



15 **A modified Catchment Connectivity Index for applications in semi-arid torrents of the**  
16 **Mediterranean environment**

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18 *A modified sediment connectivity index for Mediterranean catchments*

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29  
30 **Abstract**

31  
32 The importance of sediment connectivity for watershed management needs accurate quantification  
33 tools, particularly in Mediterranean torrents, where soil erosion and sediment transport are often not  
34 tolerable. This study proposes a modified version (*mCCI*) of the Catchment Connectivity Index  
35 (*CCI*), theorised by Quiñonero-Rubio et al. (2013). The modified index improves the calculation  
36 procedure, providing a more efficient description of the hydrological and geomorphological factors  
37 of *CCI* and making easier its applicability for the operators with less field experience.

38 The *mCCI* is applied to evaluate the sediment connectivity at the catchment scale in a torrent of  
39 Calabria (Southern Italy). This case study has shown how and by what extent the natural (climate  
40 changes) and human impacts (land-use changes and check dam installation) have influenced the  
41 geomorphic processes influencing sediment circulation in the studied basin throughout six decades.  
42 From 1955 to 2012, a general decrease in sediment connectivity has been caught by *mCCI*. This  
43 was the combined effects of greening-up processes of the catchment (due to both natural  
44 afforestation and human-induced reforestation) and the installation of check dams, which have  
45 decreased the catchment potential to circulating sediments. Overall, the *mCCI* can be used as an  
46 analytical tool to evaluate the influence of past or future changes in natural and human-induced  
47 changes in land use and climate actions by comparing scenarios of torrent connectivity.

48

49 **Keywords:** Soil erosion, sediment transport, sedimentation, connectivity index, land use change,  
50 check dams

51

## 52 **1. INTRODUCTION**

53

54 River management often requires proper restoration actions, in order to assure the  
55 geomorphological and ecological *equilibrium* at the catchment scale. Erosion control is one of the  
56 most important issues in river management planning and operation, particularly in catchments  
57 where huge amounts of sediments are mobilised by floods. The locations and distances to which the  
58 eroded sediments are transported depend on the connectivity within the catchment system  
59 (Sandercock and Hooke, 2003). Hooke (2003) defines the sediment connectivity as the physical  
60 linkage of sediment through the channel system or the potential for a specific soil particle to move  
61 through the river system. The related concept is taken to mean the physical linkage of sediment  
62 through the channel system. Thus, sediment connectivity becomes an emergent property of the river  
63 state, interpreting the continuity and strength of runoff and sediment fluxes at a given point of a  
64 catchment in time (Heckmann et al., 2018; Surian et al., 2009).

65 In the last decades, many studies have been targeted at evaluating the sediment connectivity in  
66 riverine channel systems at varied-detail scales, also supported by field observations (Grauso et al.,  
67 2018). However, sediment connectivity assessment and quantification is a quite difficult task  
68 (Harper et al., 2017), since (i) it cannot be measured explicitly (Turnbull et al., 2018) and (ii) the  
69 number of factors that can modify the sediment connectivity in a river system is very large, as  
70 mentioned above. To overcome these problems, a number of frameworks to evaluate sediment  
71 connectivity in a catchment have been proposed in the last decades and applied in several climatic  
72 and environmental contexts (e.g. Walling and Zhang, 2004; Borselli et al., 2008; Cavalli et al.,  
73 2013; Grauso et al., 2018; Quiñonero-Rubio et al., 2013; Lane et al., 2017), of which a  
74 comprehensive review is available in the paper of Heckmann et al. (2018). However, few of these  
75 indexes have been purposely prepared to study and evaluate the sediment connectivity of the  
76 ephemeral torrents of the Mediterranean areas, affected by particular meteorological drivers  
77 (precipitation and temperature), high soil erodibility, and flood risk (Fortugno et al., 2017).  
78 Therefore, the availability of simple but effective sediment connectivity indices to be applied in  
79 Mediterranean torrents may be helpful for evaluating of the hydrological response in terms of water  
80 and sediment flows.

81 Recently, the Catchment Connectivity Index (*CCI*) has been proposed by Quiñonero-Rubio et al.  
82 (2013) and applied in Alto Taibilla River (South-East Spain). Using *CCI*, these authors estimated

83 the sediment connectivity in this ephemeral torrent of the Mediterranean environment, subjected to  
84 different historical land-use patterns including reforestation and control works. Some of the  
85 strengths of this index are: (i) the evaluation of the sediment connectivity at different spatial scales;  
86 (ii) the inclusion of transversal and longitudinal connectivity; and (iii) the combination of different  
87 data sources (modelling, field data and orthophoto-interpretation). However, the procedure to  
88 calculate this index can be improved by a more efficient description of the hydrological and  
89 geomorphological parameters composing *CCI*; moreover, the *CCI* applicability can be made easier  
90 for the operators with less field experience.

91 To achieve these goals, this study proposes the *modified CCI* (henceforth *mCCI*), which is a revised  
92 version of the original *CCI* of Quinonero-Rubio et al. (2013). The *mCCI* requires lower field  
93 surveys (whose reliability strictly depends on the ability and experience of the operators), thanks to  
94 a larger use of GIS software for calculating the *mCCI* factors. Moreover, its ability to interpret the  
95 sediment connectivity at the catchment scale is assessed in the Sant'Agata torrent (Calabria,  
96 Southern Italy), which exhibits the typical climatic and geomorphological conditions of the  
97 ephemeral watercourses of the Mediterranean environment. Applying the *mCCI*, the current  
98 connectivity degree of the catchment and the changes in the sediment connectivity over a period of  
99 fifty years - in which a decreasing hydrological trend, changes in land use, and check dam  
100 installation have occurred – have been evaluated.

