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Predicting the hydrological response of a forest after wildfire and soil treatments using an Artificial Neural Network

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Predicting the hydrological response of a forest after wildfire and soil treatments using an
 Artificial Neural Network

17

- 18 Demetrio Antonio Zema^(1,*), Manuel Esteban Lucas-Borja⁽²⁾, Lidia Fotia⁽³⁾, Domenico Rosaci⁽⁴⁾,
- 19 Giuseppe M. L. Sarnè⁽³⁾, Santo Marcello Zimbone⁽¹⁾
- 20
- ⁽¹⁾ Department AGRARIA, University "Mediterranea" of Reggio Calabria, Località Feo di Vito, I 89122 Reggio Calabria (Italy)
- 23 ⁽²⁾ Departamento de Ciencia y Tecnología Agroforestal y Genética, Universidad de Castilla La
- 24 Mancha, Campus Universitario s/n, C.P. 02071, Albacete (Spain)
- 25 ⁽³⁾ Department DICEAM, University "Mediterranea" of Reggio Calabria, Località Feo di Vito, I-
- 26 89122 Reggio Calabria (Italy)
- ⁽⁴⁾ Department DIIES, University "Mediterranea" of Reggio Calabria, Località Feo di Vito, I-89122
 Reggio Calabria (Italy)
- 29
- 30 * corresponding author, dzema@unirc.it
- 31

32 ABSTRACT

33

Accurate predictions of surface runoff and soil erosion after wildfire help land managers adopt the most suitable actions to mitigate post-fire land degradation and rehabilitation planning. The use of the Artificial Neural Networks (ANNs) is advisable as hydrological prediction tool, given their lower requirement of input information compared to the traditional hydrological models.

38 This study proposes an ANN model, purposely prepared for forest areas of the semi-arid 39 Mediterranean environments. The ANN hydrological prediction capability in non-burned, burned 40 by wildfire, and burned and then treated soils has been verified at the plot scale in pine forests of 41 South-Eastern Spain. Runoff and soil loss were much higher than non-burned soils (assumed as 42 control), but mulch application was effective to control runoff and soil erosion in burned plots. 43 Moreover, logging did not affect the hydrological response of these soils. The model gave very 44 accurate runoff and erosion predictions in burned and non-burned soils as well as for all soil 45 treatments (mulching and/or logging or not), with only one exception (that is, in the condition with 46 the combination of treatments which gave the worst performance, burning, mulching and logging), 47 as shown by the exceptionally high model efficiency and coefficients of determination. Although 48 further experimental tests are needed to validate the ANN applicability to the burned forests of the 49 semi-arid conditions and other ecosystems, the use of ANN can be suggested to landscape planners 50 as decision support system for the integrated assessment and management of forests.

51

52 KEYWORDS: Artificial Intelligence; hydrological modelling; surface runoff; erosion; mulching;
53 logging.

54

55 1. INTRODUCTION

56

57 The increased frequency and severity of summer droughts due to the forecasted global warming are 58 expected to lead to an important increase in the severity and recurrence of wildfires, which may 59 affect processes and properties of forest soils (Certini, 2014). Forest fire generates a chain of 60 physico-chemical and biological processes, whose effects influence the entire ecosystem. One of the 61 most threatening effect of forest fire soil is the change in its post-fire hydrological response, strictly 62 linked to fire severity (Morales et al., 2000; Benavides-Solorio and MacDonald, 2005; Robichaud et 63 al., 2007). In other words, the more severe the fire is, the greater is the susceptibility to surface 64 runoff and soil erosion. More specifically, key factors enhancing runoff and soil loss are the 65 reduction in infiltration, increase in water repellence, destruction of vegetal cover, and loss of soil organic matter (Larsen et al., 2009; Neary et al., 2005). The changes in soil hydrology induced by 66

wildfire are of high importance particularly in Mediterranean areas, where the infiltration-excess mechanism dominates runoff and erosion generation (Plaza-Alvarez et al., 2019). In such an environmental context, intense storm events in autumn and hot summers with drought risks make these zones prone to post-fire erosion and wildfire occurrence, respectively (Shakesby, 2011). Therefore, the post-fire changes in soil hydrology are the key to understand the post-fire restoration; however, the number of the studies analysing the post-fire effects on soils at multi-year scale is larger than short-term research (few months after fire).

