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(Article begins on next page)

Assessing bioplastics biodegradability by standard and research methods: current trends and open

3 issues

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8

9 Abstract

10 Bioplastics are currently and increasingly used as substitutes of conventional plastics; furthermore, 11 they are mainly utilized in order to cope with problems related to plastic-based pollution. Certified 12 international standard methods identify the criteria a bioplastic must comply with in order to be 13 labelled as compostable and/or biodegradable. In addition, this is particularly the case when operating 14 under the conditions that are expected in full-scale waste facilities. However, biodegradation in natural 15 environments occurs under a manifold of different conditions, such that the aim of research studies is 16 to estimate the extent to which a bioplastic can biodegrade under simulated natural conditions. For this 17 reason, specific indexes are used to quantitatively estimate the degree of degradation. In the present 18 paper, a description of the standard methods, research methods, and the indexes used to assess the 19 biodegradability of bioplastics under different environmental conditions is provided. By summarising 20 the results obtained by this study, it can be concluded that: (i) biopolymers claimed as biodegradable 21 bioplastics may not degrade in full-scale plants due to the fact that the process conditions present in 22 industrial waste treatment plants cannot completely reproduced at lab-scale; (ii) the static conditions 23 set by the standard methods are not representative of the dynamic processes that occur in natural or 24 industrial environments; and (iii) experimental tests are difficult to compare to one other due to the 25 differences in the multitude of matrixes that can be used (i.e., inocula, soils, and biopolymers).

26

27 Keywords: bioplastics; standard methods; degradation indexes; plastic pollution.

29 **1** Introduction

30 Plastics, since their early developments in the 1950's [1], have covered a crucial role in everybody daily life and represented a real "game-changer" in every industrial sector they have been used. This is due to 31 32 their convenience, easy production, resistance to corrosion, and low cost [2,3]. Plastics annual 33 production has been estimated to account for more than 367 million tonnes [4,5]. However, the 34 improper management and disposal of wasted plastics have converted their usefulness into a serious 35 issue [3] due to their persistence in the environment and to the release of possible toxic compounds 36 (generally used for their production) during plastics degradation [6]. Moreover, plastics debris 37 represents a significant economic and environmental damage to several activities, such as tourism, 38 fishery production, and shipping [7]. In fact, up to 4% of yearly plastic production ends up in the oceans 39 [8], constituting the main component of the marine litter (> 80%) [7]. Another issue related to the 40 leakage of plastics into the environment is their disintegration in small pieces - below 5 mm - known as 41 microplastics, which can be ingested by marine creatures and also enter into the food chain, even the 42 one concerning humans [7,9]. A variety of human health problems, such as cancer, respiratory, and 43 reproductive problems, may be attributed to plastics assimilation via ingestion (e.g., contaminated food) 44 as well as by inhalation (e.g., dust or contaminated air) [6]. A study that was conducted analysing data 45 collected from world's oceans expeditions in the period 2007 – 2013 [10], estimated over 5 trillion 46 plastic particles weighing over 265,000 tons floating in the oceans. This was deemed to be the result of 47 accumulation of plastics litter over the years - due to the increasing growth of 'single-use' plastics (such 48 as disposable cups, lids, straws and cutlery) - which are rarely recycled and usually disposed of 49 uncontrolledly, ending up in the environments and especially in oceans [7]. Around 13% (w/w) of the 50 total weight estimated [10] was attributed to microplastics. Indeed, there are even smaller pieces of 51 plastics than microplastics, within the size range of 1 to 1000 nm, which are known as nanoplastics 52 [11]. Due to their size dimension, nanoplastics demonstrate a colloidal behaviour that prevents them 53 from sedimentation [11]. Nanoplastics are more harmful of microplastics than microplastics due to the 54 fact that they can cross biological barriers [12]. However, due to the lack of suitable methods for the 55 detection and characterisation of nanoplastics, few studies have been conducted regarding their 56 influence on the environment and living organisms [6]. Moreover, traditional plastics are commonly 57 created from products of fossil-fuel origin, such that their production cannot be considered 58 environmentally friendly.

In order to overcome, at least partially, the problems related to plastic goods production and end-of-life, bioplastics were developed in the last few decades as a valid substitute to conventional plastics. A multitude of materials belong to the family of bioplastics. Indeed, they largely differ from each other depending on the polymer they are composed of, as well as in respect to the structural characteristics that mainly affect their persistence in the environment when released. Hence, the term bioplastics refers 64 to both bio-based plastics (i.e., plastics that composed of biogenic materials, such as crop-based 65 feedstock [13] or organic waste [14,15]) and biodegradable plastics. In respect of issue, it must be noted 66 that: (i) not all bioplastics are biodegradable; (ii) certain plastics of petrochemical origin can also be 67 labelled as bioplastic due to their biodegradable properties. Therefore, a bioplastic is a material that is either bio-based, biodegradable, or both [16]. Moreover, they can be produced by biological 68 69 fermentation or by chemical polymerisation [17,18]. In the first case, only renewable feedstocks (such 70 as corn, sugar cane, soybean, etc.) can be used as the base material, while chemical polymerisation can 71 occur independently from the raw material used [16]. The use of microalgae for the production of 72 bioplastics (e.g., the extraction of lipids and cellulose from microalgae biomass) has been receiving much 73 attention in recent times. This is likely due to the fact that bioplastics derived from microalgae can be 74 considered as both bio-based and biodegradable [19]. Examples of bio-based bioplastics are: poly-75 hydroxyalkanoates (PHA); polyhydroxybutirate (PHB); polylactic acid (PLA); bio-polyethylene (Bio-76 PE); bio-polyethylene terephthalate (Bio-PET); bio-polyvinyl-chloride (Bio-PVC); and bio-polyurethane 77 (Bio-PU) [20–23]. Meanwhile, examples of fossil-based bioplastics are: poly (butylene succinate) (PBS); 78 poly(e-caprolactone) (PCL); poly (butylene adipate-co-terephthalate) (PBAT); and poly(butylene 79 succinate-co-butylene adipate) (PBSA), [20,24,25].

80 The global world bioplastics production in 2021 was around 2.4 million tonnes and is expected to 81 increase (i.e., an over 200% growth rate) to 7.5 million tonnes in 2026, thereby accounting for the 2% 82 of the expected global production of plastics [26]. The continuous increase in the global bioplastics 83 production can be attributed to their versatility in several applications (such as in respect to packaging 84 and consumer products, as well as in electronics and automotive industries [4]). Packaging, for instance, 85 representing 48% of the total bioplastics market in 2021 [4] is one of the most promising and important 86 uses. One of the main advantages in the use of many bioplastics consists in the absence of toxic 87 compounds released in the environment after degradation [27]. Furthermore, bioplastics production 88 does not necessary entail competition with feedstock for food and feed, due to the fact that the land used 89 for the renewable feedstock growth for the purposes of bioplastics production accounts for only 0.01% 90 of the global available agricultural area [26]. In addition, the land use share in 2026 will not exceed 91 0.06% [26]. Moreover, bioplastics can be produced from organic waste [14,15], thus positively 92 contributing to the management of the organic waste through the perspective of the circular economy. 93 On the other hand, the absence of clear labelling and/or inadequate collection, and/or the processing 94 of wasted bioplastics does not prevent the risks that are related to plastics leakage [7], nor in respect to 95 microplastics and nanoplastics pollution [6], however the lower persistence in the environment od 96 biodegradable plastics could reduce the problems related to plastic pollution. As such, the management 97 of bioplastics is extremely important - specifically in terms of the circular economy, especially when 98 referring to their end-of-life options, i.e., recycling, incineration, landfilling, and biodegradation. Due to 99 the variety and heterogeneity of bioplastics, the sorting of and the processing, thus, recycling of 100 bioplastics appears to not to be the most suitable option for their recovery. This may be due to the fact 101 that recycled bioplastics generally show a quality reduction [28] as they may be too degraded to be 102 utilized effectively [29]. In addition, the processes for their recycling are often not mature to be used at 103 industrial level. The use of landfills is not considered to be suitable as end-of-life option, due to the fact 104 that bioplastics can produced methane once landfilled [28,30]. Finally, incineration can be considered 105 as a valid option for wasted bioplastics management if the bioplastics are produced from renewable 106 feedstock; in fact, in this case, the CO_2 produced during combustion, being of renewable origin is not 107 relevant for global warming [31]. Moreover, energy is also produced during this process thus increasing 108 the environmental benefit of the process [29].

109 Biodegradation should convert the biopolymers into non-toxic compounds, such as into monomers, CO₂ 110 and H₂O. Moreover, value-added products, such as compost and methane obtained by biological 111 treatment processes, benefit the environment when compared to petroleum-based plastics [29]. Indeed, 112 when compared to anaerobic digestion (AD) - in which the methane produced can be utilized for the 113 purposes of energy production - industrial composting, in regard to it as end-of-life process for 114 bioplastics, results in a high global warming potential [30]. This is due to the fact that composting-115 related operations are high-energy-consuming processes [32], and because energy recovery is not 116 possible through this process either [32]. However, the real applicability of biological processes for the 117 treatment of used bioplastics, grandly relies on their biodegradability that depends on the complexity 118 of the bioplastics structure and on the type of raw materials used, such that potentially different waste 119 streams should be adopted according to the bioplastics' characteristics. At the moment scientific 120 literature does not report examples of full-scale plants destined specifically to bioplastics treatment.

121 Specific prevention policies in respect to the problem of plastic pollution have been adopted by several 122 countries, such as: the ban of certain disposable plastic items (e.g., straws and plastic cutlery) or the 123 replacement of lightweight plastic carrier bags with biodegradable ones. For instance, the use of 124 compostable and biodegradable bags is now compulsory for the collection of food waste; further, this is 125 addressed in to biological treatment plants in several European countries (such as Italy and Sweden) 126 [33,34]. The extent to which a bioplastic can be labelled as compostable and/or biodegradable in a 127 certain environment (such as aerobic or anaerobic) and under defined conditions (such as mesophilic 128 or thermophilic temperature) is defined by certified international standard methods. These methods, 129 required by national regulations and/or developed for marketing purposes, were established through 130 considering realistic environmental conditions that occur in full scale utilities in respect to organic 131 waste management especially when referring to composting and AD plants. The EU Directive 2015/720 132 firstly placed the attention on the necessity of proper labelling for biodegradable and/or compostable 133 products. This was conducted due to the fact that non-biodegradable and biodegradable plastic items 134 are generally not distinguishable to the public eye, due to their similar physical appearance [33,35]. 135 Furthermore, as a consequence, they may be subjected to unproper treatment. Indeed, according to

136 certain Italian legislation, biological treatment plants can only accept bioplastics that fulfil the 137 requirements of the UNI EN 13432 and UNI EN 14995 directives in respect to packaging and other 138 materials [36] respectively and therefore bioplastics disposed together with food waste must be labelled 139 as compostable and clearly distinguishable from conventional plastics. In other countries (e.g., China), 140 food waste is still collected by non-biodegradable plastic bags and treated in biological (generally 141 anaerobic) treatment plants [37] possibly leading to negative effects on the mechanical equipment (i.e. 142 feeding and mixing devices) and on the biological process [38] and on digestate suitability for 143 agricultural use. Moreover, when it comes to the indiscriminate disposal of wasted bioplastics into the 144 environment, the standard methods for the evaluation of their degradability cannot be applied as 145 degradation/biodegradation processes occur in different conditions. For this reason, research studies 146 were mainly focused on to the investigation of bioplastics' degradation in different, i.e., non-147 standardised environments.