101

## 102 **2. MATERIALS AND METHODS**

103

### 104 **2.1. Outlines to the original index**

105

106 The *CCI* proposed Quinonero-Rubio et al. (2013) is based on a semi-quantitative assessment of  
107 hydrological and geomorphological factors (Eq. 1), using remote sensing (analysis of aerial  
108 photography), hydrological modeling (waTEM/SEDEM model [De Vente et al., 2008]), GIS  
109 analysis and field observations. More details about the structure and the calculation procedure of the  
110 *CCI* can be found in the related paper.

111

#### 112 *2.1.1. The equation for calculating the CCI*

113

114 The authors defined the original *CCI* as follows:

$$115 \quad CCI = \left( \frac{TC_{av}}{TC_{max}} \right) \left( \frac{100 - TE_{av}}{100} \right) \left( \frac{GF_{av}}{GF_{max}} \right) \left( \frac{SP_{av}}{SP_{max}} \right) \left( \frac{FC_{av}}{FC_{av\_max}} \right) \quad (1)$$

116 where  $TC$  (Transport Capacity) is the sediment transport capacity within the catchment (hillslopes  
 117 and channels),  $TE$  (Trap Efficiency) is the capacity of sediment retention behind check dams,  $GF$  is  
 118 the Geomorphological Factor,  $SP$  (Stream Power) is the sediment transport capacity in channels,  
 119 and  $FC$  (Flow Conditions) expresses the conditions of flow channels (continuous and discontinuous  
 120 flow condition). The subscripts  $av$  and  $max$  in the Eq. 1 for calculating  $CCI$  indicate, respectively,  
 121 the average and the maximum value of these factors within the catchment. Since each factor is  
 122 normalized, its range is 0 to 1, that is, from a lower to higher connectivity, respectively. Thanks to  
 123 the standardisation, the range of possible values for each factor is 0 to 1, from a lower to a higher  
 124 connectivity, respectively.

125

### 126 2.1.2. The factors composing the $CCI$

127

128  $TC$  (Eq. 2), has different values according to different land use scenarios by the  $k_{tc}$  parameter.  $TC$  is  
 129 given by the following equation:

$$130 \quad TC = k_{tc} RKA^{1.4} S^{1.4} \quad (2)$$

131 where  $R$  and  $K$  are RUSLE factors (Wischmeyer and Smith, 1978), determining rainfall erosivity  
 132 and soil erodibility,  $A$  is the contributing area and  $S$  is the terrain slope. The values of  $k_{tc}$  derive  
 133 from a reclassification of the RUSLE  $C$ -factor values, shown by a related map according to the  $C$   
 134 distribution for each land use (Borselli *et al.*, 2008). The area covered by the channels is considered  
 135 in the analysis. Values of  $k_{tc}$  are calibrated assuming as optimal those obtained in previous works  
 136 where the model was calibrated by WaTEM/SEDEM (optimizing values:  $k_{tc-low} = 2 \times 10^{-6}$  and  $k_{tc-}$   
 137  $high = 2 \times 10^{-5}$ ) (Boix-Fayos *et al.*, 2008; Quiñonero *et al.*, 2016).

138  $TE$  (Eq. 3), limits the transfer of sediment downstream, since the material is stored into drainage  
 139 areas artificially created by the check dam; this factor is the trap efficiency proposed by Brown  
 140 (1943):

$$141 \quad TE = 100 \cdot \left( 1 - \frac{1}{1 + 0.0021 D \frac{C}{W}} \right) \quad (3)$$

142 where  $C$  is the reservoir storage capacity ( $m^3$ ),  $W$  is the catchment area ( $km^2$ ),  $D$  is a value ranging  
 143 from 0.046 to 1 (with a mean value of 0.1), all of them being dependent on the characteristics of the  
 144 artificial reservoir.

145  $GF$  explains the degree (that is, the fraction) of sediment connectivity of a sub-catchment due to  
 146 geomorphological conditions at the confluence of a tributary channel with the main channel of a

147 catchment. It can have values of 1 or 0.5 depending whether the tributary channel is connected or  
148 not to the main channel, respectively.

149 *SP* (Eq. 4), unlike *TC* slopes, does not consider changes in land use, being only oriented to sediment  
150 transport through the channels, not from hillslopes. *SP* is proportional to *A* and *S*; *m* and *n* are two  
151 empirical values, equal to 1.4 in the work of Quiñonero-Rubio *et al.* (2013), as suggested by Prosser  
152 and Rustomji (2000):

$$153 \quad SP = A^m S^n \quad (4)$$

154 *FC* expresses the continuity and persistence of flow in the channels, with values of 1 or 0.5  
155 depending whether flow is permanent or ephemeral, respectively.

156

## 157 **2.2. Suggested improvements of CCI (*mCCI*)**

158

159 In this study, modifications have been made on all *CCI* factors (*TC*, *TE*, *GF*, *FC*), except *SP*, which  
160 is implemented only by a different normalization.

161

### 162 *2.2.1. The TC factor*

163

164 The use of WaTEM/SEDEM model provides only two values for the subfactor  $k_{tc}$  of *TC* ( $k_{tc}$ -low  
165 and  $k_{tc}$ -high), which do not express in detail the variability of the land cover of an area. For this  
166 reason, in the *mCCI* the USLE-C factor (better consolidated in literature) is instead proposed in the  
167 *mCCI* to obtain more than two classes.