74 Moreover, it is very important to understand the hydrological effects (that is, the potential reduction 75 of surface runoff and erosion) of the post-fire stabilization and rehabilitation treatments, used to 76 mitigate the short-term effects on soil degradation (Robichaud et al., 2000). Among these treatments, 77 emergency post-fire activities for soil stabilization, such as mulching, are recommended in areas 78 burned by wildfire to minimize overland flow and erosion risk (Vega et al., 2014). In any case, the 79 need of a better understanding and prediction of the hydrological effects of wildfire fires has created 80 a strong demand for tool able to simulate post-fire runoff and soil loss (Moody et al., 2013). 81 Accurate predictions of water and sediment flows after fire help land managers in the adoption of 82 the most suitable actions to mitigate post-fire land degradation and rehabilitation planning (Moody 83 et al., 2013). With regards to post-fire erosion modelling, literature reports simple empirical models 84 (such as the Universal Soil Loss Equation, USLE, and its revised version, the RUSLE model), semi-85 empirical models (e.g., the revised Morgan–Morgan–Finney model, Morgan 2001), and physically-86 based models (for instance, the Water Erosion Prediction Project (WEPP). However, many 87 hydrological models were developed for agricultural regions, and thus such models may find 88 limited applicability in burned conditions of the Mediterranean ecosystems (Esteves et al., 2012; 89 Vieira et al., 2014; 2018).

In the last two decades data-driven models, such as the Artificial Neural Networks (ANNs), had an
increasing popularity for estimating and forecasting water resources (Hsu et al., 1995; Riad et al.,
2004; Sharma and Tiwari, 2009). The ANNs have been applied to complex, dynamic and highly

93 non-linear systems (Hsu et al., 1995), and in situations where the input is incomplete or ambiguous, 94 since they can analyze multi-source dataset (Tokar and Johnson, 1999). The main advantage of the 95 ANNs over traditional methods is the lower requirements of information about the complex nature 96 of the underlying process that are instead described in a mathematical closed form (Sudheer et al., 97 2002). Furthermore, ANNs can generalise relationships also from a small dataset, but remain more 98 or less robust when noisy or missing inputs are present and can work also in changing environments 99 (Dawson and Wilby, 1998). ANNs learn from the analysis of the available input data and do not 100 require reprogramming, but they must be trained, optimized and tested (Gholam et al., 2018).

101 ANNs have been extensively used also for rainfall-runoff modeling, flood predictions, reservoir 102 operations, routing of polluting compounds (ASCE, 2000). For instance, ANNs have been used for 103 modelling the rainfall-runoff relationships in small to large watersheds of United States (Hsu et al., 104 1995), United Kingdom (Dawson and Wilby, 1998), India (Sudheer et al., 2002; Sharma and 105 Tiwari, 2009), Morocco (Riad et al., 2004), Albaradeya et al., 2011 (in Palestinian territories) and, 106 more recently, in Australia (Asadi et al., 2019). Also, soil erosion was predicted using ANNs at 107 both plot scale (Licznar and Nearing, 2003, and Kim and Gilley, 2008, in USA; Albaradeya et al., 108 2011, in Palestinian territories) and watershed scale (e.g., Gholami et al., 2018, in Iran). Moreover, 109 Yusof et al. (2014) used ANNs to predict the soil erodibility factor of the USLE equation using 74 110 samples of Malaysia soils.

However, only a few studies have analysed the ANN performance in soil erosion modelling (Gholami et al., 2018) and, even, ANN has not been used for hydrological predictions in burned soils. Modelling soil erosion and runoff after wildfires using ANNs may be a novel approach that could be of help to better understand and predict fire-induced effects after fire.

To fill this gap, this study provides an ANN model, purposely prepared for pine forest areas of the semi-arid Mediterranean environments, and verifies its hydrological prediction capability in nonburned, burned by wildfire, and burned and then treated soils. More specifically, surface runoff and soil loss were firstly measured in *i*) unburned plots (assumed as control); *ii*) plots subjected to a

119	wildfire and not rehabilitated with any post-fire measures; iii) plots subjected to fire and treated
120	with mulching throughout one year. Based on these observations, the ANN model is calibrated and
121	its performance in estimating surface runoff and soil loss at the event scale is evaluated under the
122	peculiar climatic conditions and forest management.
123	
124	
125	2. MATERIALS AND METHODS
126	
127	2.1. Experimental site and design
128	
129	2.1.1. Study area
130	
131	The study was carried out in the Sierra de las Quebradas forest (Liétor, Castilla-La Mancha region,
132	province of Albacete, Central Spain) (Figure 1a). The climate is hot dry Mediterranean (Allué,
133	1990), BSk according to the Koppen classification (Kottek et al., 2006). Average annual rainfall and
134	medium annual temperature is 282 mm and 16 °C, respectively. Elevation ranges between 520 and
135	770 m and aspect is W-SW. According to the Spanish Soil Map (2000), soils are classified as
136	Inceptisols and Aridisols and soil texture is sandy loam.