148 For the reasons explained above, the biodegradable plastics industry, although still not fully mature, has 149 already gained a prominent place in plastics global market. However, many issues, related to 150 biodegradable plastics end-of-life and, more specifically, to their biodegradability in natural and 151 industrial environments are still open. As such, in this paper following the description of the main 152 standardised protocols that were adopted for the labelling of biodegradable bioplastics, the methods 153 that were utilized in research studies in order to assess the degradability/biodegradability of bioplastics 154 in different environments are discussed. This paper, summarizing the available information related to 155 assessment of bioplastics biodegradability, aims at helping to re-shape future testing standards and 156 research activities to cover the actual evident lack of knowledge in this field.

157

158 **2 Bioplastics' (bio)degradability**

The ability of a bioplastic to degrade or biodegrade in a specific environment does not depend on the type of materials that were used to synthesise it [39], but on the physico-chemical properties of the bioplastic itself [40], such as its thickness [20], hydrophobicity, molecular weight, and crystallinity [40] or the melting point of the biopolymer [20,34].

In addition to the biomaterial properties, the rate of decomposition of a bioplastic is affected by the specific environmental conditions [41] which must consider the possible presence of microorganisms (such as bacteria or fungi) [23]. The last condition is extremely important to define whether the decomposition of the material occurs only by abiotic processes (i.e., driven by heat, sunlight, moisture, etc.) and/or by the microbial activity (biotic processes) [35,42]. In the last case, it can be said that the decomposition of the bioplastic occurred via *biodegradation*, so that the material is mineralised to CO₂, H₂O, NH₄+, N₂, H₂ and biomass through the biological action [23,27,41]. Both prokaryotic and eukaryotic

- 170 microorganisms are responsible for the biodegradation of bioplastics [17,20,43], while endo- and exo-
- enzymes are functional in respect to the depolymerisation of biopolymers [44,45]. If this is not the case,
- 172 then it is referred to *degradation* as a fragmentation of polymers' chains that occurs via abiotic processes
- 173 [29] leading to the formation of persistent particles [20,46–48]. In any case, as one of the main
- advantages of bioplastics, the remaining residues of degradation/biodegradation should not be toxic for
- living organisms [23,27].
- 176

177 **3 Biodegradability indexes**

The biodegradability of a bioplastic is evaluated by the estimation of so called *biodegradability indexes* as defined in the international standard protocols. These indexes are related to both the structural properties (such as molecular weight and surface morphology) of the bioplastics and the microorganisms' activity, as estimated by the evolution of CO₂, O₂ and/or CH₄, which represents the main indexes for aerobic and anaerobic biological processes respectively. Weight reduction is often used as an indicator of biodegradation despite the fact that mass loss can also occur due to abiotic processes without the involvement of microorganisms [16].

Apart from, or in addition to, standard indexes, other biodegradability quantifiers are monitored during
research activities, such as the decrease in the total carbon (TC) of the bioplastic [49], visual analyses
as discoloration or surface erosion [3,50], ATP measurements for the assessment of oxo-degradable
products [51] and spectroscopic spectrums [52].

189 A particular method, known as clear zone formation or the zone of clearance method, is also often used: 190 (i) as a qualitative indicator of the presence of microorganisms-degrading bioplastics or, when 191 microorganisms (e.g., bacterial strains) are isolated from a specific environmental matrix; as well as (ii) 192 to define the best species able to degrade the biopolymer [27,53]. In other words, the clear zone is a 193 method in which to test the microbial ability to hydrolyse a specific polymer [54] and/or for the 194 assessment of the degradation potential of different microorganisms towards a polymer [55]. In the first 195 case, the emulsified bioplastic contained in the basal medium agar plate represents the source of carbon 196 for microorganisms' growth [25], such that after incubation of the inoculated microbial culture, the 197 presence of a clear halo around the microbial colony represents the synthesis and the excretion of 198 enzymes degrading the biopolymer [54–56]. The biopolymer degradation index (BDI) is then estimated 199 as the ratio between the clear zone diameter and the colony diameter [53]. In the second case, the clear 200 zone test in wells is used to identify the bacterial strains with the best biodegradation ability as higher halo zones formation indicates higher biodegradation activities of the tested microorganisms with 201 202 respect to the bioplastic used as the substrate [25,27].

In general, the conversion of the carbon present in the bioplastic into CO₂ and/or CH₄ is used for the
evaluation of the biodegradability of the test material under anaerobic conditions [52]. The biochemical
methane potential (BMP) test is a method widely used to simulate anaerobic conditions at lab-scale [57].
The CO₂ production or the O₂ consumption are also used as indexes of biodegradability in aerobic
environments [58].

As already mentioned, the extent at which a bioplastic can be biodegraded also depends on the environmental conditions the material is subjected for a certain period, such a temperature, humidity or UV light. The effects of the different combinations of biotic and abiotic processes on bioplastics degradability have been of increasing interest in the last few years in order to understand the mechanisms, and thus the impact, of bioplastic biodegradation in industrial and natural environments [16,59].

214

215 4 Standard and research methods for the assessment of bioplastics' degradability

216 When considering the multitude of existing bioproducts with their different properties and composition, 217 standardisation and certification systems are of extreme importance in order to ensure compliance with 218 national regulation, quality, and the appropriate labelling of the bioplastics [33,35,60]. On the other 219 hand, the test methods described in the standard procedures do not cover all the variety of possible 220 environmental conditions at which the bioplastics can be exposed. In this sense, research that has been 221 conducted for the last few years in regard to better understanding the mechanisms of biodegradation of 222 the different biopolymers has focused not only on the assessment of bioplastics' biodegradation within 223 the common full-scale facilities for municipal waste management, but also on the extreme variability of 224 conditions found in natural environments that can affect – under different aspects - the biodegradation 225 process of a certain material. In other words, as is better explained in Section4.2, recent research has 226 been mostly focused on the understanding of biodegradation mechanisms under non standardised 227 conditions, due to the fact that wasted bioplastics may enter into the environment without being treated 228 or recovered in the proper plants.

229

230 4.1 Standardised Methods

Certain important normalization institutes are active in the field of biodegradable materials, especially
in respect of setting standards for biodegradable and compostable plastics. The main institutes,
classified according to their geographical location, are reported as below:

- 234 USA:
- 235 ASTM (American Society for Testing and Materials) operating in USA-Canada [61];

236	- EU:	
237	0	CEN (Comitè Europèen de Normalization - European Committee of Standardisation)
238		operating in EU and EFTA countries (Iceland, Norway, Switzerland, etc.) [62];
239	0	UNI (Ente italiano di normazione – Italian Institute of Standardisation) operating in Italy
240		[63];
241	0	DIN (Deutsches Institut fur Normung - German Institute for Standardisation) operating
242		in Germany[64];
243	- Asian	countries:
244	0	JAS (Japanese Standard for Association) operating in Japan[65];
245	- Austra	lia:
246	0	AS (Australian Standard) operating in Australia and New Zealand. [66];
247	- World	wide:
248	0	OECD (Organisation for Economic Cooperation and Development) operating in OECD
249		Countries [67];
250	0	ISO (International Organisation for Standardization) operating worldwide[68].
251	The standards	from these organizations played an important role in respect for helping the industry to
252	create biodegi	radable and compostable products that meet the increasing worldwide demand for more
253	environmenta	lly friendly plastics.
254	Various norm	s that describe biodegradation test methods are available; further, they all possess a few
255	basic aspects	in common. First of all, they list test procedures and set the testing conditions, e.g., pH,
256	nutrients, tem	perature, concentration and source of inoculum, etc. The test conditions are set depending
257	on the specif	fic disposal environments, such as those found in: industrial composting, marine
258	environment,	anaerobic digestion, landfill and home composting. However, these tests have a common
259	important lim	iting factor which is the carbon source restricted to the bioplastic sample only. In fact,
260	usually, in all	the environments, additional carbon sources are present. Moreover, the tests are

261 conducted under optimum conditions for the purposes of biodegradation with regard to temperature,
262 moisture, presence of nutrients and micronutrients etc. In respect to inoculum, the biological quality
263 should be assured by the number and the biodiversity of the species present [69].

Biodegradation standards are described in the following sections. In particular, a distinction between
standard specifications and standard test methods is explained.

The various standards are indeed divided into these two groups: (i) standard specifications that describe product requirements and set a test scheme combining different tests, criteria, and pass levels, and (ii) testing standards that describe detailed procedures for the execution of the test methods as well as the evaluation of tests and the permissible limiting values.

270 Standardised methods are summarized in Figure 1.

271



272

273 Figure 1 - Standardised methods

274

275 4.1.1 Industrial compostability

276 The specification standards defining the requirements for the industrial compostability of bioplastics

are listed in Table 1 [69]. There is a large similarity between these standards with only minor differences

related to details.

Geographical	Identifier	Materials covered		
Validity				
		Plastics		
Worldwide	ISO 17088	Plastics — Organic recycling — Specifications for		
		compostable plastics		
European	EN 14995	Plastics - Evaluation of compostability - Test scheme and		
Union		specifications		
USA	ASTM D6400	Compostable Products Testing – Composting		
Australia	AS 43736 -2006	Biodegradable Plastic - Biodegradable Plastics Suitable for		
		Composting and other Microbial Treatment		
Packaging				

European	EN 13432	Packaging - Requirements for packaging recoverable		
Union		through composting and biodegradation - Test scheme and		
		evaluation criteria for the final acceptance of packaging		
Worldwide	ISO 18606	Packaging - Procedures and requirements for packaging		
		suitable for organic recycling.		
Paper coating				
USA	ASTM D6868	Standard Specification for Labelling of End Items that		
		Incorporate Plastics and Polymers as Coatings or Additives		
		with Paper and Other Substrates Designed to be		
		Aerobically Composted in Municipal or Industrial Facilities		

279 Table 1 - Overview of industrial compostability standards related to material and geographical validity

As already mentioned, these standards are specifications and define two requirements [70]:

- a set of scientific tests that can be used to measure the properties of a biopolymer;

- a set of criteria (i.e., threshold values) that these measurements must meet for the biopolymer
to be considered "compostable".

The standards EN 13432:2002, EN 14995:2007, ISO 17088:2021, and ASTM D6400-21 define the same
test scheme for the characterization of a product as compostable.