168

### 169 *2.2.2. The TE factor*

170

171 For the *TE* factor, Brown (1943) proposed values of *D* close to 1 (i.e., high *TE*) for reservoirs in  
172 regions with smaller and more variable runoff. More specifically, the original *TE* of Brown depends  
173 on the *C/W* ratio. However, the use of this ratio could lead to very different *TE* values (Brune,  
174 1953), since *TE* depends on runoff volumes or other hydrological characteristics (whose values are  
175 often not available in the Mediterranean catchments). Since the *TE* of *CCI* may be affected by a  
176 large error, which weighs on the overall *CCI* value, the *TE* expression of Brown is replaced in the  
177 *mCCI* by an index ( $TE = 1 - V_s$ ) that provides a more accurate estimate of the ability of an artificial  
178 reservoir (such as a check dam) to store sediment in the channel. *TE* expresses the residual capacity  
179 of a barrier to store sediment, that is, the difference between the total trap capacity (equal to 1) and  
180 the volume of sediment effectively retained behind the barrier ( $V_s$ ). This sedimentary zone can be

181 considered as a prism with a trapezoidal section.

182 The limit of the sedimentary wedge/area can be identified upstream of each barrier by the changes  
183 in longitudinal gradients or surface grain size. The surface of this sediment wedge can be mapped  
184 by GPS.

185 Whereas the equation for calculating  $TE$  in the original expression of  $CCI$  has an empirical nature  
186 (mainly in the estimation of the parameter  $D$ ,  $C$  and  $W$ ), the  $TE$  proposed in the  $mCCI$  can be  
187 derived from the actual feature (shape and geometry) of a geomorphological feature of a channel  
188 and estimated by aerial maps or, in its absence, by field surveys with low possibility of errors.

189

### 190 2.2.3. The $GF$ factor

191

192 In the  $CCI$  the  $GF$  factor is calculated in fieldwork and, as explained above, the errors in its  
193 estimation (depending on the ability and experience of the field operators) can be high when the  
194 surveyor has low skills and experience. In order to make more realistic the evaluation and reduce  
195 the errors for the  $GF$  factor, the use of the terrain profile curvature (longitudinal and tangential  
196 directions) is proposed in the  $mCCI$ , in order to calculate and tangential  $GF$  factors. Longitudinal  
197 curvature belongs to the vertical plane parallel to the slope direction, identified by Shary (1995) and  
198 Florinsky (1998) as vertical curvature. It measures the slope variability and influences the surface  
199 water flow velocity and thus the downstream flow of water and sediment. In the following the two  
200  $GF$  factors will be indicated as  $GF_{long}$  (along to the longitudinal profile direction) and  $GF_{tan}$  (along  
201 the perpendicular direction).

202  $GF$  values can be easily calculated by a common GIS-based on a DEM of the study catchment.  
203 After the normalization,  $GF$  ranges from 1 (negative values of curvature, that is, concave terrain) to  
204 0 (for higher values of curvature, that is, the convex shape of terrain). Therefore,  $GF$  factor gives  
205 information about the local shape of the terrain (convexity or concavity) using the values of  
206 longitudinal and tangential curvatures. There is a reduction or a lack of connectivity in  
207 correspondence of sediment accumulation (buffers) originated by natural (floodplain areas or areas  
208 with very low slope) or artificial (flat agricultural areas occupying and filling ephemeral channels)  
209 conditions (Quiñonero-Rubio et al., 2013).

210 The terrain profile curvature by GIS procedure in the  $mCCI$ , is able to better discriminate -  
211 compared to the  $CCI$ , relying on field observations - the connections between the main channel and  
212 tributaries at the pixel scale. Furthermore, GIS approach is able to overcome the limit reported by  
213 Heckmann et al. (2018), who stated that (dis) connectivity operates at a landform scale and not at a  
214 raster cell scale.

215

#### 216 2.2.4. The FC factor

217

218 In *CCI* also the *FC* factor is determined by operators in fieldwork, which requires experience and  
219 can be time consuming. The original *FC* factor of Quiñonero-Rubio et al. (2013) is replaced in the  
220 *mCCI* by the difference (if positive, otherwise *FC* has a value of 0.5) of: (a) short-term precipitation  
221 given by flow duration curves at a return interval of two years (which determines the most frequent  
222 hydrological regime in Mediterranean torrents) and a duration equal to the catchment concentration  
223 time,  $t_c$ ; (b) the initial abstraction ( $I_a$ ), calculated by SCS-CN method. In other words, when the  
224 precipitation depth exceeds  $I_a$ , the channel has permanent flow and  $FC = 1$ , otherwise,  $FC$  is set to  
225 0.5.

226

#### 227 2.2.5. Calculation of the *mCCI*

228

229 As outlined above, the *CCI* requires the normalization of all factors, made by Eq. (5):

$$230 \quad x_{norm} = \frac{x_{av}}{x_{max}} \quad (5)$$

231 If this equation is applied to the factors of the *mCCI*, in some cases (extreme values of DEM), the  
232 normalization provides very small values, which may become unrealistic. In the *mCCI* a different  
233 normalization method (Eq. 6) is proposed for all factors, except for *GF*, where instead the Eq. (7) is  
234 assumed, and for *TE*, which, unlike the other factors, is directly expressed as a percentage.

$$235 \quad x_{norm} = \frac{x - x_{min}}{x_{max} - x_{min}} \quad (6)$$

$$236 \quad x_{norm} = \frac{x_{max} - x}{x_{max} - x_{min}} \quad (7)$$

237 Contrarily to the range of values of the *CCI*, the *mCCI* index is expressed as the binary logarithm of  
238 the product among the factors, in order to reproduce the very large range of values of the sediment  
239 connectivity. All *mCCI* factors being in the range [0, 1], the binary logarithm is in the range  $[-\infty, 0]$ .  
240 To avoid negative values, the absolute value of the *mCCI* is taken. Accordingly, the *mCCI* is  
241 calculated using equation (9):

$$242 \quad mCCI = \left| \log_2 \left[ \left( \frac{TC - TC_{min}}{TC_{max} - TC_{min}} \right) \left( \frac{GF_{tan\_norm} + GF_{prof\_norm}}{2} \right) \left( \frac{SP - SP_{min}}{SP_{max} - SP_{min}} \right) \left( \frac{FC - FC_{min}}{FC_{max} - FC_{min}} \right) \cdot TE \right] \right| \quad (8)$$