The forest land mainly consists of *Pinus halepensis* M. stands. The mean density and height of
forest trees before the wildfire were about 500–650 trees/ha and 7–14 m, respectively. The shrubs
and herbaceous species mainly found at the study site were *Rosmarinus officinalis* L., *Brachypodium retusum* (Pers.) Beauv., *Cistus clusii* Dunal, *Lavandula latifolia* Medik., *Thymus vulgaris* L., *Helichrysum stoechas* (L.), *Stipa tenacissima* (L.), *Quercus coccifera* L. and *Plantago albicans* L.

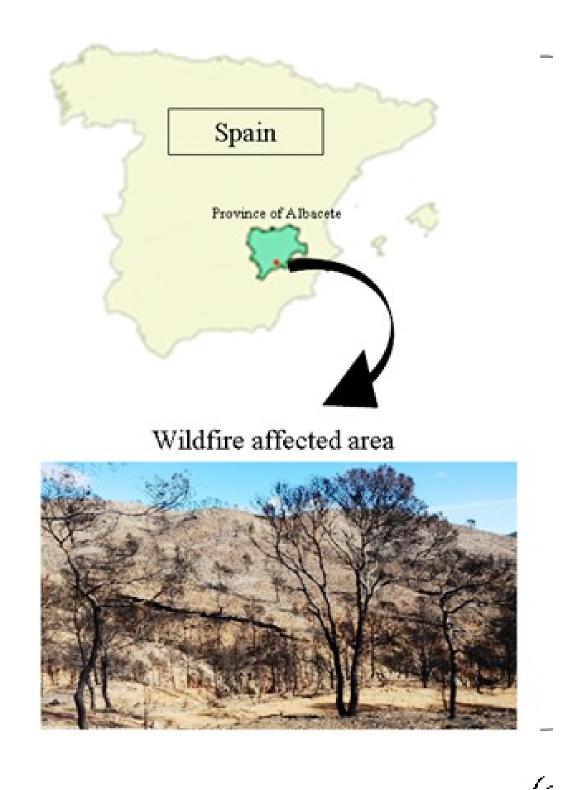




Figure 1 - Location/experimental design (a) and measuring equipment (b) of the experimental plots
used to model the hydrological response of pine forest to wildfire using ANNs (Liétor, Castilla La
Mancha, Spain).

149 2.1.2. Experimental site description

150

Immediately after the wildfire, one site of about five hectares in the forest stand was selected for study (Figure 1a). Twelve experimental plots (each one 9 m long and 3 m wide, for a total area of 27 m^2) were installed with their longest dimension along the maximum slope in the burned area. In addition, an unburned area, located 7 km far from the burned stand was selected as control and three other plots were located for the same aim.

In both areas, the plots were distributed caring that their characteristics (soil properties, slope and aspect) were similar, to ensure comparability. Plot slope varied between 10 and 15%. Plot distance was always higher than 20 m.

159 The plots, delimitated by a 0.5 m wide geotextile fabric that was inserted up to 0.4 m below the 160 ground surface, were hydraulically isolated along their perimeter to prevent external inputs of water 161 and sediments. For this, a geotextile that was tightly fastened to 0.8 m long and 20 mm in diameter 162 iron rods was pounded into the ground at 0.15 m of depth. A 50 cm long metallic sediment fence 163 with a triangular shape was installed in the downstream side of the plot, to convey water and 164 sediments in a pipe and then into a 25 litre tank. The area with the metallic fence was protected 165 from rain by a plastic cover. Its ground surface was also covered by plastic, to ensure that the entire 166 runoff and all sediments were delivered to the collection point and then to the storage container 167 (Figure 1b).

168

169 2.1.3. Wildfire and forest management operations

170

171 The Sierra de las Quebradas area was affected in July 2016 by a wildfire. During the wildfire about 172 830 ha of forest land was burned. Tree mortality was 100%. A mean value of soil burn severity was 173 obtained for each plot by adopting the methodology proposed by Vega et al. (2013) and Fernandez et al. (2017). Soil burn severity values were classified in the high class for all of the burned plots bythe Castilla La Mancha Forest Service.

176 In September 2016, mulching treatment was carried out in six plots in the burned area. Mulching 177 consisted of manually spreading straw of barley on the plots at a rate of 200 g/m² (dry weight). 178 Initial mulch cover and depth were 95% of the plot area and 3 cm, respectively.

Moreover, salvage logging was conducted in December 2016 in six plots, of which three nonmulched and three mulched. The geotextile fabrics of the plots were removed before harvesting and re-installed immediately after. The trees were cut with mechanical chain saws and burned logs were removed using an agricultural tractor equipped with pneumatic wheels.