According to these four standards, in order to be compostable, a product must strictly adhere to the following criteria:

- 288 1. Characterization of material composition: identification of the different constituents (e.g., by IR), 289 organic matter content (represented as volatile solids that must be at least 50% on dry weight), 290 and heavy metals concentration level. Several metals, each with a specific limit, are considered 291 in these standards. They refer to heavy metals limits that are required in order to check compost 292 quality. Polymers or basic packaging materials, usually, pose little problems. However, heavy 293 metals requirements differ among norms both in reference to the type of metal and limit value. 294 In both the EN 14995 and EN 13432 standards, the concentration of any substance (e.g. Zn, Cu, 295 Ni, Cd, Pb, etc.) shall not exceed the tabulated values (e.g., the limit value for Zn is 150 mg/kg 296 substance) [71]. In these cases, it is assumed that 50 % of the original mass of the plastic material 297 will remain in compost following biological treatment together with the complete amount of 298 hazardous substances [71,72]. In addition, ASTM D6400 standard permits higher values for 299 heavy metals within the material than the EN standards allow. For instance, the limit value for 300 Zn is 2800 mg/kg; another example is As, whose limit in ASTM is 41 against the 5 mg/kg 301 established in aforementioned EN standards [73].
- Disintegration: disintegration requirements are incredibly similar in all four standards. At least
 90% of the original dry weight disintegrates into particles having a size of less than 2 mm
 (maximum of 10% of original dry weight may remain after sieving on a 2.0 mm sieve) after a
 specified time. Moreover, EN standards require a maximum of 12 weeks of aerobic composting,

306 5 weeks of anaerobic biogasification, (which is optional and which possesses the option of 307 extension), and the test duration may be modified as necessary as a result of the testing currently 308 being carried out. In the ASTM D6400 standard, test duration is 12 weeks. In respect to the ISO 309 17088 standard, the time is 45 days (with the option of an extension of up to 6 months). Furthermore, the ASTM D6400 standard allows the use of other test methods, such as those 310 311 found in ASTM 5338 and ISO 16929, in order to determine the details of the disintegration. As 312 alternative test methods for disintegration - other than those found in ISO 16929 - the ISO 17088 313 standard includes mentions of the methods detailed in ISO 14855 and ISO 20200. The issue of 314 test duration and fragmentation are two of the most serious within the field and will be 315 discussed further in this paper.

- 316 3. Biodegradation: conversion of the material to carbon dioxide, water, and biomass within a 317 period of 6 months to the extent of 90% for the EN 13432, EN 14995, and ISO 17088 standards. The pass level of 90% is given in respect to biodegradation in absolute terms, or in relative terms 318 319 when compared with the positive reference (e.g., cellulose). That is to say that 90%-of the organic 320 carbon in the whole item or for each organic constituent, which is present in the material at a 321 concentration of more than 1% (i.e., by dry mass), shall be converted to carbon dioxide by the 322 end of the test period when compared to the positive control or in the absolute. The standard 323 ASTM 6400 sets a less stringent threshold of 60% biodegradation within six months for homopolymers or random copolymers, and 90% for copolymers and polymer blends. 324
- 4. Compost quality: the performance of ecotoxicity tests in respect to the finished compost. Final
 compost quality should not be negatively influenced by the addition of a biodegradable plastic
 into the original substrate that is to be composted. This is evaluated by comparing a blank
 compost to a test compost that contains composted bioplastic. As such, the pilot-scale
 composting test for the measurement of biodegradation and ecotoxicity test can be combined.
 In addition, the physico-chemical parameters such as pH, salt content, density, are analysed.
- 331 The ecotoxicity tests are generally carried out via pot tests in which a comparison between a 332 blank compost and test compost is conducted with regard to their respective seeds germination 333 and plant growth. In all four standards the ecotoxicity tests are performed in accordance with OECD 208, which is a terrestrial plant test that is used to determine if composted material is 334 335 toxic to plants. The ASTM, ISO, and EN norms have the same two requirements as concerning 336 ecotoxicity: (i) the plastic-should have concentrations of regulated metals that are lower than 337 50% of those prescribed for sludges or composts in the country where the product is sold (these 338 values are tabulated for each country); and (ii) the germination rate and plant biomass of the sample composts shall be no less than 90% than that of the corresponding blank compost for 339 340 two different plant species (when following the OECD Guideline 208 with the modifications

found in the Annex E of the EN 13432 standard). By fulfilling requirements (i) and (ii), a plastic
product can demonstrate satisfactory territorial safety and the ecotoxicity test is, thus, passed.
Furthermore, only AS 4736-2006 guideline deviates from other standards, thereby requiring an
earthworm toxicity test as well as two plant toxicity tests.

Another interesting standard that is present in the USA only is related to the use of bioplastics incomposite materials (e.g., in packaging).

ASTM D6868-21: Standard Specification for the Labelling of End Items that Incorporate Plastics and
 Polymers as Coatings or Additives with Paper and Other Substrates Designed to be Aerobically Composted
 in Municipal or Industrial Facilities

This is a standard specification for the labelling of end items that incorporate plastics and polymer as coatings or additives with paper, as well as and other substrates that are designed to be aerobically composted in municipal or industrial facilities. The scope is to provide requirements for the purposes of labelling of materials and products (including packaging). Further, this is applicable wherein a biodegradable plastic film or coating is attached to compostable substrates and the entire product or package is designed to be composted in municipal and industrial aerobic composting facilities. Having said this, there is no known ISO equivalent for this standard.

- In order to be composted satisfactorily, the product must demonstrate each of the following threecharacteristics as follows:
- Proper disintegration during composting; after twelve weeks in a controlled composting test, no
 more than 10% of its original dry weight remains after sieving the material through a 2.0 mm
 sieve. Please note, sieving is further discussed below and is a critical part of the test.
- 362 2. Adequate level of inherent biodegradation: an end item, possessing a plastic coating(s) or 363 additives, is considered to have achieved a satisfactory level of biodegradation if the plastic 364 coating or polymeric additives meet the requirements of ASTM 6400 (as previously reported). 365 Moreover, the substrates of the end item are to individually demonstrate that 90% of the organic carbon is converted to carbon dioxide using Test Method D5338 within 180 days at 58°C (to a 366 367 maximum of 62°C), when compared to the positive control. End items composed of ligno-368 cellulosic substrates are permitted to fulfil previous requirements by demonstrating that they 369 are materials of natural origin and therefore they are biodegradable by showing that over 95% 370 of their carbon derives from biobased resources. A problematic issue in respect of this test is 371 that usually the amount of carbon dioxide produced by bioplastic biodegradation is quite limited, thereby affecting the precision of the measurement and the replicability of the 372 373 experiment (in regard to the comparison with the background CO_2 or with a positive control).

374 3. No adverse impacts on the ability of compost to support plant growth: an end item that 375 incorporates a plastic or polymer, after composting, is demonstrated to fulfils two requirements. 376 These two requirements are: the concentrations of heavy metals that are less than 50% of those 377 prescribed in 40 CFR Part 503.13; as well as that the germination rate and the plant biomass resulting from the testing of the sample composts shall be no less than 90% than that of the 378 379 corresponding blank composts in respect of the two different plant species that follow the 380 requirements detailed in the OECD Guideline 208 (which is in conjunction with the 381 modifications found in Annex E of the EN 13432 standard).

382 ISO 18606:2013 - Packaging and the environment — Organic recycling

The ISO 18606:2013 standard specifies procedures and requirements for packaging that are suitable for the purposes of organic recycling. As is the case with EN 13432, packaging is considered recoverable via organic recycling only if all the individual components meet the requirements.

In respect to each of the packaging components the following four aspects are addressed: biodegradation; disintegration during biological waste treatment processes; negative effects on the biological process; and the negative effects on the quality of the resulting compost, including the presence of high levels of regulated metals and other substances that are hazardous to the environment.

In addition, the ISO 18606 standard does not provide information on the requirements for the biodegradability of used packaging which ends up in the soil environment as litter, due to the fact that littering is not considered as a recovery option. It is also not applicable to biological treatment undertaken in small installations by householders.

394 4.1.2 Home compostability

395 Home composting is an important waste management option in various countries. Furthermore, 396 although there are still opposing views concerning hygienic aspects, this does represent a sustainable 397 and valuable option for the purposes of waste reduction. Moreover, temperature trends during the 398 process represents the major difference with industrial composting, in which it is possible to control the 399 environmental conditions [29]. Moreover, while the heat generation is the same in respect to industrial 400 composting, there are greater heat losses and a lower reaction velocity. Therefore, usually, 401 temperatures are slightly higher than those found in the environment. Indeed, certain biodegradable 402 polymers require a thermal trigger in order to commence hydrolysing. As such, this can make quite a 403 difference.

The Belgian certifier TÜV Austria Belgium had developed the first "OK compost" home certification scheme, whereby it was required that there be at least a 90% degradation in 12 months at ambient temperature. The requirements of the OK compost HOME programme, as defined in 2003, have served as the basis for the drafting of several standards, such as: 408 Australia: AS 5810 (2010) – Biodegradable plastics: Biodegradable plastics suitable for home 409 composting. This standard specifies the requirements and procedures in which to determine 410 whether a plastic material is biodegradable in home-composting conditions. In addition, it 411 provides the basis to allow one to label materials and products constituted of plastics as "home 412 compostable" for use in home composting systems. Moreover, this standard stipulates pass/fail 413 criteria that specifically address biodegradability, disintegration during biological treatment, the effect on the biological treatment process, and the effect on the quality of the resulting home 414 415 compost. Therefore, these requirements are mainly similar in respect to the industrial 416 composting requirements, but it this case is required to determine the degree of biodegradation 417 and disintegration at an ambient temperature.

- France: NF T 51800 (2015) Plastics : Specifications for plastics suitable for home composting.
 This norm strictly follow "OK compost" scheme described above.
- Italy: UNI 11355:2010 Plastic items biodegradable in home composting: Requirements, test
 methods, and the UNI 11183:2006 standard. In addition, this also concern plastic materials that
 are biodegradable in terms of home composting, i.e., in respect to requirements and test
 methods. As it will be discussed in a following section, the twelve months requirements for
 composting time required in this method can be considered, in most cases, unrealistic.

425 4.1.3 Biodegradation testing standards

A testing standard or test method is a kind of standard that defines: (i) an exact scientific experimental
procedure that can be applied to a material in order to produce a test result; as well as (ii) an exact way
in which to measure and calculate the results of the test.

The testing standards contain detailed descriptions of the test methods that must be performed according to the stipulations of the aforementioned standard specifications. In addition, the biodegradation testing standards are subdivided into various categories depending on the environmental conditions during the biodegradation tests, as reported in Table 2 [70].

Environment/Treatment					
Compost	Soil	Marine water	Landfilling -AD	Landfilling	Aqueous System - Anaerobic
ASTM D5338 (BIO)	EN 17033 (BIO)	ASTM D6691 (BIO)	ASTM 5511 (BIO)	ISO 15985 (BIO)	ISO 14853 (BIO)
ISO 14855 (BIO)	NF U52001 (BIO)	ASTM D7474 /D7473M (BIO)		ISO 11734 (BIO)	
EN 14045 (DIS)	ISO 17556 (BIO)	OECD 306 (BIO)		ASTMD 5526 (BIO)	

ISO 20200	ASTM 5988	ISO 16221		
(DIS)	(BIO)	(BIO)		
ISO 16929				
(DIS)				

434

435 Table 2 - Biodegradation (BIO) and disintegration (DIS) testing standards

436

437 4.1.4 Composting biodegradation and disintegration standards

Biodegradation during composting is evaluated using the following ISO 14855 and ASTM D5338 testing
standards while the evaluation of disintegration during composting follows three main test standards:

440 EN 14045, ISO 20200 and ISO 16929.

ISO 14855-1:2012 "Determination of the ultimate aerobic biodegradability of plastic materials under
controlled composting conditions — Method by analysis of evolved carbon dioxide — Part 1: General
method"

The standards of ISO 14855-1:2012 specify a method for the determination of the ultimate aerobic biodegradability of bioplastics. This is performed under controlled composting conditions, based on organic compounds, via the measurement of the amount of carbon dioxide that has evolved and the degree of disintegration of the plastic at the end of the test.