243 where the subscript *norm* refers to the normalized value of the factor. Overall, differently from *CCI*,  
244 *mCCI*: (i) estimates the sediment connectivity for each cell of DEM; (ii) provides the possibility to



245 calculate connectivity on other spatial scales; (iii) consists of only continuous factors (and not  
246 binary, as in the *CCI*); (iv) makes the calculation of each factor quick and automatable.  
247 Furthermore, *mCCI* application does not require high experience for field operators (Figure 1).

248

### 249 **2.3. Application of *mCCI* to the Sant'Agata catchment**

250

#### 251 *2.3.1. Study catchment*

252

253 The Sant'Agata torrent shows the specific morphological and hydraulic characteristics of many  
254 Mediterranean watercourses, such as: (i) small catchment area (usually with irregular perimeter);  
255 (ii) hypsographic curve with pronounced concavity; (iii) very erodible soils; (iv) short length of the  
256 main stream (usually few tens of kilometres); (v) high longitudinal slopes (more than 10% in the  
257 headwaters, 2% or less in the valley reaches); (vi) torrential and highly impulsive response to the  
258 meteorological stress. In Calabria and Sicily, these torrents are called *fumaras*, seasonally flowing  
259 watercourses that drain the mountain chains of Southern Italy. In *fumaras* heavy floods in the rainy  
260 season follow long periods of completely dry bed (Sabato et al., 2004; Bombino et al., 2007).

261 The sub-catchment under investigation is the mountain and middle part of the Sant'Agata torrent. It  
262 covers 17.43 km<sup>2</sup> within the Aspromonte mountain system (Figure 2). The studied main stream  
263 rises at 1.649 m above sea level and it is 11.2 km long. The outlet of the sub-catchment is located  
264 744 m a.s.l. and the mean slope is 29%.

265 The geological units of the studied headwater are mainly composed of metamorphic rocks and  
266 sedimentary deposits of the Pleistocene. The prevalent soil texture is sandy loam, according to the  
267 soil map of Calabria (ARSSA, 2003).

268 The land use was monitored in 1955 and 2012. In 1955, the prevalent land use was forest (43.4% of  
269 the total catchment area, natural stands of beech and fir and artificial woods dominated by pine),  
270 shrublands (8.5%), agricultural areas (15%, mainly arable land), natural grasslands (15%) and  
271 residual not vegetated areas. In 2012 the prevalent land use is still forest (67.7%, with natural stands  
272 still of beech and fir as well as artificial woods of pine planted in the 1960s on degraded or  
273 deforested lands), shrubland (12%, with some species of shrubs covering partly or thoroughly the  
274 channel bed, not flooded for many years), agricultural areas (20%, still arable land and vegetable  
275 gardens, EEA, 2016) and natural grasslands in the remaining areas.

276 The average annual precipitation - recorded at Gambarie meteorological station (close to the upper  
277 portion of the sub-catchment perimeter) - was 1820 mm between 1930 and 1955 and decreased to  
278 1571 mm between 1955 and 2012.

279 At the end of the 1950s, a series of check dams was built in the sub-catchment; moreover, heavy  
280 reforestation works were carried out in the same period and the land-use changed. In the main  
281 channel of the reaches under investigation 17 staggered check dams (made up of concrete or stone  
282 and concrete) were built in the 1950-60s to reduce erosion and the bedload transport capacity during  
283 flood events. These control works, 3 to 4 m high and 13.5 to 19 m wide, have a full body with a  
284 trapezoidal spillway (Bombino et al., 2009, Fortugno et al., 2017). No embankments were been  
285 built in the reaches under investigation (Bombino et al., 2007).

286

### 287 *2.3.2. Implementation of mCCI in the studied sub-catchment*

288

289 The modified *CCI* was applied to the Sant'Agata catchment, to evaluate the changes in sediment  
290 connectivity at the sub-catchment scale throughout a period of 60 years (from 1955 to 2012).  
291 Considering the check dam presence, reforestation and land-use evolution, four scenarios,  
292 consisting of a combination of check dam presence or not, and land-use changes or not, were  
293 simulated; under each scenario, the sediment connectivity was calculated using *mCCI*.

294 The four scenarios are:

- 295 (1) in 1955, before check dam construction (hereinafter indexed as *LU1955/NO-CD*, where *LU*  
296 indicates the land-use date and *NO-CD* indicates the absence of control works;
- 297 (2) at the end of the 1950s, that is immediately after check dam installation (*LU1955/CD*, where  
298 *CD* refers to the presence of check dams;
- 299 (3) in 2012 (*LU2012/CD*) with check dams, considering also the land-use evolution in the sub-  
300 catchment;
- 301 (4) in 2012 (*LU2012/NO-CD*), hypothesizing that check dams are absent.

302 The comparison of two scenarios *LU1955/NO-CD* and *LU2012/CD* evaluates the effects of the  
303 check dams and land-use changes (due to reforestation works and the general greening-up process)  
304 on the sediment connectivity in the sub-catchment. The analysis of the scenarios *LU1955/CD* and  
305 *LU2012/CD* allow the evaluation of the influence of land-use changes only (since in this case the  
306 check dams are present) on the connectivity. The comparison between *LU2012/CD* and  
307 *LU2012/NO-CD* provides an estimation of the check dam influence on the sub-catchment  
308 connectivity, assuming no historical variations in the land use.