The experimental design consisted of the following *soil conditions* in relation to the wildfire: (1) "*Non-Burned, NB*" (three plots); (2) "*Burned, B*" (twelve plots). After fire the following *soil treatments* were defined in the burned plots: (i) *Burned+Mulching+No-Logging (B+M+NL, six* plots); (ii) *Burned+No-Mulching+No-Logging (B+NM+NL, six plots)*. This experimental design was adjusted from the cutting date onwards, and the treatments were reassigned as follows: *i*) *Burned+Mulching+Logging (B+M+L, three plots); ii) Burned-No-Mulching+Logging (B+NM+L,* three plots).

190

191 2.1.4 Collection of observed data

192

Precipitation depth, duration and intensity were measured by a weather station (WatchDog 2000 Series model) with a tipping bucket rain gauge, located 50 m out of the study area. In the hourly rainfall series of the experimental database, two consecutive events were considered separate, if no rainfall was recorded for 6 h or more (Wischmeier and Smith, 1978; Zema et al., 2017).

Between September 2016 and July 2017, after each precipitation event, the volume of surface runoff collected by the plot tank was measured. After mixing the runoff water collected in the tank, a water sample of about 0.5 litres was collected. Then, samples were oven dried (at 105 °C) for 24 h

200	in the laboratory and Total Dissolved Sediments (TDS) and Suspended Sediments (SS) were
201	measured. Moreover, the eroded soil deposited at each metallic sediment fence was collected
202	manually after each event and then weighed in the field. After sample oven-drying, the dry
203	sediment (DS) weight was measured.

The runoff coefficients of each event were calculated as the ratio surface runoff to total rainfall. Soil
loss was evaluated as the sum of DS, TDS and SS.

- 206
- 207 2.1.5 Statistical analysis on observed data
- 208

209 Following Lucas-Borja et al. (2019), the observed data were analysed to evaluate the treatment 210 effect (with five levels: Non-Burned, Burned+No-Mulching+No-Logging, Burned+Mulching+No-211 Logging, Burned+No-Mulching+Logging Burned+No-Mulching+No-Logging) on runoff volumes 212 and soil losses by a general linear mixed model. The survey date and plots were included as random 213 effects. The rainfall parameters (total precipitation, maximum rainfall intensity in 60 min of each 214 rainy event) for each sediment collection date were included as covariates. Data were log-215 transformed to achieve normality and residuals were tested for autocorrelation, normality and 216 homogeneity of variance. When significant mixed effects were indicated, the post hoc pairwise 217 comparisons (with Bonferroni adjustment for multiple comparisons) were conducted to assess 218 differences between the main effects of treatments and their interactions. All the statistical analyses 219 were conducted using the R statistical program, package lme4.

220

221 **2.2. Implementation of the Artificial Neural Networks**

222

223 2.2.1. Theoretical approach about the Artificial Neural Networks

225 In this work a standard feedforward neural network has been used to simulate the hydrological 226 response of the experimental plots. A standard feedforward neural network (Haykin, 1994) is 227 composed by a set of N nodes N and a set of M arcs A (see Figure 2). The nodes are partitioned into 228 L groups, called *layers*, with L > 2. The first layer is a set of I input nodes NI called *input layer*; then, there are L-2 hidden layers, of which each hidden layer h_t , with t = 1, ..., L-2 is a set of H 229 230 nodes NH_t Finally, there is a set of O nodes NO, called *output layer*. Each node (denoted by o) of 231 the output layer is connected with each node (denoted by h) of the NH_{L-2} hidden layer by an edge 232 directed from o to h, and each node y of the NH₁ hidden layer is connected by an edge with each 233 node x of the input layer by an edge directed from y to x.

For each edge of the network, we denote by *i* (resp. *j*) the source (resp. destination) node and we associate a real value W_{ij} , called *weight*, with the edge.

The neural network is used for representing a real function. Each input layer node is associated with an input (real) value and each output layer node is associated with an output (real) value of the function. The output values are computed by the neural network using the input values. Hidden layer nodes are associated with intermediate results of the computation.

The neural network computes the output values as follows. Both of each hidden and output layer node *n* are provided with the same function *a*, which is called *activation function*, and with a parameter Θ , which is called *bias*. The node *j* of the first hidden node NH₁ computes its associated hidden value $h_1 = a \left(\sum_{i=1}^{I} W_{ij} * I_i - \Theta \right)$, where *i* is an input layer node, i.e., by computing the weighted sum of the values I_i of the input layer using the weights W_{ij} associated with all the connections between each input layer node *i* and the hidden layer node *j*.