448 The composting takes place in an environment wherein temperature, aeration and humidity are closely 449 monitored and controlled. The test method is designed to yield the percentage conversion of the carbon 450 in the test material that has evolved to carbon dioxide, as well progressed in respect of the rate of 451 conversion.

The principle of the test is found in respect to the item that is mixed with mature compost and incubated under batch conditions at 58°C under optimum O_2 and moisture conditions. The mature compost acts at the same time as the carrier matrix, the source of the microorganisms and the source of nutrients. The mixture is continuously aerated and the exhaust air is analysed in terms of produced CO_2 [69].

456 The maximum test duration is 6 months, while a typical minimum duration is 45 days. Further, CO₂ 457 production is continuously measured. After subtracting the background CO₂ production from the blank 458 compost inoculum, the percentage of biodegradation is determined by the net amount of carbon in respect of the test item that is converted to CO₂. A positive reference control, cellulose, is tested in 459 460 parallel to check the activity of the inoculum. Furthermore, strict requirements are imposed on the 461 results for cellulose in order to validate the test. The test item is preferably added in the form of a fine 462 powder. Again, here the test conditions (e.g., temperature and duration) are the most severe issues. 463 Furthermore, the addition of the material as a fine powder is also quite unrealistic. Moreover, the

464 measurement and comparison of the produced CO₂ with a background production are complicated in
 465 terms of precision and reproducibility, especially in respect of the compost heterogeneity.

466 ISO 14855-2:2018 "Determination of the ultimate aerobic biodegradability of plastic materials under
467 controlled composting conditions — Method by analysis of evolved carbon dioxide — Part 2: Gravimetric
468 measurement of carbon dioxide evolved in a laboratory-scale test"

The standard ISO 14855-2:2018 specify a method for determining the ultimate aerobic biodegradability of plastic materials under controlled composting conditions via the gravimetric measurement of the amount of carbon dioxide that has evolved. The method is designed to yield an optimum rate of biodegradation by adjusting the humidity, aeration and temperature of the composting vessel. The degradation rate is periodically measured by determining the mass of the evolved carbon dioxide using an absorption column filled with soda lime and soda talc on an electronic balance.

475 The test material is mixed with an inoculum that is derived from mature compost in conjunction with 476 inert material, such as sea sand. The sea sand plays an active part by acting as a holding body for 477 humidity and microorganisms. When compared with the ISO 14855-1 standard, the amounts of compost 478 inoculum and test samples that are detailed in this document are of a one-tenth size. In order to ensure 479 the activity of the compost inoculum, inert material that provides the mixture with the same texture as 480 soil is mixed into the inoculum. The carbon dioxide that evolves from the test vessel is determined by 481 absorbing it in a carbon dioxide trap, as well as by carrying out gravimetric analyses of the absorbent 482 components. In this method, the degree of biodegradation - expressed as a percentage- is calculated by 483 comparing the amount of carbon dioxide that has evolved with the theoretical amount.

Composting vessels are incubated at a constant temperature of 58°C. In addition, the test is terminated when the plateau phase is reached. The standard time for termination is 45 days, but the test could be continued for up to six months. As such, the same issues raised for previous tests are present in this one too.

488 ASTM D5338-15 - Biodegradation Test – Composting

The ASTM D5338 -15 standard also details a test method that determines the degree and rate of the aerobic biodegradation of plastic materials in respect to their exposure to a controlled-composting environment under laboratory conditions, at thermophilic temperatures. In addition, the ASTM-D5338 standard is not a pass/fail test. The reports indicate what percentage biodegraded over the tested time period, which can be selected by the test requestor. The principle used is the same as that found in ISO 14855. Moreover, this test does not include any testing for the purposes of measuring disintegration.

The evaluation of disintegration during composting has been evaluated in various test procedures
standardised as *ISO 16929 - Determination of the degree of disintegration of plastic materials under*defining composting conditions in a pilot-scale test.

The same procedure was also published in another testing standard *EN 14045 - Packaging Evaluation of the disintegration of packaging materials in practical oriented tests under defined composting conditions.*

500 The principle of the test, however, is that the test material is mixed in with a precise concentration of 501 fresh biowaste and introduced into a pilot-scale composting bin (which possesses a volume of a 502 minimum of 140 l), after which the biological composting process spontaneously starts. A natural 503 ubiquitous microbial population will start the composting process and temperature increase will 504 happen spontaneously. During this process, the composting mass is regularly mixed. Furthermore, the 505 temperature, pH, moisture content and gas composition within the composting material are regularly 506 monitored and are required to fulfil certain requirements in order to ensure sufficient and appropriate 507 microbial activity. After 12 weeks of composting, the test is terminated. Disintegration is evaluated in a 508 quantitative way by sieving over 2 mm, 10 mm and through a mass balance. The compost obtained at 509 the end of the process can be used for further measurements such as chemical analyses and ecotoxicity 510 tests.

A composting environment may be either a pilot-scale composting bin or nets that are buried in a pilotscale composting bin. The volume of each bin shall be high enough for natural self-heating to occur. In addition, sufficient aeration shall be provided by an appropriate air supply system. In order to standardise conditions for the test, the composting trials can be run in bins which are placed in a climatic chamber with a constant chamber temperature. If, during the spontaneous thermophilic phase, the compost reaches temperatures higher than 65°C, then the diversity of the microbial species can be reduced, and the compost can be re-inoculated with mature compost.

- The EN 14045 and ISO 16929 standards share the same procedure, but they differ with respect to bin
 volume which is smaller in the ISO standard (i.e., a minimum volume of 35 l).
- ISO 20200-Plastics Determination of the degree of disintegration of plastic materials under simulated
 composting conditions in a laboratory-scale test

The ISO 20200 method is easier to perform when compared to ISO 16929. There are certain differences
when compared to this test, such as the use of smaller reactors (i.e., a volume between 5 l and 20 l),
whereas disintegration is determined in a similar manner.

The method determines the degree of disintegration in respect of test materials on a laboratory scale under conditions simulating an intensive aerobic composting process. The solid matrix used consists of synthetic solid waste that is inoculated with mature compost, which is taken from municipal or industrial compost plants. Pieces of the plastic test material are composted with this prepared solid matrix. Furthermore, the degree of disintegration is determined after a composting cycle, by sieving the final matrix through a 2 mm sieve in order to recover the non-disintegrated residues. The reduction in mass of the test samples is considered as disintegrated material and used to calculate the degree of disintegration. In this test there is a minimum period of 45 days and a maximum of 90 days in which
reactors are maintained at a constant thermophilic temperature (58°C). It is then followed by a
mesophilic incubation period at room temperature for a maximum period of additional 90 days.

The common issue for all disintegration tests is the feasibility of sieving. The recovery and identification
of small pieces of bioplastics is complicated and results can often be unreliable.

537

538 4.1.5 Soil biodegradability

539 The main standard test methods for the purposes of measuring the biodegradation of plastics in soil 540 (i.e., the ISO 17556, ASTM D5988, NF U52-001, UNI 11462 and EN 17033 methods) determine the rate 541 of biodegradation under normalised conditions. The standard testing procedures are designed to 542 determine the inherent biodegradability of plastics in soil under optimal controlled conditions. Criteria 543 for the biodegradation of materials used in agriculture and horticulture are defined in standard 544 specifications NF U52-001 and UNI 11462, together with the criteria for environmental safety. In the 545 French specification, the evaluation of the biodegradation in soil is not obligatory. The main 546 requirements for mulching films are that: (i) biodegradation achieves at least 90% within 24 months; 547 as well as (ii) material shall not contain heavy metals and no ecotoxicological effects should occur due to the films' biodegradation. A first issue is that it would be difficult to carry out biodegradability tests 548 549 for such a long period; moreover, standards refer to a reference biomass (e.g., cellulose) in order to 550 compare the extent of biodegradation, but no reference soil is indicated, as neither microorganisms nor 551 communities are required to be identified.

552 EN 17033-Plastics - Biodegradable mulch films for use in agriculture and horticulture - Requirements and
553 test methods.

The EN 17033 document specifies the requirements for biodegradable plastic mulch films (BDMs), which are manufactured from thermoplastic materials, and are to be used for mulching applications in agriculture and horticulture. In so doing their composition is taken into account, as well as their biodegradability in soil, the effect on the soil environment (ecotoxicity), their mechanical and optical properties (e.g., thickness, tensile stress, light transmission), and the test procedures for each of the listed categories. Furthermore, a unique aspect of EN 17033 is its focus upon BDMs rather than conventional plastics.

The biodegradability index is represented by the conversion of the carbon source, which is present in the biomaterial into CO₂. In respect of this, it is required to demonstrate $a \ge 90\%$ conversion of film carbon into CO₂ within 2 years under ambient soil conditions. The test method used is the one described in the ISO 17556 standard. ISO 17556:Plastics — Determination of the ultimate aerobic biodegradability of plastic materials in soil by
 measuring the oxygen demand in a respirometer or the amount of carbon dioxide evolved.

567 The ISO 17556 document specifies a method for determining the ultimate aerobic biodegradability of 568 plastic materials in soil by the measuring of the oxygen demand in a closed respirometer, or in regard 569 to the amount of carbon dioxide that has evolved. The method is designed to yield an optimum degree 570 of biodegradability by adjusting the humidity of the test soil. Further, the plastic material is mixed with 571 soil, then the mixture is allowed to stand in a flask over a period of time during which the amount of 572 oxygen consumed (BOD) - or the amount of carbon dioxide evolved - is determined. Provided the CO₂ 573 that has evolved is absorbed, the BOD can be determined by, for example, measuring the amount of 574 oxygen that is required to maintain a constant gas volume in a respirometer flask. The respirometer is 575 set up in a temperature-controlled environment and contains test vessels, each fitted with a CO_2 576 absorber in the headspace, a coulometric oxygen production unit, a manometer, as well as an external 577 monitoring device and recorder. The test vessels are filled to about one third of their volume with the 578 test mixture. If biodegradation takes place, the microorganisms consume oxygen and produce carbon 579 dioxide - which, in turn, is completely absorbed. The pressure drop is detected by a manometer and used 580 to initiate the electrolytic generation of oxygen.

581 4.1.6 High-solids anaerobic/landfill simulation biodegradation

An anaerobic biodegradation test can be divided into two main categories according to moisture content: aquatic tests and high solids tests. These test procedures are intended to apply to any plastic substance that is not toxic to the microorganisms found in anaerobic digesters that process household waste.

586 The biodegradation of bioplastic within a high-solids anaerobic digestion unit is an important 587 phenomenon. This is due to the fact that their presence can affect both the decomposition of other waste 588 materials, which are enclosed by and/or surround the plastic and the resulting quality and appearance 589 of the digestate/compost after the anaerobic digestion process.

This procedure was developed in order to permit the determination of the rate and degree of anaerobic
biodegradability in respect of plastic products when placed in a high-solids anaerobic digester.

592 One of the earlier high-solids anaerobic biodegradation test methods for bioplastics was developed by593 ASTM in the form of the ASTM D5511 standard.