309 It should be pointed out that the scenario *LU1955/CD* takes into account the presence of the check  
310 dams with a sediment retention capacity of 100% and that the land use and the original level of the  
311 channel bed were considered the same under the scenarios *LU1955/NO-CD* and *LU1955/CD*.

312 The scenario *LU2012/NO-CD* represents a hypothetical catchment, which underwent land-use

313 changes, but was not regulated by installing check dams.

314 As regards the *mCCI* implementation in the studied sub-catchment, the land use of 1955 was drawn  
315 from black and white 1-m resolution orthophotos, taken by the Italian Military Geographic Institute  
316 (based on American flights). The original longitudinal profile of the channel bed in 1955 was  
317 reconstructed using the data of the previous study of Fortugno et al. (2017). These authors mapped  
318 from aerial photographs the channel adjustments in the proximity of the studied check dams  
319 between 1955 and 2012 in the main reach of the same catchment, following the methods reported  
320 by Boix-Fayos et al. (2008) and Zema et al. (2014).

321 The land use of 2012 was estimated from the latest available orthophotos (in colour and with 0.5-m  
322 resolution), provided by the Calabria Region administration, while the bed level was measured by a  
323 topographic survey (with a total station). More details about the analysis of the land-use changes,  
324 local channel slope changes and channel morphology adjustments can be found in the cited paper of  
325 Fortugno et al. (2017).

326 Finally, the t-test was applied to evaluate the statistical significance of the differences (at  $p$  level  $<$   
327 0.05) in the factors and the *mCCI* values among the evaluated scenarios.

328

### 329 **3. RESULTS**

330

#### 331 **3.1. Changes in the individual factors of the *mCCI***

332

333 Both in 1955 and 2012, the *TC* factor was lower on the hillslopes ( $TC \sim 10^{-5}$ ) than on the  
334 hydrographic network ( $10^{-1} < TC < 1$ ). Compared to 1955, the mean *TC* is in general higher in both  
335 the scenarios related to the land use of 2012 (on average  $1.19 \times 10^{-3}$  for both against  $7.82 \times 10^{-4}$  in  
336 *LU1955/NO-CD* and  $1.07 \times 10^{-3}$  in *LU1955/CD*). The increase in the *TC* factor of channels is four  
337 to five orders of magnitude higher than on the hillslopes; moreover, the *TC* values increased in the  
338 channel banks from 1955 to 2012. However, the changes of *TC* at the catchment level (that is,  
339 comprising the hillslopes and the channels) between the monitoring dates are not reflected by its  
340 mean value (Figure 3 and Table 1).

341 Regarding the *GF* factor, as expected, the highest values were estimated in the torrent channels (on  
342 average 0.65 against 0.40 in the hillslopes) both in 1955 and 2012 (Table 1). This clearly confirmed  
343 that the terrain shape of channels is more concave compared to the other geomorphic units of the  
344 sub-catchment, and this enhances the sediment connectivity through the basin, which is caught by  
345 *GF*. Moreover, the scenarios of 1955 (*LU1955/NO-CD* and *LU1955/CD*) showed the same *GF*  
346 values. *GF* as used here showed an evolution from 1955 to 2012. We can point out:

347 - a noticeable reduction in scenario *LU2012/NO-CD* in the main channel (on the average by 8%),  
348 due to the longitudinal profile aggradation compared to 1955;

349 - a slight reduction in the hillslopes, because the changes in the transverse and longitudinal slope of  
350 the terrain were very low from 1955 to 2012.

351 The *TE* factor is strongly influenced by the presence of transverse structures in the channel. As  
352 response to the local adjustments induced by the check dams, the *TE* factor was instead different in  
353 the two scenarios of 1955. Therefore, the *TE* factor, which was between 0.1 and 1 in many cells  
354 (those located immediately upstream of the walls) for the scenario *LU1955/NO-CD*, after check  
355 dam installation, was instead equal to 1 in both scenarios *LU1955/CD* and *LU2012/CD*, since the  
356 wedges behind the check dams were totally and immediately filled with sediments (Figure 4).

357 The factor *FC* was always equal to 1, because all the channels in the studied sub-catchment were  
358 permanent ( $P > 0.2 S$ ).

359 The factor *SP* follows the same spatial patterns as *TC*, due to the very similar mathematical  
360 structure.

361 The application of the t-test showed significant differences ( $p < 0.05$ ) only for *TC* factor. It was  
362 significantly different between land-use scenarios (1955 vs. 2012) as well as the presence/absence  
363 of check dams. Conversely, no significant differences were found for *GF* and *SP* factors. The  
364 significance test was not applied for *TE* and *FC*, which assume categorical values (Table 1). The  
365 overall *mCCI* statistics do not show significant differences for the four scenarios except for  
366 minimum and maximum values between 1955 and 2012 scenarios (Table 2).

367

### 368 **3.3. Changes in the mCCI**

369

370 The comparison of the *mCCI* calculated for each cell of the Sant'Agata sub-catchment showed  
371 noticeable differences in sediment connectivity between 1955 and 2012. As the maps of Figure 5  
372 clearly show, in 2012 the sediment connectivity decreases both in the main channel and in the  
373 hillslopes compared to 1955.