246 The node *j* of each hidden layer NH₁ computes its associated hidden value 247 $h_j^l = a \left(\sum_{i=1}^{H} W_{ij} * h_i^{l-1} - \Theta \right)$, where *i* is the 1-1 layer node, i.e., by computing the weighted sum of the values h_i^{l-1} of the nodes of the previous layer. Analogously, each output layer node *j* computes its associated output value $o_j = a \left(\sum_{i=1}^{H} W_{ij} * h_i^{L-2} - \Theta \right)$, where h_i^{L-2} is a hidden L-2 layer node.

The weight W_{ii} associated with the edges of the set A and the activation function parameters are 250 251 suitably set by a training algorithm that tries to learn how correctly approximating the desired 252 output. Training algorithms can be unsupervised or supervised. In the first case, the ANN 253 autonomously learns the functional dependence between an input and its correct output. Differently, 254 a supervised training algorithm takes advantage from the availability of a training dataset where for 255 each input its correct output is provided; by measuring the difference between the correct and the 256 computed ANN outputs then it is possible to tune the ANN parameters to minimize this error. When 257 the ANN reaches the desired precision in reproducing the outputs of the training dataset, then the 258 learnt ends and the ANN can be considered ready to work with unknown input data.

Multilayer feedforward networks are commonly used to approximate real functions, i.e. for determining weights and parameters of a given neural networks such that a set of given output data matches with a corresponding set of input data, with an approximation error. Some theoretical results have been provided in the related literature (Hetch-Nielsen, 1987) to assure the possibility of approximating any real function satisfying some determined constraints.

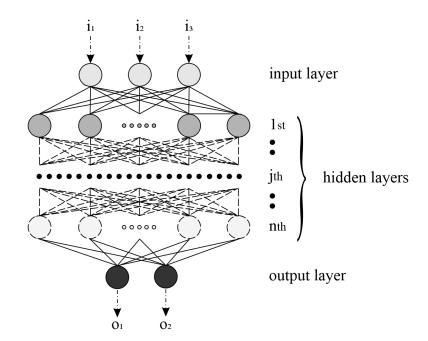
Many types of activation function *a* can be used with the above neural network model. In this work, we will use the well-known sigmoid function with the following formula:

266

267
$$a(x) = \frac{l}{l + e^{-\beta x}}$$
 (1)

268

269 where β is a parameter that should be appositely chosen when designing the neural network 270 architecture.



273

Figure 2 – The architecture of the ANN used to model the hydrological response of plots (Liétor,
Castilla La Mancha, Spain).

276

277 2.2.2. ANN implementation

278

279 In these experiments, we used the Neuroph framework for training the ANN on a data set of real 280 hydrological information. The data set contains 243 tuples of four attributes, namely *i*) treatment, *ii*) 281 precipitation (mm), iii) runoff (mm) and iv) soil loss (kg/ha). Among the input variables, rainfall 282 intensity has not been deliberately included, although many studies (e.g., Lucas-Borja et al., 2019; 283 Prats et al., 2012), carried out in the same environmental conditions, have demonstrated that, beside the total rainfall, rainfall intensity is the most influential variables explaining runoff generation after 284 285 fire. This choice is due to the fact that many weather stations (as happen in Spain) are equipped 286 only with rain gauges, which provides daily depths rather than with automated devices, allowing 287 continuous measurements of rainfalls for hourly or sub-hourly intensity calculations. By this way, 288 the ANN seems to have a larger transferability compared to the gauged areas.

The treatment assumes the following discrete values: Burned+Mulching+No-Logging, Burned+No Mulching+No-Logging, Non-Burned, Burned+Mulching+Logging and Burned+No Mulching+Logging.

The attributes *i*) and *ii*) are considered as the neural network inputs, while *iii*) and *iv*) are used as neural network outputs.

294

295 2.2.3. Data pre-processing

296

First, we have processed the data to obtain a suitable dataset to train the neural network. The value 297 of treatment has been transformed into an integer number that takes values between 1 and 5. In 298 299 particular, *Burned+Mulching+No-logging* = 1, *Burned+No-mulching+No-logging* = 2, *Non-burned* = 3, Burned+Mulching+Logging = 4 and Burned+No-mulching+Logging = 5. Since some pair of 300 inputs <treatment, precipitation> were associated with different outputs (due to the fact that the 301 302 same precipitation can produce different runoff volumes, because of many factors, such as the 303 variability of precipitation intensity, soil characteristics in time and space), we averaged in those 304 cases the values of the surface runoff and soil loss. The new dataset is shown in Table 1a. 305 Then, the data set had to be normalized. Normalization implies that all values from the dataset 306 should take values in the range from 0 to 1. For this purpose, we used the following formula:

307

308
$$X_n = \frac{X - X_{min}}{X_{max} - X_{min}}$$
 (2)

309

where X is the value that should be normalized, X_n is the normalized value, X_{min} is the minimum value of X and X_{max} is the maximum value of X. Therefore, we obtained the dataset shown in Table 1b.