594 ASTM D5511: Anaerobic Biodegradation.

595 The ASTM D5511 test method covers the determination of the degree and rate of anaerobic 596 biodegradation of plastic materials in high-solids environments (more than 30% total solids) under 597 anaerobic conditions and static (non-mixed) conditions. Thereafter, the same method was published by ISO as: ISO 15985-Plastics - Evaluation of the ultimate anaerobic biodegradability and disintegration
under high solids anaerobic digestion conditions - Method by analysis of released biogas.

Both standards describe tests that utilize a TS concentration higher than 20% (i.e., a high-solid condition) at a thermophilic temperature (about 52 °C in the ISO 15985 standard) or mesophilic temperature (about 35 °C in the ASTM D5511 standard). This is performed in conjunction with mixed inocula that are derived from anaerobic digesters operating only on pre-treated household waste. The volume of biogas produced is measured and used in order to calculate the percentage of biodegradation, which itself is based on carbon conversion.

Even in this standard, the issue regarding the correct evaluation of the difference between biogas
production in the reactor that contains the bioplastic and the same production in the blank is a key factor
for the reliability of the test.

609 Landfill simulation tests represented another category of dry, anaerobic biodegradation testing.

610 The decomposition of a bioplastic within a landfill environment involves biological processes that will611 affect the decomposition of other materials that are enclosed by or are in close proximity to the plastic.

The rapid degradation of the bioplastic materials would have the ability to increase the economic feasibility of landfill gas recovery, to minimize the duration of after-care of the landfill, and render possible the recovery of the volume generated thanks to the biodegradation of the bioplastics during the active life of the landfill. This procedure was developed in order to permit a better determination of the anaerobic biodegradability of plastic products when placed in biologically active environments simulating landfill conditions.

618 In this simulation tests, there is a lower concentration of microorganisms, which thus determines a 619 slower biological activity if compared to high solids anaerobic digestion tests. Biodegradation is 620 evaluated through a measurement of biogas as in the ASTM D5526 standard. Furthermore, it provides 621 the percentage of conversion in respect of carbon in the test sample to carbon in the gaseous form (CH_4 622 and CO₂) under conditions that mimic landfill conditions. This test method covers the determination of 623 the degree and rate of anaerobic biodegradation of plastic materials in an accelerated-landfill test 624 environment. Furthermore, this test is carried out at a constant temperature; moreover, it can be run 625 for as long as required in order to establish the time it takes for the bioplastic sample to degrade.

626 4.1.7 Aquatic, anaerobic biodegradation

Fresh and marine waters became the most vulnerable environments in respect to plastic pollution.
Plastic contamination - especially plastic debris such as microplastics and nanoplastics - is currently one
of the most serious problems in both marine and freshwater aquatic ecosystems.

- In the field of bioplastic production and in relation to aquatic environment, the main test standard thatapplies is the ISO 14853 standard.
- ISO 14853-Plastics Determination of the ultimate anaerobic degradability in an aqueous system Method
 by measurement of biogas production.
- The ISO 14853 standard specifies a method for the determination of the ultimate anaerobic
 biodegradability of plastics by anaerobic microorganisms in an aqueous environment. The principle is
 placing the test item in an aqueous inoculated (anaerobic sludge) medium and is conducted under batch
 conditions at a mesophilic temperature.
- 638 In detail, incubation should take place in sealed vessels at a constant temperature of 35 (± 2) °C, which 639 is a normal temperature for an anaerobic digester. Further, it must be noted that the normal test 640 duration is 60 days. Furthermore, the test may be termined earlier if the biodegradation curve obtained 641 from the pressure or volume measurements has reached a plateau phase. On the contrary, it can be 642 extended until the plateau phase is reached; in addition, in respect of this, the maximum test duration is 643 nevertheless limited to 90 days. The period of exposure regarding the test material in this test is longer 644 than the normal sludge retention time (i.e., 25 - 30 days) in an anaerobic digester while temperature is 645 significantly higher of that of aqueous natural environments.
- 646 The amount of microbiologically produced biogas carbon is calculated from the net biogas production647 in respect to a blank.
- 648 4.1.8 Marine biodegradation
- Marine environments cover two-thirds of the Earth's surface area and include a great variety of habitats,
 from open-ocean and coastal ecosystems to deep-sea environments.
- The first specific standards for marine biodegradation of plastic were published in the OECD 306 standard.
- 653 *OECD 306: Biodegradation Test Seawater*
- The OECD 306 norm provides a first evaluation of biodegradability in seawater by describing twomethods: the shake flask method and the closed bottle method.
- 1. The shake flask method consists of a dissolution of a pre-determined amount of the test substance in the test medium in order to yield a concentration of 5 40 mg L⁻¹ dissolved organic carbon (DOC). Five flasks, at least, should be used: two for the test suspension, two for the blank and one for procedure control. The solution of the test substance in the test medium is incubated, under agitation in the dark or in diffuse light under aerobic conditions, at a fixed temperature which normally is within the range of 15 20°C. The recommended maximum test duration is around 60 days. Furthermore, degradation is followed by DOC measurements (i.e., in the form

of ultimate degradation) and, in some cases, by specific analysis (primary degradation).
However, it must be noted that this method is rarely used for biodegradable plastic.

2. The closed bottle method consists of a dissolution of a pre-determined amount of the test 665 666 substance in the test medium in a concentration of usually 2 - 10 mg L^{-1} (one or more 667 concentrations may be used). The solution is kept in a full and closed bottle in the dark; further, 668 it is kept in a constant temperature bath or enclosure that is controlled within a range of 15 - 20669 °C. The degradation is then followed by oxygen analyses over a 28-day period. However, if the 670 blank biological oxygen demand value remains within the 30 % limit, the test could be 671 prolonged. Twenty-four bottles are at least used (eight for the test substance, eight for reference 672 compound and eight for seawater plus nutrient). All the analyses are performed on duplicate 673 bottles. Moreover, four determinations of dissolved oxygen, at least, are performed (i.e., days 0, 674 5, 15 and 28) using a chemical or electrochemical method.

This test provides a first impression of biodegradability within seawater. The degradation of organic chemicals in seawater has generally been found to be slower than that experienced in freshwater, activated sludge, and sewage effluent. Therefore, a positive result obtained during 28 days in a biodegradability seawater test (> 60% ThOD – theoretical oxygen demand - and > 70% DOC) can normally be regarded as an indication of ready biodegradability. Both the methods described in the OECD 306 standard are not, in actuality, suitable for bioplastics even if these were the first standards used in order to test biodegradability of plastic in general.

As an aside, a standard for measurement of marine biodegradation for bioplastics was published alsoby ASTM.

ASTM D6691 - Standard test method for determining aerobic biodegradation of plastic materials in the
 marine environments by a defined microbial consortium or natural seawater inoculum.

The ASTM D6691 test method establishes the procedures, equipment, materials, and conditions that are
 required in order to measure the degree and rate of biodegradation of plastic materials under aerobic
 mesophilic marine water conditions.

Furthermore, this method is designed to index polymer materials that are possibly biodegradable in an aerobic marine environment. The test method consists of preparing a uniform inoculum of marine water, exposing the plastic samples to marine water, measuring biodegradation with a carbon dioxide respirometer or equivalent measurement method, and assessing the percentage of carbon conversion in the plastic carbon dioxide.

694 ASTM D7473/D7473M: Standard Test Method for Weight Attrition of Non-floating Plastic Materials by 695 Open System Aquarium Incubations

696 The ASTM D7473/D7473M standard is another standard that concerns the measurement of 697 biodegradation in a marine environment. This test method is used to determine the weight loss as a 698 function of time in respect of non-floating plastic materials. The method entails the materials being 699 incubated under changing marine aquarium conditions. These conditions are representative of aquatic 690 environments near the coastal regions and near the bottom of a body of water, particularly in respect to 691 an absence of UV light and visible portions of the electromagnetic spectrum.

702 The aquarium-incubated plastic materials are examined in respect of determining the extent of visual 703 degradation and dry weight loss over time. This test does not provide information on ultimate 704 biodegradation (that is, it is not a replacement for Test Method D6691), but it is an ASTM method that 705 can be utilized for purposes of assessing weight attrition. The standard addresses only weight loss as a 706 function of time of the plastics materials in a marine environment and cannot be used for the purposes 707 of demonstrating ultimate biodegradation. In addition, it is considered insufficient for establishing 708 biodegradability on its own and is only completed for materials achieving at least 30% biodegradability 709 in the ASTM D6691 standard.

Furthermore, the aquarium incubation test method allows for the assessment of representative indigenous microorganisms that are present in seawater and marine sediment in terms of how they can be enriched for and can carry out the biodegradation. It is recommended that the test be carried out in the geographical vicinity (latitudinal area) where the test materials are likely to be used. These aquarium studies are conducted in indoor environments, hence any sunlight-induced effects on degradation, or biodegradation, or both, are not taken into account.

716

717 In addition, this test method also consists of exposing film pieces in the absence of light to natural 718 flowing seawater or sediment surfaces under natural flowing seawater in open tray incubators. Further, 719 this should be conducted in a marine aquarium at seasonally varying water temperatures; however, this 720 can vary depending on in situ conditions.

Film pieces are harvested at varied time intervals in order to assess visual impacts of exposure and degradation, as well as in respect of determining the percentage loss in terms of dry weight and weight loss per unit area. It is required the prior determination of its organic carbon biodegradability to CO₂, which is based on the outcome of Test Method D6691. It must also be noted that the test entails a maximum duration of 180 days.

The goal of this test is to obtain data that can be used to assess the potential for physical degradation ofthe test material.

728 As already demonstrated, the standard test in the marine environment has aided researchers in 729 foreseeing that a minimum duration of 28 days and maximum duration of 6 months is sufficient. 730 However, in that timeframe the item can still cause harm to marine life via ingestion, entanglement, etc. 731 This is one of the most limiting aspects related to the marine environment standard. Moreover, due to 732 the high variability in marine conditions (i.e., temperature, salinity, exposure to light, etc.) the standard 733 tests that are based on laboratory procedures cannot mimic completely the full spectrum of marine 734 conditions that can be encountered (such as, the cool water in the northern and southern hemisphere 735 [29]). Another important, and undervalued, aspect is in the fact that it is almost impossible for this test 736 to replicate the abiotic degradation that is caused by exposure to light, waves agitation, etc.

737

738 4.2 Methods used in research activities

739 The test methods that are used in research activities generally refer to the standard methods. As 740 previously reported, these standards are utilized in order to focus on assigning rules that a product must 741 comply with before it could be labelled as a bioproduct and/or as biodegradable under certain environmental conditions. However, standards cannot cover all the possible existing environmental 742 743 conditions in the treatment plants and in natural environments. For this reason, research experiments 744 aim at simulating a great variety of different environments in order to assess the degradability, or rather 745 the biodegradability, of a certain product in a specific condition by studying the kinetic variations of 746 selected parameters (such as mass weight, molecular structure of the biopolymer, as well as the 747 chemical and microbiologic composition of the soil or other biological mediums [39]).

748 Moreover, in considering the variety of base materials that can be used for the production of bioplastic 749 products, research activities are often conducted on novel "lab-produced" bioplastics rather than on the 750 ones that are already labelled and marketed as bioplastic material. Indeed, the focus of many studies is 751 to develop bioplastics (for specific issues, such as food packaging [74] or the replacement of disposable 752 plastics [75]) that can be completely degraded as much and as easily as possible after their use. 753 Therefore, the tested materials refer to both certified bioplastics products (such as starch-based 754 shopping bags and PLA goods [36,57,76,77], and bottles used for the packaging of water [78]) and novel 755 lab-made bioplastic blends (such as silk fibre + glycerol + wheat gluten [75], corn starch + PCL + biochar 756 [79], and PLA + PHA [80]).

