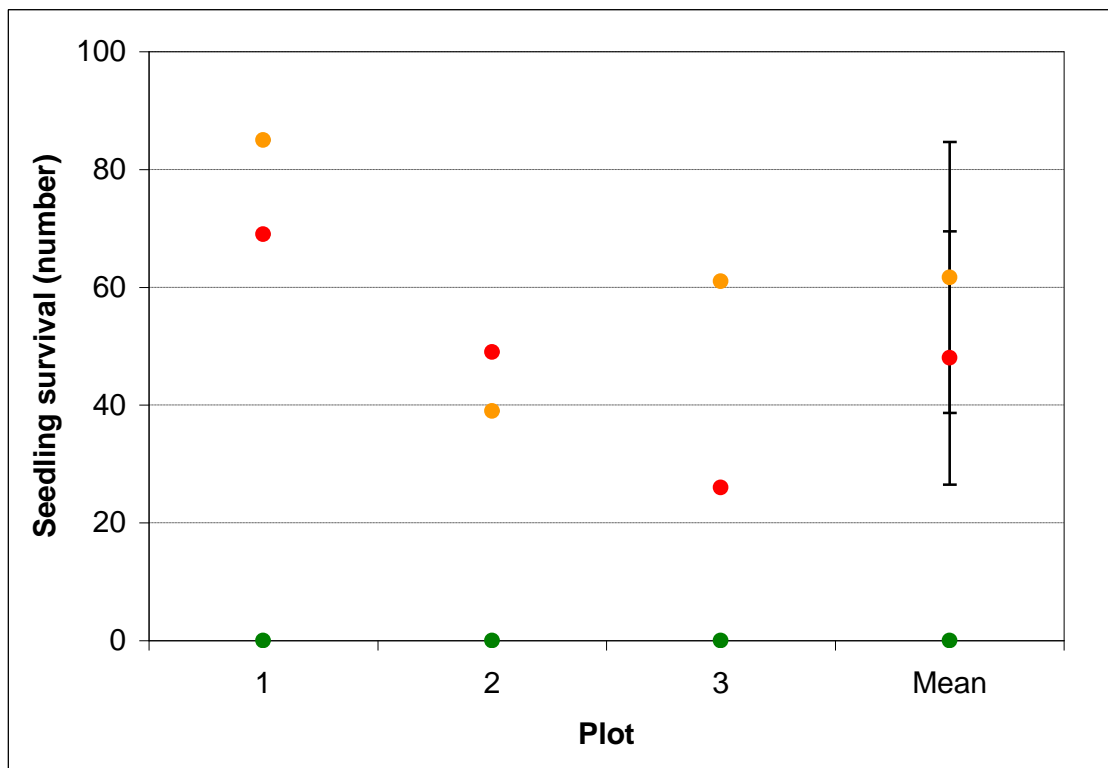


251

252

(b)

253



254

255

(c)

256

257 Figure 3 - Acorn rain (a) and emergence (b), and seedling survival (c) (~~mean \pm standard~~
258 ~~deviation~~) counted in nine plots (three per each soil condition, unburned, burned, and
259 burned and mulched) of oak forest under different soil conditions (Samo, Calabria,
260 Southern Italy). The vertical bar expresses the standard deviation of data in the three
261 plots.
262 ~~Different letters indicate significant differences among the soil conditions after the~~
263 ~~pairwise comparison by Tukey's test ($p < 0.05$, $n = 3$).~~

264

265 While no acorn emergence was noticed in unburned sites, 65 ± 29 acorns germinated on
266 burned soils and 73 ± 23 on burned and mulched plots (Figure 3). Only the difference
267 between unburned, and burned soils (mulched or not) was significant ($p < 0.01$, $n = 3$,
268 after the pairwise comparison by Tukey's test).