- Tables 1a and 1b The original (a) and normalized (b) datasets used to model the hydrological
 response of plots through ANNs (Liétor, Castilla La Mancha, Spain).

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L.	aj
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Treatment	Precipitation (mm)	Runoff volume (mm)	Soil loss (kg/ha)
(input 1)	(input 2)	(output 1)	(output 2)
1.0	40.0	1.65	68.1
2.0	40.0	2.21	316.3
3.0	40.0	0.00	0.0
1.0	41.0	0.41	145.16
2.0	41.0	0.35	403.09
3.0	41.0	0.00	6.366
1.0	59.0	0.25	158.35
2.0	59.0	0.25	424.01
3.0	59.0	0.03	8.3
4.0	93.8	0.60	5.98
5.0	93.8	0.70	77.73
3.0	93.8	0.08	0.6
4.0	28.0	0.15	8.84
5.0	28.0	0.18	19.52
3.0	28.0	0.02	1.97
4.0	16.8	0.13	9.45
5.0	16.8	0.19	15.91
3.0	16.8	0.00	0.0
4.0	11.6	0.02	7.1

5.0	11.6	0.04	38.48
3.0	11.6	0.01	0.79
4.0	47.4	1.46	48.28
5.0	47.4	1.34	103.25
3.0	47.4	0.03	4.15
4.0	20.7	0.08	22.32
5.0	20.7	0.21	21.72
3.0	20.7	0.03	0.26

(b)

Treatment	Precipitation	Runoff volume	Soil loss
(input 1)	(input 2)	(output 1)	(output 2)
0.0	0.345	0.75	0.16
0.25	0.345	1.0	0.74
0.5	0.345	0.0	0.0
0.0	0.358	0.18	0.34
0.25	0.358	0.16	0.95
0.5	0.358	0.0	0.01
0.0	0.577	0.11	0.37
0.25	0.577	0.11	1.0
0.5	0.577	0.013	0.02
0.75	1.0	0.27	0.01
1.0	1.0	0.32	0.18
0.5	1.0	0.04	0.001

0.75	0.199	0.07	0.02
1.0	0.199	0.08	0.05
0.5	0.199	0.009	0.005
0.75	0.063	0.06	0.02
1.0	0.063	0.08	0.04
0.5	0.063	0.0	0.0
0.75	0.0	0.009	0.02
1.0	0.0	0.018	0.09
0.5	0.0	0.004	0.002
0.75	0.435	0.66	0.114
1.0	0.435	0.6	0.24
0.5	0.435	0.013	0.0097
0.75	0.111	0.04	0.53
1.0	0.111	0.095	0.51
0.5	0.111	0.013	6.0e ⁻⁰⁴

Tables 2a and 2b - Runoff volume (a) and soil loss (b) observed and simulated by the ANN used to
model the hydrological response of plots through (Liétor, Castilla La Mancha, Spain).

(-)	
121	
(u)	

Observed runoff	Simulated runoff	Error
(mm)	(mm)	(mm)
1.65	1.65	0
2.21	2.14	0.07

0	0.025	0.025
0.41	0.39	0.02
0.35	0.35	0
0	0.0084	0.0084
0.25	0.243	0.007
0.25	0.21	0.04
0.03	0.06	0.03
0.6	0.57	0.03
0.7	0.7	0
0.08	0.11	0.03
0.15	0.15	0
0.18	0.21	0.03
0.02	0.0097	0.0103
0.13	0.085	0.045
0.19	0.13	0.06
0	0.007	0.007
0.02	0.072	0.052
0.04	0.11	0.07
0.01	0.0075	0.0025
1.46	1.46	0
1.34	1.33	0.01
0.03	0.00044	0.02956
0.08	0.1	0.02
0.21	0.15	0.06
0.03	0.0075	0.0225

Observed soil loss	Simulated soil loss	Error
(kg/ha)	(kg/ha)	(kg/ha)
68.1	85.18	17.08
316.3	320.42	4.12
0	0.38	0.38
145.16	136.49	8.67
403.09	401.96	1.13
6.36	0.42	5.94
158.35	157.69	0.66
424.01	424.01	0
8.3	12.42	4.12
5.98	0.975	5.005
77.73	76.19	1.54
0.6	2.03	1.43
8.84	7.93	0.91
19.52	20.35	0.83
1.97	0.38	1.59
9.45	11.45	2
15.91	24.8	8.89
0	0.72	0.72
7.1	14.96	7.86
38.48	30.4	8.08
0.79	1.02	0.23