757 In the following subsections, the main test methods and the parameters used at research level to 758 evaluate the degree of degradation of bioplastics in different environments (specifically in soil, 759 composting/anaerobic digestion plants and aquatic environments) are summarised.

760 *4.2.1* Soil

761 Tests carried out in soils are mainly addressed within the definition of the biodegradability of bioplastics 762 when improperly disposed of in the environment, such that they are accidentally buried in soils. 763 Biodegradability experiments in soils are carried out both in natural field or at lab-scale, generally by 764 the use of small pots or larger containers. The biodegradability of the tested bioplastic is mostly affected 765 by the type of selected soil in which specific microorganisms are naturally present [39]. This leads to a 766 difficulty in comparing the biodegradability of the same material within different soils, due to the fact 767 that the biodegradation mechanisms change not only over the season but also from place to place [81]. 768 For instance, sandy soils do not generally represents a favourable environment for the purposes of 769 biopolymer degradation. This is due to the fact that they are characterised by low water content (which 770 is the medium for most microorganisms is soil)[16].

The natural environment at lab-scale is simulated by varying temperature, humidity, depth, and the size of the buried samples, as reported in Table 3. The test is generally stopped when no variation in selected parameters (e.g., weight loss) is observed, such that - depending on the tested materials and the environmental conditions - test duration varies from a few weeks up to one year. In addition, biodegradability can also vary from less than 5% up to complete (almost 100%) degradation (Table 3).

776 Mass loss (which is periodically measured) is the main index that is used to assess the biodegradability 777 of bioplastics in soil. This is because it is assumed that (i) microorganisms are present in the soil and 778 that (ii) they would be able to degrade the material. For the same reason, disintegration is also 779 considered an index of biodegradability. Furthermore, the analysis is usually conducted by sieving the 780 final matrix through a 2 mm sieve in order to recover the non-disintegrated residues [76]. Less 781 frequently, microstructure characteristics that are determined via FTIR spectroscopy or X-ray 782 diffraction (XRD) are analysed [82]. In some cases, analyses on quantification and biomass diversity are 783 carried out in order to define a relationship between the degradation of bioplastics and the bacterial 784 biomass in the soil [49]. Conversely, specific microbial culture from soil are isolated, by means of certain 785 methods - such as the already mentioned clear zone formation [25,83]- in order to investigate the 786 relationship between bioplastic biodegradation and microbial colonisation [84]. For instance, bacteria 787 (Pseudomonas and Bacillus strains), fungi (Geomyces, Sclerotinia, Fusarium and Mortierella strains) and 788 yeast (Hansenula anomala) that are all isolated from Antarctic soil samples were found to be good 789 candidates for effective PCL, PBS and PBSA degradation at low temperatures (< 20°C) [25]. In addition, 790 fungal strains (Apiotrichum porosum, Penicillium samsonianum, Talaromyces pinophilus, Purpureocillium 791 lilacinum, and Fusicolla acetilerea) that were isolated from terrestrial environments in various region of 792 Korea were able to degrade PLA and PCL polymers [83]. Moreover. bacteria from the genus 793 Amycolatopsis sp., which were isolated from agricultural soils collected in northern Thailand, showed

enzymatic activity for both PLA and PCL [53]. When no microbial analysis is conducted, the presence of

- microorganisms is confirmed via the monitoring of the production of CO_2 [23,85] in relation to a blank.
- 796

Environmental conditions in soil biodegradability tests			
Test parameter	Range	References	
Temperature	20 – 60 °C	[23,82,86]	
Humidity	30 - 80%	[86-88]	
Soil Depth	0.05 – 0.15 m	[77,89]	
Size of the sample	from 0.015 m x 0.015 m to 0.4 m x 0.2 m	[14,75]	
Test duration	few weeks to one year	[42,90–92]	
Biodegradability inde	xes		
Mass loss		[23],[49]	
Disintegration	[76]		
FTIR spectroscopy - X-F	Ray Diffraction (XRD)	[82]	
Biomass diversity	[49]		
Isolation of microbial cu	[25,83]		
CO ₂ production	[23,85]		
Biodegradability	< 5% - 100%	[14,41,42,49,75-77,88,93]	

797

798 Table 3 – Summary of the environmental conditions, biodegradability indicators and biodegradability 799 achieved in soil environment

800

801 When compared to tests carried out according to a standard method (such as for marketing purposes), 802 research studies mainly focus on the evaluation of the degree biodegradation, thereby often omitting 803 the importance of carrying out ecotoxicity tests (e.g., by evaluating the seed germination indexes [85]). 804 Even if the degradation of the bioplastic material does not imply a release of toxic compounds, certain 805 disturbances to the soil microorganisms may occur due to the possible accumulation of metabolic 806 intermediates, oxygen depletion in soil (due to the fact that, it would be consumed during the process 807 of bioplastics biodegradation), as well as in regard to the variation in the soil's physico-chemical 808 characteristics. Although soil quality could be deeply affected by the degradation of the buried 809 bioplastics, a few studies have specifically investigated on its effects in respect to soils. Abe et al. [42] 810 found that the degradation of the biopolymer (which was specifically a starch-xylan blend) in soil did 811 not inhibit the growth of *S. cerevisiae*; similarly, Bhowmik et al. [75] found that soil quality was not 812 significantly affected by the degradation of a bioplastic blend (i.e., waste Kibisu silk fibre + wheat gluten). 813 It is important to highlight that these results are for single lab-scale tests and, consequently, cannot be 814 representative of the degradation's effects that may occur in natural real conditions, whereby the high 815 amount of heterogeneous biodegradable materials can accidentally or purposely (such as in respect to 816 mulch films) enter into the soil.

818 *4.2.2* Aquatic or marine environments

819 As for the soils, the bioplastics degradation when discharged in an aquatic environment is a major issue 820 for research investigations. However, the majority of the studies that were conducted on this topic, have 821 investigated bioplastics degradation in terrestrial systems rather than in marine environments [3,17]. 822 Bioplastics' degradation in aquatic environments refers to freshwater, seawater, and river water 823 environments; furthermore, it implies both aerobic and anaerobic biodegradation. Almost all the 824 research activities present in scientific literature are carried out at laboratory scale, most likely due to 825 the difficulty in managing the degradability test in a real environment. In a few cases- e.g. in [77], [94], 826 [95] and [94]- via in-situ tests and by the recreation of an eutrophic reservoir, the experiments were 827 conducted under uncontrolled conditions; this, therefore, means that they were conducted within a real 828 existing environment. In all the other cases, environmental biotic (such as the type of microorganisms 829 involved and the nature of incubation) and abiotic (such as heat, light, water pH or salinity) parameters 830 were set and applied for a certain period.

831 In general, the samples are prepared by cutting the biomaterial into small pieces; then, they are 832 immersed in water at the set testing conditions such as: temperature, pH, static (flasks) or dynamic (i.e., 833 an aquarium with samples subjected to continuous flow of water) [96], natural or inoculated water 834 [94,96], with or without contact on a sediment surface [3,96] or buried in wet sediments [3], an 835 alternation of light and dark periods, as well as in aerobic or anaerobic conditions (Table 4). Depending 836 on the type of bioplastic and the set environmental conditions, the testing time ranged from few days 837 up to one year, while the degree of biodegradability varied from less than 2% to almost complete (> 838 90%) biodegradation (Table 4).

839 Weight loss and visual inspection are the main parameters used as the degradability indexes. In addition, other physico-chemical analyses (e.g., Raman measurements [97]) were conducted in order to 840 841 understand the extent of the polymers' degradation. A solubility test was also seldom used for the 842 estimation of the soluble fraction of the bioplastic [74] and chemical parameters (e.g., the chemical 843 oxygen demand - COD) were determined on the test water in order to evaluate the release from the 844 various bioplastics [36]. The degree of biodegradation and the microorganisms' activity are specifically 845 determined by indicators, such as CO₂ production [98], the evolution of the BOD by respirometry tests 846 [3,40,99], the production of biogas [100], the formation of the clear zone [101], or by the selecting of 847 specific mixed culture, such as bioplastic degrading bacteria [91,101]. For instance, thermotolerant and 848 halotolerant Bacillus sp. JY14 bacteria, when isolated from marine soil, was found to be capable of 849 degrading PHB and various PHAs [101]. The Microbulbifer genus strains, which reside in high-salt 850 environments, also showed a great ability to degrade PHB [102,103]. The bacterial species *Pseudomonas* 851 pachastrellae was found to be involved in the degradation of PCL in coastal environment [104]. 852 Shewanella, Moritella, Psychrobacter and Pseudomonas genera were isolated from deep-sea

- environments at depth of over 5,000 m from the Kurile and Japan Trenches for testing their ability in the PCL degradation [105]. *Enterobacter sp., Bacillus sp.* and *Gracilibacillus sp.* strains were isolated from seawater environments and used for the purposes of PHA biodegradation [95], while phylogenetic groups of *Cytophaga-Flavobacterium-Bacteroides, g-Proteo-* bacteria and *b-Proteo-*bacteria were identified in a reservoir-within the Bugach river (Russia) and they were found to be able to utilise PHA [94].
- 859

Environmental conditions in aquatic or marine tests				
Test parameter	Range	Ref.		
Temperature	20 – 32 °C	[101,106]		
рН	7.0 - 8.1	[40,95,96,106]		
Solar radiation	Altornanco light (dark	[50]		
exposure	Alter hance light/ dark	[22]		
Size of the sample	0.02 – 0.04 m dishes/square	[74 94 95]		
Size of the sample	samples or larger (> 0.1 m)	[/4,94,95]		
Conditions	Aerobic or anaerobic	[36,40,91,100]		
Test duration	< 10 days – 1 year	[59,91,101,107]		
Biodegradability in	lexes			
Weight loss and visua	[3]			
Raman measurement	S	[97]		
COD (on test water)	[36]			
CO ₂ production	[98]			
BOD	[3,40,99]			
Biogas production	[100]			
Clear zone formation	[101]			
Biodegradability	< 2% - 90%	[59,77,96,106]		

860

Table 4 - Summary of the environmental conditions, biodegradability indicators and biodegradability
achieved in aquatic and marine environment

As for the soils, the interaction between the different types of aquatic environment and the microbial communities could not render possible the comparison among the tests that were conducted, even in respect to the same type of bioplastics. Therefore, a wide range in respect of the degree of degradability can be found in the literature (Table 4). In addition to the environmental conditions, the size and dimension of the samples tested were found to affect the rate and degradability of PHB more than chemical composition [95]. Indeed, this could be due to the higher surface that is available for microorganisms in smaller fragments.