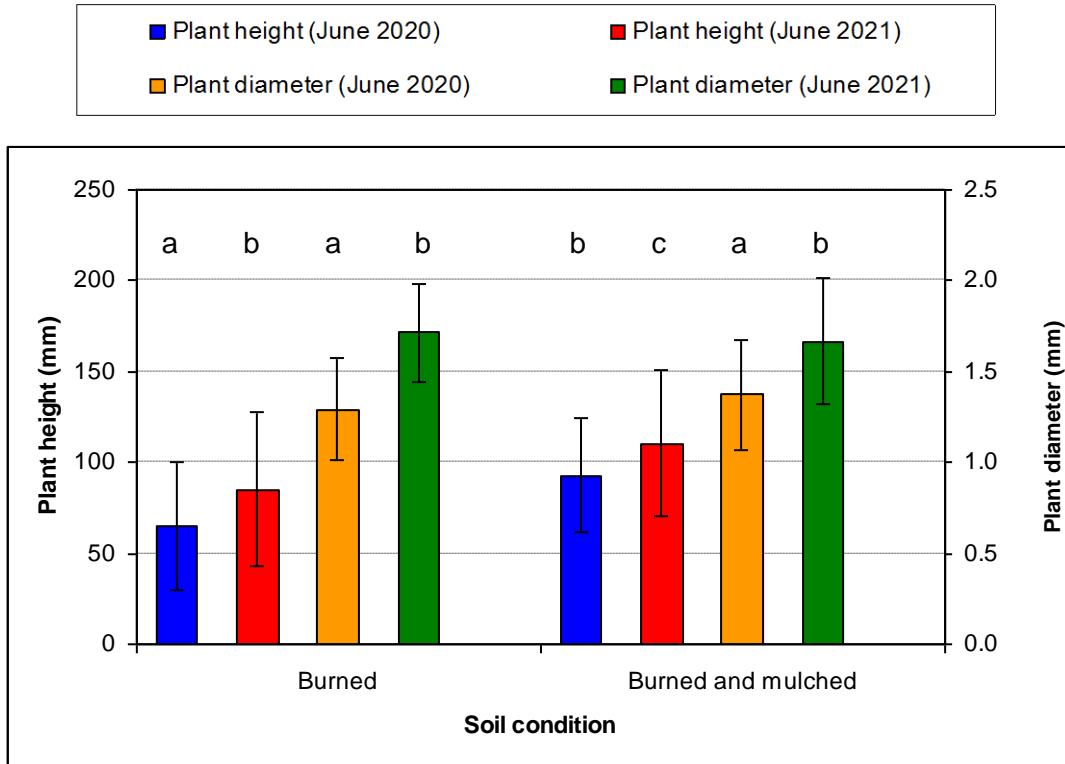
269 Of the emerged acorns, 48 ± 22 and 62 ± 23 seedlings survived on burned, and burned
270 and mulched soils (Figure 3), but the difference between this soil conditions was not
271 significant ($p = 0.068$, $n = 3$, after the pairwise comparison by Tukey's test).

272 It should be highlighted that the values of oak regeneration may be affected by a bias,
273 due to the low number of samples (three plots per soil condition), and the effect of this
274 small size is large. This effect, which was measured by the ratio between the sum of
275 squares between groups and the total sum of the squares (given by ANOVA), was large,
276 according to the reference values proposed by (Cohen 2013), since this ratio is higher
277 than 0.21 for the three variables analyzed (acorn rain and emergence, and seedling
278 survival).

279 At both survey dates (June 2020 and 2021), plant height was higher in burned and
280 mulched plots (92.8 ± 31.2 mm, June 2020, and 110.5 ± 40.3 mm, June 2021) compared
281 to the burned and untreated soils (64.6 ± 35.3 mm, June 2020, and 85.3 ± 41.9 mm, June
282 2021) (Figure 4). According the 2-way ANOVA, both soil condition and survey date
283 were significant factors ($p < 0.0001$ and 0.01 , respectively, $n = 3$, after the pairwise
284 comparison by Tukey's test) on plant height, but not its interaction ($p = 0.630$).