48.28	48.76	0.48
103.25	101.93	1.32
4.15	3.985	0.165
22.32	9.54	12.78
21.72	21.88	0.16
0.26	0.55	0.29

330

331 2.2.4. Neural network architecture

332

We adopted the Neuroph, which is an ANN tool, and the *Multi Layer Perceptron* architecture, which is a feedforward ANN (see Section 2). This ANN model maps sets of input data into a set of appropriate output. It consists of multiple layers of nodes in a directed graph, with each layer fully connected to the next one. Except for the input nodes, each node is a neuron with nonlinear activation function.

Multilayer Perceptron uses a supervised learning technique called *backpropagation* for the training stage. It is a modification of the standard linear Perceptron, which is not able to distinguish data that not linearly separable, as in our case. We set multi-layer Perceptron 's parameters. The number of input and output neurons was the same as in the training set. Then, we had to choose number of hidden layers, and number of neurons in each layer.

The topology of our ANN was chosen as the result of a preliminary study, where several alternatives in terms of number of hidden layers and number of neurons for layer were tested. At the end of this study, the best performance architecture resulted in two hidden layers with 20 neurons in each layer (Figure 3).



Figure 3 - The ANN with two hidden layers with 20 following neurons used to model the
hydrological response of plots (Liétor, Castilla La Mancha, Spain).

351

Then we adopted a 'Sigmoid' for transfer function, while, for learning rule, we chose a Backpropagation with Momentum'. The momentum is a real value added to speed up the process of learning and to improve the efficiency of the algorithm.

355

356 2.2.5. Neural network training

357

358 After we have created training set and set the parameters of the neural network, we started to train359 it.

In our case the maximum error was set to 0.0001, learning rate was set to 0.2 and momentum was set to 0.7. In the first phase, we calculated the total Mean Square Error (MSE). For that purpose, the following formula was used:

364
$$MSE = \frac{1}{n} \sum_{i=1}^{n} \left(Y_i - \hat{Y}_i \right)^2$$
 (3)

- 365
- 366 where MSE is the arithmetic mean of the squares of the errors $(Y_i \hat{Y}_i)^2$.
- 367 In the following, we will refer to the MSE as the Total Network Error. When this Total Network
- 368 Error value dropped below the max error, the training was complete. The smaller the error is, the
- 369 better the obtained approximation is.
- 370
- 371

- 372 2.3. Evaluation of the hydrological prediction capability of ANN
- 373

374	The predictions of surface runoff and soil loss provided by the adopted ANN model were compared
375	to the corresponding observations collected in the equipped plots. First, observed and simulated
376	values were visually compared in "scatter-plots". Then, the following indicators, usually adopted in
377	the literature studies dealing with hydrological modelling (e.g., Willmott, 1982; Legates and
378	McCabe, 1999; Loague and Green, 1991; Zema et al., 2017; 2018), were calculated:
379	(i) the main statistics (i.e. the maximum, minimum, mean and standard deviation of both the
380	observed and simulated values);
381	(ii) the coefficients of determination (r^2) , efficiency (E, Nash and Sutcliffe, 1970) and residual
382	mass (CRM, also knowns as "percent bias", PBIAS); and
383	(iii) the Root Mean Square Error (RMSE).
384	The related equations for the calculation of these indicators are reported by Zema et al. (2012),
385	Krause et al. (2005), Moriasi et al. (2007) and Van Liew and Garbrecht (2003).
386	To summarise, the model performance can be evaluated as follows:
387	- the closer the statistics, the more accurate the model predictions;
388	- values of r^2 , ranging from 0 to 1, over 0.5 indicate reasonable model performance (Santhi et al.,
389	2001; Van Liew et al., 2003; Vieira et al., 2018);
390	- E, in the range $-\infty$ to 1, is negative for a model giving poor predictions, ≥ 0.35 for a satisfactory
391	model and ≥ 0.75 for a good performance (Zema et al., 2017);
392	- RMSE, which should be as closest as possible to zero (no errors between predictions and
393	observations), less than half the standard deviation of the measured data are considered good
394	(Singh et al., 2004);
395	- CRM/PBIAS, which, if positive, indicates model underestimation, whereas, if negative, model
396	overestimation (Gupta et al., 1999), must be below 0.25 or 0.55 for good runoff and soil loss
207	predictions respectively, according to Mariasi at al. (2007)

397 predictions, respectively, according to Moriasi et al. (2007).