870

871 4.2.3 Composting environment

872 Bioplastic's biodegradation during a composting process has been deeply investigated. This has been

873 performed due to the fact that bioplastics are commonly used for the purposes of household organics

874 collection. Most of the purchased bioplastics, indeed, are compostable [16] and biodegradable [24], as 875 composting represents the main organic waste management practice in several countries. Most likely in 876 respect to the wide presence of composting facilities, certain research activities were conducted at 877 industrial scale [108] and in field conditions [78,109]. The simulation of composting at the lab-scale 878 was obtained by setting the temperature, water content, pH, carbon to nitrogen ratio (commonly 879 adjusted to 30:1 [109]), sample dimensions, type of compost (purchased from [77], obtained from 880 composting facilities [109] or synthetically reproduced in the experiment [22]) and feedstock 881 composition (mixed food and green waste [110], i.e., the digested mixture of bioplastics and the organic 882 fraction of municipal solid waste, OFMSW [76]) (Table 5). The composting tests were conducted for 883 periods ranging from less than 2 weeks to over 150 days; moreover, bioplastics degradability varied 884 from about 10% to over 90% (Table 5). Due to the fact that the compost itself (in which microbial 885 communities are spontaneously developed) was used as a natural environment for the test other types 886 of inoculum were not used. Moreover, both compost and soil are characterised by higher microbial 887 diversity when compared to other environments that facilitate the presence of bioplastics degrading 888 microorganisms [24]. As reviewed by Emadian et al. [24], indeed, bacteria (such as Stenotrophomonas), 889 fungi (such as Penicillium, Aspergillus, Thermomyces, Fusarium, Clonostachys, Verticillium, Lecanicillium, Cladosporium, Mortierella and Doratomyces) and actinobacteria species (such as Streptomyces) are all 890 891 able to biodegrade different biopolymers when they were all isolated from compost environments. The 892 main gene sequences involved in the biodegradation of PLA were found to be Paecilomyces, 893 Thermomonospora, and Thermopolyspora [111]. Moreover, the thermophilic actinomycete 894 (Streptomyces thermonitrificans PDS-1) when supplemented with other microorganisms (Bacillus 895 *licheniformis* HA1), showed a synergistic effect in respect to the degradation of PCL under composting 896 conditions [112].

897 Following a visual inspection of the residues, the disintegration and mass loss were the most usual 898 biodegradation indicators. Indeed, changes in the polymeric structure were observed in other 899 investigations, such as those found in the application of the FTIR analysis [110]. The CO₂ production 900 was used, more correctly, to evaluate the extent to which the biomaterial was degraded by the action of 901 microorganisms [78,113], as composting is an aerobic process. However, field-scale testing may render 902 difficult, or perhaps even not possible, the tracing of the CO₂ production [109]. The observation of 903 microbial growth in compost, generally in proximity of the bioplastic, is also a qualitative indication of 904 disintegration and biodegradation [42].

905

906

Environmental conditions during composting process			
Test parameter	Range	Ref.	
Temperature	25 – 60 °C	[42,77,114,115]	
Water content	55 - 80%	[88,116]	
рН	7.0 - 8.5	[78,112,114]	
Size of the sample	0.15 – 0.7 m	[77,110]	
Test duration	< 14 – 150 days	[112,114,117]	
Biodegradability indexes			
Visual inspection of t	[22,42,115]		
disintegration and ma			
FTIR analysis	[110]		
CO ₂ production	[78,113]		
Biodegradability	10 - 90%	[22,42,88,113,116]	

908

909 Table 5 - Summary of the environmental conditions, biodegradability indicators and biodegradability
910 achieved in compost environment

911

912 When compared to industrial composting, home composting temperatures are usually lower; as such, 913 longer periods of time for the purposes of biodegradation may be required. Most of the analysed studies 914 were conducted according to the standard methods- such as the ASTM D6400, ISO 20200, and ISO 915 14855-1 standards. As defined in these standards, at least 90% of weight loss (as well as the 916 disintegration of the mass into fragments that are less than 2 mm) should occur, within six months in 917 order to label a bioproduct as compostable. However, the existing composting plants were not designed 918 to treat bioplastics; as such, their processing may be problematic for this reason [33]. It must be noted 919 that although residual fragments can affect the compost quality, ecotoxicity tests in research studies are 920 barely applied to the final compost.

921

922 4.2.4 Anaerobic environment

923 The aim of anaerobic tests that are carried out using bioplastics as a substrate is to simulate the 924 environmental conditions that take place in common waste facilities, specifically anaerobic digestion 925 plants [36], the anaerobic phases of wastewater treatment plants [109] and landfills [118]. Compostable 926 bags for the purposes of food collection can also enter into AD plants. Indeed, this is even the case when 927 a mechanical sorting in order to remove the bags is applied. For this reason, it is important to evaluate 928 the biodegradability of bioplastics under anaerobic environments, due to the fact that they are not 929 supposed to be processed by in this manner and therefore the design of the plants do not consider their 930 presence. Incomplete degradation in respect of the bioplastics within AD plants results in the presence of fragments in the digestate [32]. This is due to the fact that only disintegration may occur during the 931

anaerobic process. Furthermore, complete biodegradation of the bioplastics may occur within theaerobic phase usually applied for the final stabilization of the digestate.

934 The environmental conditions are simulated by setting the main process parameters (Table 6), which 935 are: temperature (mesophilic and/or thermophilic), type of digestion (wet or dry), type of test 936 (discontinuous batch or semi-continuous), inoculum used (commonly collected from full-scale AD 937 plants treating OFMSW [37], substrate used (green waste and/or food waste [37], as well as cow manure 938 and vegetable waste [119]), the possible presence of a co-substrate, or of single type [57,119] or mixed 939 bioplastics [80], the dimension of the bioplastics samples, organic loading rate (bioplastics OLR of 0.75 940 g_{ThOD}·L⁻¹·day⁻¹ [120], 0.25 kg_{CODbioplastics}·m⁻³·day⁻¹ [36] and 0.04 kg_{VSbioplastics}·m⁻³·day⁻¹ [121]); the hydraulic 941 retention time (HRT), and the food-to-microorganisms ratio. Although the long test duration, which 942 generally exceeds 30 days up to over 250 days [122], bioplastics show low biodegradability under 943 anaerobic conditions. Only powdered PHB was found to biodegrade (> 90%) within 10 days in the 944 mesophilic AD process [122]. Indeed, even when co-digested with other substrates (such as food waste 945 or sludge), bioplastics degradability was lower than 30% [36,76,109]. The procedure for the evaluation 946 of bioplastics' biodegradability under anaerobic conditions consists in the application of the biochemical 947 methane potential (BMP) test, such that the degree of biodegradability of the biopolymer is generally 948 estimated by the means of the biogas that is produced during the process. In addition to the biogas 949 and/or CH₄ and/or CO₂ production, the weight loss and visual inspection of the residues after sieving 950 (with a 2 mm mesh) are traditionally, and commonly estimated. Other laboratory analyses - such as the 951 differential scanning calorimetry for the evaluation of the thermal properties both before and after the 952 process [36], spectroscopic analysis [37], thermogravimetric analysis [37] and discoloration [123]-953 were also used in these tests as indicator of degradability of the tested material.

954 PLA-based biopolymers were decomposed by the microbial communities at the phylum level of 955 and Proteobacteria, while Methanosarcina, Methanoculleus Firmicutes, Bacteroidota and 956 Methonothermobacter at the genus level were involved in their degradation within mesophilic 957 conditions [37]. Organisms that are identical (i.e., over 97%) to Peptococcaceae bacterium Ri50, 958 Bacteroides plebeius, and Catenibacterium mitsuokai were involved in the biodegradation of PHB, while 959 Ureibacillus sp.. Bacillus infernus, and Propionibacterium sp. were implicated in the anaerobic 960 biodegradation of PLA [100].

Environmental conditions during AD process				
Test parameter	Range	Ref.		
Temperature	30 – 55°C	[37,57,124,125] [32]		
TS content	< 10% - 30%	[37,76,125]		
Type of test	BMP (Batch)/Continuous	[36,57,121]		
	Food waste	[36,37,76,80]		
Type of an aubstrate	Pig slurry	[124]		
Type of co-substrate	Synthetic wastewater treatment plant (WWTP) primary sludge	[120]		

	Sewage sludge	[32]	
	Mixed primary and secondary	[109]	
	WWTP sludge		
Shape/Size of the	Square/ 0.01 – 0.1 m	[57,109,121]	
sample	Powdered /125 - 250 μm	[100,119,120,125]	
HRT	15 - 40 days	[36,76,120]	
Food to	0.25 2		
Microorganisms ratio	0.23 - 2	[30,37,80,109,123]	
Test duration	up to 250 days	[122]	
Biodegradability ind	exes		
Biogas and/or CO ₂ pro	oduction	[56,118,122]	
CH ₄ production, weigh	nt loss and visual inspection	[57]	
Differential scanning of	[36]		
Spectroscopic and the	[37]		
Discoloration		[123]	
Biodegradability	< 10 - 70%	[23,36,57,109,118,120,122]	

961

962 Table 6 - Summary of the environmental conditions, biodegradability indicators and biodegradability 963 achieved in anaerobic environments

964 The main issue concerning the methods for testing the biodegradability of bioplastics under anaerobic 965 conditions is the low comparability among the tests. This is mainly due to the variability in the inoculum 966 sources used. Even if the same environmental conditions (such as temperature, and the pH of C/N ratio) 967 are reproduced, the type of inoculum used cannot be standardised, due to the fact that it widely varies 968 according to its origin. Moreover, better performances were obtained under thermophilic conditions. 969 However, most real plants work with mesophilic temperatures. In addition biopolymers, such as 970 compostable bags constituted of starch-derived bioplastics, are not completely degraded under normal 971 HRT [57]. Moreover, there is a lack of studies that have investigated AD plants at full-scale [32] and this 972 is a strong limitation since conditions and equipment commonly used in biodegradability assessments 973 at lab-scale do not fully mimic full-scale AD processes [32].

- It must be noted that bioplastics' biodegradation in landfills has not been sufficiently studied. As such,
- it can be assumed that biodegradation of bioplastics in landfills could occur slowly due to the lack of
- water and phosphorus or to the presence of inhibiting substances such as heavy metals [29].
- 977

978 **5** Drawbacks, future prospects and challenges

979 The increasing use of bioplastics worldwide is an important component in the drive to lower the global 980 carbon footprint, to reduce the degree of climate change, and decrease plastic-based pollution [29]. 981 Although the production of bioplastics and its related market have been well established, certain issues 982 related to the proper labelling of these materials as biodegradable still remains. Firstly, the 983 environmental conditions that are suggested in the standard methods as optimal for biodegradation to