285

286



287

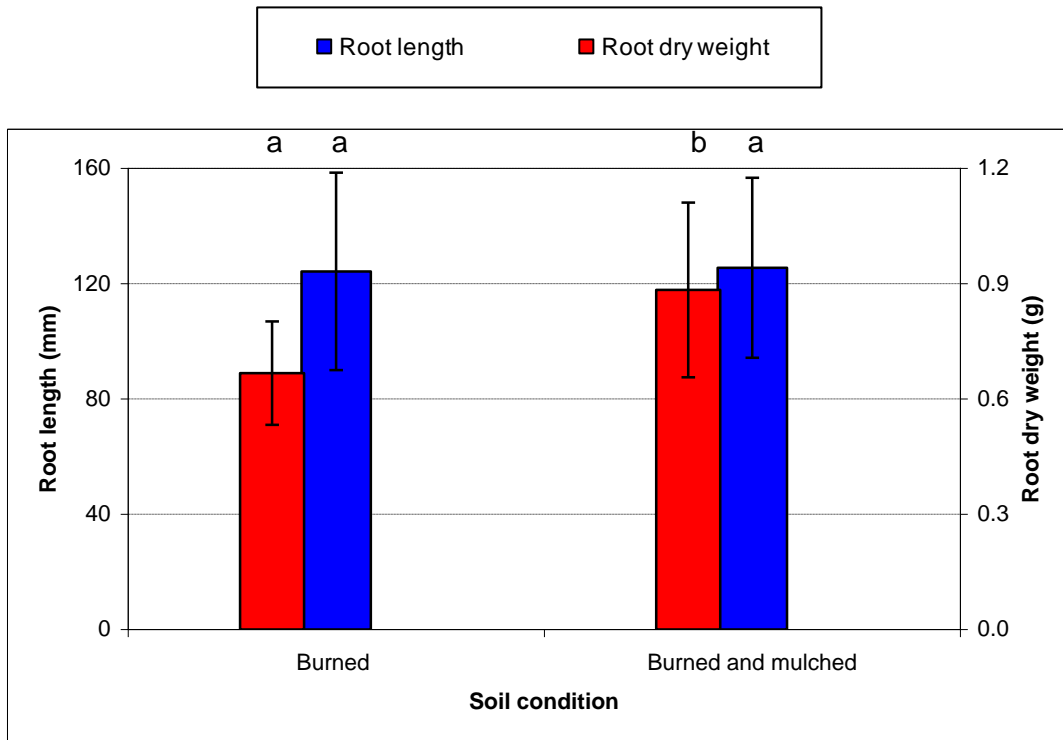
288 Figure 4 - Plant height and diameter (mean \pm standard deviation) surveyed in oak forest
289 under different soil conditions at two survey dates (Samo, Calabria, Southern Italy).
290 Different letters indicate significant differences between the soil conditions after the
291 pairwise comparison by Tukey's test ($p < 0.05$, $n = 3$).

292

293 In June 2020, seedling diameter was lower in the burned and untreated plots ($1.29 \pm$
294 0.28 mm) compared to the burned and mulched soils (1.38 ± 0.30 mm). In contrast, in
295 June 2021, the latter soils showed seedlings with lower diameter (1.67 ± 0.35 mm vs.
296 1.71 ± 0.27 mm of burned and untreated plots) (Figure 4). For seedling characteristic,
297 both the date and its interaction with the soil condition determined significant
298 differences in plant diameter ($p < 0.0001$ and 0.01 , respectively, $n = 3$, after the pairwise
299 comparison by Tukey's test). In contrast, soil condition did not statistically influence
300 the seedling diameter ($p = 0.485$).

301

302



303

304 Figure 5 - Root length and dry weight (mean \pm standard deviation) of oak seedlings
305 under different soil conditions at two survey dates (Samo, Calabria, Southern Italy).
306 Different letters indicate significant differences between the two soil conditions after the
307 pairwise comparison by Tukey's test ($p < 0.05$, $n = 3$).

308

309 Root length (125 ± 34.3 mm and 126 ± 34.0 mm, respectively) was practically the same
310 in burned, and burned and mulched soils. In contrast, the latter soils showed a higher
311 root dry weight (0.88 ± 0.23 g) compared to the burned and untreated plots (0.67 ± 0.14
312 g) (Figure 5). These differences were significant for root dry weight ($p < 0.001$, $n = 3$,
313 after the pairwise comparison by Tukey's test), but not for root length ($p = 0.905$).