374 As combination of the five factors (*TC*, *TE*, *GF*, *FC* and *SP*), the mean *mCCI* decreased from 20.3  
375 of the scenario *LU1955/NO-CD* (*ante operam*) to 19.8 of *LU1955/CD* (*post operam*), to 17.9 of  
376 *LU2012/CD* and *LU2012/NO-CD* corresponding to a change in sediment connectivity by -2.5 %  
377 (*LU1955/NO-CD* vs *LU1955/CD*), -9.4% (*LU1955/CD* vs *LU2012/CD*), and -11.8% (*LU1955/NO-*  
378 *CD* vs *LU2012/NO-CD*) (Table 2). This reduction is confirmed by the shift in frequency  
379 distributions of *mCCI* along with the four-time windows (Figure 6), which shows that the  
380 distribution of cell number along with a connectivity range always follows a normal law. In general,

381 the distribution of sediment connectivity in 2012 and *LU1955/CD* is flattered compared to  
382 *LU1955/NO-CD*, therefore showing that not only the mean values but also the peaks in connectivity  
383 were strongly reduced. Compared to the *LU2012/CD* scenario, under the land use of 2012 and  
384 without check dams (scenario *LU2012/NO-CD*), negligible changes in the *mCCI* values were  
385 achieved.

386

#### 387 4. DISCUSSIONS

388

389 To evaluate the changes in *mCCI* which the studied sub-catchment underwent since 1955 to present,  
390 a detailed analysis of all the factors is needed. The general decrease in the *TC* factor from 1955 to  
391 2012 could be surprising, since it may contrast to expected response of the sub-catchment to the  
392 increase of the forest cover and, more in general, to the greening-up tendency of the drainage area  
393 (Fortugno et al., 2017).

394 However, a deeper analysis of the factors of *TC* shows:

- 395 (i) a reduction both in profile slope (*S*), due to the channel aggradation following the check dam  
396 installation, and in the precipitation erosivity (*R*), as evidenced in the previous paper of  
397 Fortugno et al. (2017);  
398 (ii) a general increase in the cover factor (*C*).

399 The latter increase is higher than the product of the *R* and *S* factors, which led to the general  
400 increase of the *TC* factor, depending on the abandonment of areas devoted to agricultural activities  
401 in 1955 in spite of the afforestation of the upland areas of the sub-catchment. As a matter of fact,  
402 from 1955 to 2012 forests, shrublands and agricultural areas increased by 43%, 25% and 13%,  
403 respectively, while the not vegetated zones and pasture lands decreased by about 70% and 84%,  
404 respectively (Figure 7). Overall, from a soil conservation approach (linked to the vegetal cover),  
405 45% of the catchment evolved from less soil protection to a higher soil protection, 16% experienced  
406 the reverse changes (that is, enhancing soil erosion), while 39% was not subjected to significant  
407 changes in land-use type; this general greening-up process of the studied sub-catchment made the  
408 soil of the studied sub-catchment less prone to runoff generation, also thanks to the lowering of the  
409 precipitation regime (annual depth and intensity), observed by Pérez Cutillas et al. (2018) in  
410 catchments of the semi-arid-environment and Fortugno et al. (2017) in the same catchment. These  
411 authors identified as factors of change in the sub-catchment (i) the slight decrease in the amount and  
412 erosivity of rainfall that could have induced a reduction of surface runoff; (ii) the reforestation and  
413 other land use changes, such as the spontaneous reforestation, vegetation encroachment in the  
414 channel and on the banks); and (iii) the installation of check dams, which induced local adjustments

415 in the channel. Moreover, the more fragmented spatial pattern of land use in 2012 compared to 1955  
416 could also have played an important role in decreasing water and sediment input from the hillslopes  
417 to the channel, since landscape fragmentation introduces a disconnectivity of water and sediments  
418 (Fortugno et al., 2017). Establishment of riparian vegetation in channel beds upstream of check  
419 dams is expected, since these structures reduce the profile slope and laminate the stream flow as  
420 well as induce more favourable edaphic conditions for vegetal species (Bombino et al., 2008; 2014;  
421 2019).

422 The fact that the *TC* changes at the catchment level between 1955 and 2012 are not reflected by its  
423 mean value means that *mCCI* is not much sensitive in evaluating the local effects due to the  
424 presence of sediment traps (e.g., check-dams). For instance, the negligible increase of *TC* at the  
425 catchment level from the scenario of 1955 (without check dams) to 2012 (same land use, but with  
426 check dams) is due to the very low number of pixels of the hillslopes compared to the channel,  
427 which determines a very limited influence on the overall *TC* factor.

428 The highest values of the *GF* factor estimated in the torrent channels both in 1955 and 2012 clearly  
429 confirms that the terrain shape of channels is more concave compared to the other geomorphic units  
430 of the sub-catchment, and this enhances the sediment connectivity through the basin, which is  
431 caught by *GF*. Moreover, the scenarios of 1955 with and without check dams showed the same *GF*  
432 values. As noticed for the *TC* factor, this may be due to the limited influence of the few pixels in the  
433 channel on the *GF* of the entire sub-catchment compared to the large number in the hillslopes. At  
434 this point, despite the objectivity and facility to use a DEM basis for extracting the  
435 geomorphological factor, the field observations of the connections between the main channel and  
436 tributaries of the original index (Quiñonero et al., 2013) have the advantage to discriminate better  
437 the different geomorphological situations despite spatial scale. This problem is already pointed out  
438 by Heckmann et al. (2018) which states that (dis)connectivity operates at the landform scale and not  
439 at the raster cell scale. Sometimes, single raster cell bears not geomorphological significance and  
440 have difficulties to discriminate between different geomorphological features.