984 take place cannot be reproduced in common full-scale treatment plants. In particular, most of the 985 compostability standard tests set duration and process temperatures that are unrealistic. This is due to 986 the fact that the standards advise much longer durations and higher process temperature when 987 compared to those of real full-scale plants, where bioplastics are supposed to be treated in reality 988 [16,34]. Consequently, there is a discrepancy between the time required for working operations that are 989 applied in full scale applications, as well as in respect to the maximum period of degradation set in the 990 supposed norm. Similarly, the recommended temperature used in the various standards are unrealistic 991 when compared to the ones found in actual environmental conditions. Indeed, advisable range is, in 992 actual fact, between 15 - 28 °C and reaching 58 °C in the industrial composting field. However, the 993 average environmental temperature in the EU is 9 °C in respect to marine environment, 12 °C in 994 freshwater environments and soil environments and can reach about 55 °C - but only for a few days - in 995 industrial composting. As a consequence, materials may degrade in laboratory conditions, according to 996 the requirements detailed in the standard methods, but not in the waste treatment facilities [126]. 997 Moreover, the requirements within standards do not cover all the natural environment that the 998 bioplastics are accidentally disposed within. This is the other issue related to the assessment of 999 biodegradability at lab-scale: the laboratory testing cannot completely and accurately enough 1000 reproduce the complexity of the dynamics that take place within those systems. On the other hand, it is 1001 important to state that the main purpose of research studies is to evaluate the biodegradability of the 1002 bioplastics outsides the treatment facilities that they should be addressed to. Having said this, there is 1003 the increasing attention of the public in respect to the proper disposal of waste items and, plastics to 1004 contend with, as well as the fact of bioplastics leakage into the environment, which represents a serious 1005 problem. For this reason, one of the main questions that the ongoing research is required to solve is 1006 whether a material labelled as a bioplastics is able to biodegrade under different natural environmental 1007 conditions. In order to perform this, the conditions imposed by the standard methods cannot always be 1008 applied within experimental tests, due to the fact that the natural environment may significantly differ 1009 from the standardised one in respect of waste treatment. Additionally, the indicators used for the assessment of bioplastics' biodegradability may differ from those reported in the standard methods. 1010

1011 The main indicators used for the evaluation of bioplastics' biodegradation consist in: the definition of 1012 the mass loss, the visual inspection of the tested material, the degree of disintegration, the discoloration, 1013 the changes within the morphology and structure of the biopolymer and the evaluation of the soluble 1014 components released by solubility tests. However, it is important to highlight that the correct evaluation 1015 of the biodegradability of a material should be assessed, even in presence of severe problems related to 1016 the implementation of the needed measurements, by monitoring the evolution of parameters, such as BOD, CO₂, O₂, CH₄ or biogas, as these components are directly correlated to the presence of microbial 1017 1018 activities. Among the experiments observed in this study, only the biodegradability of bioplastics under

anaerobic conditions was always evaluated by methane and/or biogas production, compared to thetests carried out in the other environments (i.e., aquatic, soil, and composting).

Under a strictly technical point of view, certain problems remain open. The first that requires 1021 1022 mentioning is the difficulty to reproduce and analyse a biological system that treats biodegradable waste 1023 and bioplastics at the same time. This is a problem due to the inherent heterogeneity and high 1024 biodegradability of the substrate (biowaste) in relation to the low biodegradability of certain bioplastics. 1025 For these reasons, it is nearly impossible to evaluate the degree of the biodegradation of bioplastics 1026 assessing the difference between a system containing them and a blank (i.e., the same system fed only 1027 with biowaste). On the other hand, simulating the bioplastic biodegradation inside mature compost 1028 leads to the creation of a system where the rate of biological activity is completely different from that of 1029 a pile during active composting or from that of an AD plant.

1030 Another key issue is related to bioplastic disintegration. Indeed, for practical reasons, during all the tests 1031 (both the standard methods and most of the research ones) particles with a size of < 2 mm (i.e., those 1032 belonging to the group of microplastics) were considered to be "disintegrated" included in the "mass 1033 loss" and thus considered degraded. As such, they can represent a noticeable fraction in respect of 1034 compost, thus leading to a possible non-compliant one [33,76]; moreover, bioplastics - such as PBAT, 1035 PBS, PCL and PLA - are generally not biodegradable under AD conditions, such that disintegrated 1036 fragments are present in the digestate [2,37]. The idea behind the set threshold of 2 mm is that the 1037 sieving operation is performed manually; further the identification of the bioplastics fragments is 1038 carried out visually. Therefore, for particles that are too small (i.e., < 2 mm) it is nearly impossible to 1039 detect and collect them; this leads to profoundly serious practical problems. At the moment, the 1040 behaviour - in terms of both fate and the effects - of micro-bioplastics that are released in natural 1041 environments is essentially unknown and thus it is not completely safe to release them within compost 1042 or digestate at this time. For these reasons, many plant managers must adopt specific strategies in order 1043 to reduce the problem related to biopolymer fragments. At full scale, the solution that is mainly applied 1044 consists in the removal of the bioplastic bags before the treatment. This is, while research activities pose 1045 the attention on three alternatives [2,76,127], which are: (i) the assessment of physico-chemical pre-1046 treatments on bioplastics in order to facilitate the polymer degradation during the subsequent 1047 processes; (ii) the implementation of post-treatment methods in order to allow complete bioplastics 1048 decomposition and/or the removal of residual fragments from compost and (iii) the assessment of 1049 innovative blends of bioplastics that should be able to biodegrade in the working time of conventional 1050 biological treatment plants. Indeed, thermophilic conditions have been suggested for the purposes of 1051 degradation of bioplastics requiring long HRT.

Finally, bioplastics' degradation should be characterised by the release of non-toxic compounds.However, the effects of the released compounds in regard to the environment have not been fully

1054 investigated. In addition to biodegradation tests, physico-chemical modification of the environment, for 1055 instance by phytotoxicity tests, should be carried out in order to evaluate the possible negative or 1056 positive impact of the bioplastics' biodegradation process in respect to the environment. In fact, natural 1057 ecosystems - such as soils and marine environments - demonstrate a complex range of physical and chemical conditions as well a variety of bioplastics (especially when fragmentated). Therefore, such 1058 1059 facts are notable in respect to inducing a high variability and complexity to the conditions in which to 1060 assess biodegradation, thereby rendering it difficult to develop environmentally sound criteria for 1061 biodegradation in all the affected environmental compartments.

1062 In summary, there is a discrepancy between the results, in terms of the degree of biodegradability. This 1063 discrepancy is obtained by following the standard methods, in full-scale treatment systems and 1064 laboratory tests. These differences can be attributed to the unrealistic conditions set in the standard 1065 methods that cannot be replicated in full-scale treatment processes. Therefore, certain labelled 1066 biodegradable bioplastic materials that fulfils the requirements under the standard method testing 1067 conditions may eventually not biodegrade under the expected treatment conditions nor under 1068 uncontrolled natural conditions, when improperly disposed of. In the attempt to assess the bioplastics 1069 biodegradability in natural environments, the standards are set too far apart, as they do not consider 1070 the dynamic mechanisms involved in natural environments. Moreover, a comparison between the 1071 experimental studies is almost impossible. This is due to the fact that there is no particular indication 1072 regarding, for instance, the soil to be used as a "reference soil" when testing the biodegradability of 1073 bioplastics within various soils. The same considerations can also be applied for the other tests. Indeed, 1074 there is a multitude of composts or inocula that can be used as sources of microorganisms as well as 1075 manifold natural water conditions (e.g., river water, seawater, etc.). This is such that every test differs 1076 from one another and the results that are obtained cannot be thus related to any "standard" condition. 1077 Under this perspective, the use of the standard methods loses its original meaning, especially 1078 considering the fact that the major issue in the management of bioplastics is the prevention of 1079 microplastics leakage into the environment or in other words, the complete biodegradation of 1080 bioplastics that are improperly disposed of. The further revision and the harmonisation of the standards 1081 are required; in addition, more stringent conditions should be adopted in order to label a product as a 1082 biodegradable bioplastics. For instance, complete biodegradation should occur at less than favourable 1083 environmental conditions than that of the common waste treatment plants. This could facilitate the 1084 biodegradation of items that are discharged outside the proper treatment systems. Moreover, the 1085 standards should better represent the dynamic processes that occur in both industrial and natural 1086 environments; that is to say the parameters, such as temperature or pH, may vary continuously over 1087 time as well as the microbial community that are susceptible to change within changing environmental 1088 conditions. In addition to a revision of the standards, the other strategy to render bioplastics as easier 1089 to biodegrade could be the implementation of new bioproducts by means of the modulation of the

1090 chemical structure of the biopolymer. Indeed, it is known-that chemical composition can strongly affect 1091 the degradation kinetic of the biopolymer. However, a countereffect could be a reduction in the 1092 characteristics that render bioplastics as easily marketable (e.g., their mechanical properties). The 1093 exploitation of new easily biodegradable bioplastics blends could also improve the bio-recycling of 1094 bioplastics. In this sense, financial incentives can help in achieving a large-scale bioplastics market with 1095 a sustainable impact [28].

Finally, the harmful effects of microplastics as well as the influence of biodegradation products on the environment need to be further investigated. Ecotoxicity tests should be part of every biodegradation experiment and the effects of the biodegradable plastics on human heath requires further investigation also.

1100

1101 6 Conclusions

1102 The assessment of bioplastics' biodegradability is extremely influenced by the conditions of the 1103 standard experiments. Standard tests are often inadequate due to the fact that the experimental 1104 environmental conditions (such as temperature, mixing and test duration) may not reflect the real 1105 conditions in waste treatment plants, thus not resulting in a correct estimation of bioplastics 1106 fragmentation and biodegradation.

1107 In respect of this issue, it appears that biodegradation standards were addressed more in order to 1108 demonstrate that bioplastics are the panacea for solving the problems related to plastic pollution rather 1109 than providing an environmentally sound tool for the purposes of evaluating the properties of a given 1110 material. In fact, the available literature often demonstrates that biodegradation in real environmental 1111 or plant conditions is lower than expected and sometimes negligible.

Laboratory methods possess the advantage of being able to set and keep control of the experimental conditions (temperature, humidity, pH, oxygen supply, and test duration) [39]. On the other hand, labscale experiments aim at simulating specific process conditions (i.e., in natural environments or in waste treatment plants) but cannot exactly reproduce the conditions present in the multitude of natural and industrial environments.

In respect to small scale laboratory tests, more reliable data can be obtained by the application of fullor field-scale tests in which the kinetics and mechanisms of bioplastics' degradation occur in real conditions. However, as expected, the results obtained can be subjected to different interpretations due to the continuous changes in the environmental conditions and due to microbiological composition [39]. For this reason, research activities are rarely conducted at full-scale and the procedures applied for the assessment of the biodegradability sensibly differ from the standardised protocols, as well as also in how they differ from one study to another. This specifically happens in regard to anaerobicbiodegradation, as standardisation is not fully developed and is still in an early stage [16].

The main outcome of this study is that the comparisons between experimental (at either lab- or full-1125 1126 scale) and standard tests are generally not possible. This is due to several factors, specifically, the 1127 differences in the microbial sources, the varieties of the environments tested, the heterogeneity of the 1128 biopolymers, the difficulty in reproducing at lab-scale the complexity of natural spontaneous processes, 1129 and the different indexes used for the assessment of biodegradability. An improvement on the current 1130 standards tests and analytical methods (especially in terms of methods for assessing biodegradation 1131 and the presence of fragments) is necessary and should include the field-testing of the biodegradable 1132 polymer as well as of the finished product in order to ensure all criteria are met in real-life conditions. 1133 Environmental conditions set in the future standard methods should be far from that indicated as "optimal" for biodegradation, as bioplastics eventually end up in environments where conditions can 1134 1135 vary significantly vary from that which is reported in the standards. Although research testing methods 1136 can differ from standard protocols since they aim at testing bioplastic biodegradability in very diverse 1137 environmental conditions, future research activities should be oriented at an harmonization of the 1138 applied procedures in order to increase the comparability of the results obtained in different studies.

1139 Moreover, a future challenge in the bioplastics market could be the production of new blends of 1140 biopolymer that are more easily biodegradable without losing the characteristics (such as mechanical 1141 strength or flexibility) that make the bioplastics attractive in the first place.

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