314

315 4. Discussions

316

317 Since seedling recruitment is ultimately limited by the quantity of viable acorn fall, an
318 evaluation of seedling patterns is necessary to understand the dynamics of recruitment
319 (Lucas-Borja and Vacchiano 2018). Oak acorns are dispersed across a wide variety of
320 sites covering a range of abiotic and biotic conditions. Given that a similar forest
321 structure of oak forest among all plots, we assumed that acorns fall was similar at all
322 plots. Furthermore, since parent rock material, topography, climate and forest structure

323 were similar among selected plots, differences with respect to seedling emergence,
324 survival and initial growth can be associated with the influence of fire and mulch.
325 While none of the fallen acorns sprouted in the unburned area, about 30% of acorns
326 germinated in the burned areas, of which more than 70% survived. Higher regeneration
327 in burned areas is in agreement with other studies dealing with oak recruitment, which
328 reported higher survival rates (Royse et al. 2010; Brose et al. 2013; Petersson et al.
329 2020), the latter in combination with other treatments (e.g., tree canopy openness or
330 ungulate exclosure) in burned oak stands, while (Hutchinson et al. 2005) found reduced
331 sapling density in areas with burn treatments. Many reasons may explain the greater
332 early recruitment of oak in the burned sites. First, the reduction in tree density and
333 canopy cover increases light availability on the forest floor, which is very important for
334 regeneration of oak species, which are considered light demanding for survival and
335 growth once energy reserves of the cotyledons are exhausted (Annighöfer et al. 2015;
336 Petersson et al. 2020). Second, the lower competition with herbaceous vegetation
337 presumably enhances vegetation growth in this semi-arid environment, having a limited
338 water availability (Garcia-Fayos et al. 2020; Petersson et al. 2020); in contrast, the
339 presence of herb layer found in unburned plots could have delayed or impeded acorn
340 emergence development (Caccia and Ballaré 1998). Third, low-intensity fire creates
341 more favourable seedbed conditions to oak establishment, promoting the contact with
342 mineral soil and thus favouring acorn emergence (Facelli and Pickett 1991; Hutchinson
343 et al. 2005). Fourth, the increases in organic carbon, total nitrogen and available
344 phosphorous measured in the same experimental site immediately after the prescribed
345 fire compared to the unburned sites, followed by significant decreases one year after
346 fire, when the plant regeneration was higher (Carra et al. 2021). A higher availability of
347 organic matter and nitrogen contents of burned soils, which have been well documented
348 after prescribed fires (Úbeda et al. 2005; Alcañiz et al. 2018, 2020; Hueso-González et
349 al. 2018), may have supported the recruitment of oak seedlings detected in this study.
350 These changes in soil properties also improve microbial diversity, which may increase
351 soil fertility (Nannipieri et al. 2003; Lucas-Borja et al. 2012a). The latter effect together
352 with the low damage to canopy acorn bank and the low impact on forest floor
353 conditions after prescribed fires might generate better conditions for initial seedling
354 recruitment. In line with this, (Madrigal et al. 2010) have also shown a positive
355 influence of the soil organic layer remaining after fire on seedling recruitment, which

356 has been related to a higher water content of soils with higher organic matter. However,
357 since several studies have indicated that oak regeneration may be more effective after a
358 single prescribed fire when combined with other treatments (Ssali et al. 2019; Petersson
359 et al. 2020; Izbicki et al. 2020), the opening of tree canopy by mechanized operations
360 and replicated applications of prescribed fire can be suggested, in order to remove
361 midstory competition, increasing understory light, and increasing oak seedling growth
362 and density (Green et al. 2010; Brose et al. 2013; Izbicki et al. 2020).

363 Mulching determined increases - although not being significant - in these percentages
364 (about 35% of germinated acorns, and 85% of survived seedlings) that can be quantified
365 in 14% and 12% for emergence and survival, respectively. (Lucas- Borja et al. 2021)
366 have demonstrated that seedling survival but not emergence rates is largely controlled
367 by post-fire treatments enhancing the establishment of vegetation cover, due to the
368 beneficial effect of shrubs on the recruitment of seedlings located under their canopies
369 (Emborg 1998; Heydari et al. 2017). Moreover, the mulch cover spread over the ground
370 of burned soils acts as a barrier that reduces drought by lowering solar radiation and soil
371 temperature and increasing its water content (Castro et al. 2003; Lucas- Borja et al.
372 2021). Also (Ssali et al. 2019) reported higher seedling survival on soils of tropical
373 forests subjected to post-fire treatment with fern.

374 Many studies have stated that growth of oak seedlings in burned areas is higher
375 compared to fire-excluded sites, since larger diameters (Royse et al. 2010), faster
376 biomass growth (Wang et al. 2005), and greater heights (Petersson et al. 2020) were
377 observed in oak seedlings after prescribed fires.