441 The presence of check dams in the channel influenced the *TE* factor and this is expected (Boix-  
442 Fayos et al., 2008; Bombino et al., 2009; Zema et al., 2014; 2018), because, between 1955 and  
443 2012, the transverse structures determined local adjustments of the torrent bed, with channel  
444 aggradation immediately upstream of the 17 investigated check dams, and bed incisions  
445 downstream of each check dam. As response to these local adjustments, the *TE* factor was instead  
446 different in the two scenarios of 1955 since the construction of the check dams determines a barrier  
447 against the fluxes of water and sediments circulating in the sub-catchment (Harper et al., 2017).  
448 Therefore, the *TE* factor, which was between 0.1 and 1 in many cells (those located immediately

449 upstream of the walls) for the scenario of 1955 without check dams, after check dam installation  
450 was instead equal to 1 in both scenarios of 1955 and 2012, since the wedges behind the check dams  
451 were totally and immediately filled with sediments. In other words, in the studied catchment there  
452 were no artificial reservoirs partially filled, which would retain sediments and this would give  $TE \neq$   
453 0. As a matter of fact, these check dams were presumably filled in the first few years after their  
454 building (on occasion of the first floods of medium-high magnitude, Viparelli and Maione, 1959),  
455 as previously reported by Zema et al. (2014) in a study on the same sub-catchment. In the  
456 Sant'Agata torrent, as happened in almost all *fiumaras* of Calabria and Sicily, check dams have  
457 already exhausted their sediment retention capacity and their trap efficiency became ineffective.  
458 These structures currently play a role of torrent bed stabilisation rather than a sediment collector  
459 and this influences the catchment sediment connectivity. It results that the main function of check-  
460 dams is the longitudinal slope correction rather than retaining sediments (Zema et al., 2014).  
461 Therefore, since this latter function was desired by catchment managers over time, the catchment  
462 management strategy was modified by the installation of further check dams (in general of lower  
463 size), which may be determined an excessive density of works. This led to money waste; moreover,  
464 in some catchments located close to the studied catchment and regulated by the same management  
465 strategy an increase of high flood events in urban areas was recorded, generated by the excessive  
466 reduction of the longitudinal slope (Surian et al., 2009).

467 As results of the combination of the changes in the  $TC$ ,  $TE$  and  $GF$  factors, the  $mCCI$  reflected  
468 noticeable differences in sediment connectivity between 1955 and 2012. The decrease in the mean  
469  $mCCI$  from the scenario of 1955 to the scenario of 2012 (both without check dams) is very  
470 important, because it highlights the benefits of areal interventions (usually cheaper, less  
471 environment-impactful, simpler in design, self-regulating) over lumped one, like check-dams  
472 installation, which, if not well designed can lead to money waste, unwanted side-effects and danger  
473 to people living nearby if a failure occurs.

474 The negligible changes in the  $mCCI$  values under the land use of 2012 and without check dams  
475 compared to a scenario with land use changes and control works may be again due to the limited  
476 number of pixels covering channels close to the check dams (that is, the sedimentation and scouring  
477 wedges in the proximity of the structures). This means that the main effect in sediment connectivity  
478 at the catchment scale is due to the changes in land uses over the hillslopes, which, under the two  
479 evaluated scenarios, is the same. Figure 8 shows that the reduction in sediment connectivity affected  
480 the afforested areas or the zones initially bare in 1955 and covered by agricultural crops or  
481 colonized by shrub vegetation in 2012. A relatively large area (with differences in  $mCCI$  over 1.8-  
482 2.0) suffered several landslides over time, revealing instability and a tendency to detachment and

483 mobilization of sediments. Therefore, compared to 1955, these areas are characterised by steeper  
484 slopes and often by soil with not well-established vegetation, which increase sediment connectivity  
485 and thus *mCCI*.

486

## 487 **5. CONCLUSIONS AND IMPLICATIONS**

488

489 This study proposes a modified version of the *CCI* proposed by Quiñonero-Rubio et al. (2013),  
490 which improves and simplifies its calculation methods. The *mCCI* is applied to a torrent of Southern  
491 Italy, in order to test the *mCCI*'s ability to interpret the sediment connectivity at the catchment scale  
492 in a water course with typical climatic and geomorphological conditions of ephemeral torrents. The  
493 case study has shown how and by what extent the natural (climate changes) and human impacts  
494 (land-use changes and check dam installation) have influenced the geomorphic processes driving  
495 sediment circulation in the studied basin throughout six decades. From 1955 to 2012, the  
496 application of the modified *CCI* to the studied sub-catchment estimated a general decrease of  
497 sediment connectivity. This was the combined effects of greening-up processes of the catchment  
498 (due to both natural afforestation and human-induced reforestation) and the installation of check  
499 dams, which have decreased the catchment potential to circulating sediments.

500 The lack of adequate information about the digital terrain model of 1955 limited the *mCCI*  
501 representativeness of the historical catchment morphology. In particular, if good terrain maps were  
502 available for the past scenarios, the influence of morphological data (e.g., profile slope, terrain  
503 curvature) would certainly have improved the evaluation of the sediment connectivity of the  
504 catchment. Moreover, the use of *mCCI* could be more appropriate when applied on different digital  
505 terrain models, but at comparable resolution. A high-resolution DEM should be used to overcome  
506 the *mCCI*'s inability to catch the impacts of check dam presence on the sediment connectivity of the  
507 catchment (mainly due to a limited number of pixels covering the channel areas under the direct  
508 effects of check dams). Moreover, the current and future availability of high-resolution terrain data  
509 (e.g., LIDAR) will give the possibility to use the *mCCI* for more precise evaluations of catchment  
510 sediment connectivity, also under future scenarios of climate change.

511 Overall, this study contributes to a wider and simpler applicability of the *CCI* to the semi-arid  
512 catchment. Thanks to the modifications brought by the *mCCI*, some factors of the original index are  
513 replaced by alternative methods, which are based on DEM or rely on summary hydrological  
514 evaluations. This reduces the need for field surveys, thus does not need geomorphological expertise,  
515 and allows the almost complete automation of the procedure, thanks to the large use of GIS tools.  
516 The *mCCI* seems to be more efficient in identifying and describing the hydrological and



517 geomorphological features caught by the *CCI*. At the same time, compared to the *CCI*, the *mCCI*  
518 directly calculates the *CCI* factors using common GIS software, this possibility requires less direct  
519 surveys, whose reliability strictly depends on the ability and experience of the field operators.  
520 The proposed *mCCI* may be used not only for the analysis of sediment connectivity of the  
521 individual elements of a catchment (spatial domain), but it allows also the possibility to catch the  
522 evolution of river connectivity from a diachronic perspective (temporal domain). The *mCCI* can be  
523 used as an analytical tool to evaluate the influence of past or future changes in land use and climate  
524 by comparing scenarios of torrent connectivity.

525

## 526 **DATA AVAILABILITY STATEMENT**

527

528 The data that support the findings of this study are available from the corresponding author, [P.D.],  
529 upon request.

530

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625 **TABLES**

626

627 Table 1 – Values of the modified Catchment Connectivity Index (*mCCI*) and their factors in the  
 628 different scenarios adopted for application in the Sant'Agata torrent (Calabria, Italy).

629

Parameter	Scenario <sup>(2)</sup>			
	Land use of 1955		Land use of 2012	
	Without check dams (LU1955/NO-CD)	With check dams (LU1955/CD)	Without check dams (LU2012/NO-CD)	With check dams (LU2012/CD)
<i>TC</i>	$7.82 \times 10^{-4} \text{ a}$	$1.07 \times 10^{-3} \text{ b}$	$1.19 \times 10^{-3} \text{ b}$	$1.19 \times 10^{-3} \text{ b}$
<i>GF</i>	$5.5979 \times 10^{-1} \text{ a}$	$5.59641 \times 10^{-1} \text{ a}$	$5.59641 \times 10^{-1} \text{ a}$	$5.59641 \times 10^{-1} \text{ a}$
<i>TE<sup>(1)</sup></i>	-	-	-	-
<i>SP</i>	$9.86405 \times 10^{-1} \text{ a}$	$9.8688 \times 10^{-1} \text{ a}$	$9.91 \times 10^{-1} \text{ a}$	$9.91 \times 10^{-1} \text{ a}$
<i>FC<sup>(1)</sup></i>	-	-	-	-
<b><i>mCCI</i></b>	<b>20.3</b>	<b>19.8</b>	<b>17.9</b>	<b>17.9</b>

630 Notes: (1) the TE and FC factors assume categorical values; (2) the lowercase letters indicate significant differences  
 631 according to the t-test (at  $p < 0.05$ ).

632

633 Table 2 - Univariate statistics of the modified Catchment Connectivity Index (*mCCI*) in the Sant'Agata torrent (Calabria, Italy).

634

Statistics	Scenario			Difference (%)		
	1955		2012 (with or without check dams) (LU2012/CD and LU2012/NO-CD)	2012 vs LU1955/NO-CD	2012 vs LU1955/CD	LU1955/CD vs LU1955/NO-CD
	Without check dams (LU1955/NO-CD)	With check dams (LU1955/CD)				
Minimum	0.6 <sup>a</sup>	0.5 <sup>a</sup>	1.1 <sup>b</sup>	83.3	120.0	-16.7
Maximum	63.4 <sup>a</sup>	34.5 <sup>b</sup>	36.1 <sup>b</sup>	-43.1	4.6	-45.6
Mean	20.3 <sup>a</sup>	19.8 <sup>a</sup>	17.9 <sup>a</sup>	-11.8	-9.6	-2.5
Standard deviation	3.8	3.8	3.6	-5.3	-5.3	0.0
Coefficient of variation (%)	18.6	19.3	19.9	7.0	3.1	3.8
1st quartile	18.3	17.7	16.1	-12.0	-9.0	-3.3
Median (2nd quartile)	20.6 <sup>a</sup>	20.1 <sup>a</sup>	18.2 <sup>a</sup>	-11.7	-9.5	-2.4
3rd quartile	22.6	22.1	20.0	-11.5	-9.5	-2.2
90th percentile	24.6	24.2	22.0	-10.6	-9.1	-1.6

635 Note: the lowercase letters indicate significant differences according to the t-test (at  $p < 0.05$ ).

636

637 **Figure captions**

638

639 Figure 1 - Comparison of methods to calculate the *CCI* and *mCCI*.

640

641 Figure 2 - Location of the Sant'Agata catchment (Calabria, Southern Italy): (a) headwater and  
642 middle reaches, (b) check dams (*D*) under investigation and (c) sedimentary zone behind a check  
643 dam (marked by the yellow line)

644

645 Figure 3 - Maps of the *TC* factor of the *mCCI* in the Sant'Agata sub-catchment (Calabria, Southern  
646 Italy).

647

648 Figure 4 - Map of *TE* factor of the *mCCI* in the Sant'Agata sub-catchment (Calabria, Southern  
649 Italy).

650

651 Figure 5 - Map of the *mCCI* in the Sant'Agata sub-catchment (Calabria, Southern Italy).

652

653 Figure 6 - Frequency distribution of the *mCCI* in the Sant'Agata sub-catchment (Calabria, Southern  
654 Italy).

655

656 Figure 7 - Land-use changes in the Sant'Agata sub-catchment (Calabria, Southern Italy) between  
657 1955 (left) and 2012 (right) (source: Fortugno et al., 2017 modified).

658

659 Figure 8 - Map of the difference in the *mCCI* (in binary logarithmic units) between 1955 and 2012  
660 in the Sant'Agata sub-catchment (Calabria, Southern Italy).