378 In spite of the non-significant difference in the initial recruitment of oak seedlings
379 between mulched and untreated areas, this post-fire treatment enhanced plant growth at
380 both survey dates, as shown by the significantly greater height (+44% one year after the
381 treatment) compared to the plants grown on burned and untreated plots. In contrast, the
382 plant diameter was not significantly influenced by the treatment (only +6% after one
383 year). These enhancements of the plant morphology lost weight over time (+30% in
384 height two years after the treatment) and even became negative (-3% in diameter) over
385 time. Higher growth in soils burned and mulched with fern was reported also by (Ssali
386 et al. 2019).

387 Regarding the root system, mulching did not significantly influence the length (only
388 +1% in mulched areas compared to the burned and untreated plots), but determined a
389 significant increase (by more than 30%) of the root mass.

390 The faster growth of seedlings in mulched areas compared to the untreated sites may be
391 due to the higher water content, since it is well known that mulching enhances soil
392 moisture which is a key point in natural regeneration process, especially in forest
393 ecosystems of the semi-arid environments with limited water availability (Prosdocimi et
394 al. 2016; Lucas-Borja et al. 2018). (Bautista et al. 2009) theorized that the main
395 advantage of mulch application is the immediate increase in vegetal cover of soil, which
396 result in an effective protection during the first rain events after fire. Moreover, the
397 mulch material can be fast incorporated into the soil, thus increasing the its content of
398 organic matter and nutrients (Bombino et al. 2019).

399

400 **5. Conclusions**

401

402 This study has demonstrated that the application of prescribed burning to an oak stand
403 of the Mediterranean environment play significant effects on plant regeneration
404 compared to the unburned sites. In relation to emergence, in burned areas, about 30% of
405 acorns emerged, of which more than 70% survived. This may be due to the higher light
406 availability on the forest floor, lower competition with herbaceous vegetation, more
407 favourable seedbed conditions to oak establishment, and increases in soil contents of
408 organic carbon, total nitrogen and available phosphorous. This result confirms the first
409 working hypothesis that prescribed burning enhances the initial recruitment of plants,
410 since oak is a forest species that is adapted to fire.

411 Soil mulching with fern applied as anti-erosive post-fire treatment did not significantly
412 increase acorn emergence and plant survival compared to the burned and untreated sites.

413 However, this post-fire treatment significantly enhanced plant height and root mass but
414 not its diameter and root length. With the same root length, higher root biomass with
415 more soil water availability led to greater height growth in the measured seedlings.
416 These contrasting effects, which may be attributed to the higher water content of
417 mulched area of this water-limited in forest ecosystem of the semi-arid environments, in
418 part support the initial hypothesis that soil mulching may be synergistic with the
419 prescribed fire.

420 Overall, the knowledge of the beneficial influences of prescribed fire and post-fire
421 treatments on oak recruitment, thanks to the fire-tolerance character of *Quercus*
422 *frainetto* L., is useful to develop sustainable management plans for the delicate forest
423 ecosystems of the semi-arid Mediterranean environment. More research is needed in
424 order to validate the outcomes of this study on different forest species and to evaluate
425 the effects of prescribed fire and mulching with other forest operations.

426

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428

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435 Firefighters (“Vigili del Fuoco”) for their valuable support in prescribed fire application
436 and monitoring of the forest sites.

437

438 **Author contributions**

439

440 Conceptualization, B.G.C., G.B., M.E.L.B. and D.A.Z.; methodology, B.G.C., A.L.,
441 P.A.P.A., M.E.L.B. and D.A.Z.; validation, G.B., M.E.L.B. and D.A.Z.; formal
442 analysis, G.B., M.E.L.B. and D.A.Z.; investigation, B.G.C., A.L., and P.A.P.A.; data
443 curation, B.G.C., A.L., P.A.P.A., and D.A.Z.; writing - original draft preparation,
444 B.G.C., and D.A.Z.; writing - review and editing, B.G.C., G.B., M.E.L.B and D.A.Z.;
445 supervision, M.E.L.B and D.A.Z.; project administration, D.A.Z.; funding acquisition,
446 D.A.Z. All authors have read and agreed to the published version of the manuscript.

447

448 **Conflicts of interest statement**

449

450 The authors declare that they have no conflict of interest.

451

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453

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