399

400 3. RESULTS AND DISCUSSIONS

401

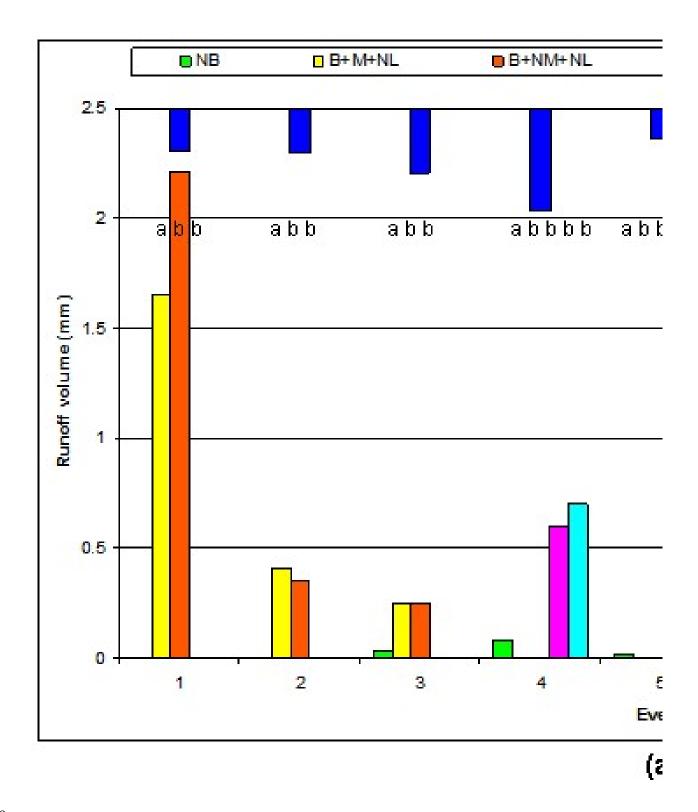
402 **3.1. Runoff and soil erosion observations**

403

404 During the observation period, nine events were monitored, for which precipitation depth and mean 405 intensity were in the range 11.6-93.8 mm and 0.98-28.0 mm/h. The monitored events were only 406 those producing surface runoff and erosion. As expected, all burned plots gave runoff volumes and 407 soil loss significantly (at p < 0.05) much higher than non-burned soils (control), for which the mean 408 runoff and soil loss were 0.02 ± 0.03 mm and 2.49 ± 3.07 kg/ha (mean \pm standard deviation). Also 409 Gimeno-García et al. (2007), studying the soil's hydrological response after wildfires in 410 Mediterranean shrublands, showed that total runoff and sediment yield in the first post-fire year (19.43 mm and 0.56 kg/m² in the intense fire) contrast with the very low runoff (3.82 mm) and soil 411 412 loss (0.08 kg/m²) in control plots. In a different Mediterranean landscape, Mayor et al (2007) found 413 that total runoff and sediment yield in the burned catchment (35 mm and 4.56 kg/ha, respectively) 414 were considerably greater than in the unburned catchment (0.03 mm, and 0.12 kg/ha). Key casual 415 factors enhancing runoff and soil loss are the reduction in infiltration and some combination of 416 sealing, soil water repellency, loss of surface cover, and disaggregation due to loss of soil organic 417 matter (Neary et al., 2005).

Mulching reduced the hydrological response of the B+M+NL soils (mean runoff of 0.26 ± 0.54 mm as well as soil loss of 41.3 ± 66.6 kg/ha soils) compared to B+NM+NL plots (mean runoff of $0.31 \pm$ 0.72 mm and soil loss of 127 ± 193 kg/ha) (Figure 4a and 4b). The differences were significant for soil erosion, but not for runoff. The efficacy of mulch application to control soil erosion is in accordance with Bautista et al. (2009), who highlighted the immediate increase of ground cover in mulch application, which result in an effective soil protection for the first rain events after fire. 424 The effects of logging on burned soils (mulched or not) anywhere not appreciably different between 425 the plots, since the differences in surface runoff and soil loss were not significant (at p < 0.05). More specifically, B+NM+L plots gave higher runoff (on the average 0.30 ± 0.45 mm) and soil loss 426 427 (on the average 30.7 ± 36.7 kg/ha) compared to B+M+L soils (mean runoff of 0.27 ± 0.48 mm and 428 soil loss of 11.3 ± 15.5 kg/ha) (Figure 4a and 4b). This is in accordance with other authors that did 429 not report a significantly negative effect of logging in soil parameters (Fernández and Vega, 2016). 430 The type of machinery used during forest operations could also explain this. As Lucas-Borja et al. 431 (2018) demonstrated, the use of not heavy machinery with air tires generates not negative impact on 432 soil and reduce soil compaction in comparison to chain tires.

433 It is worth to highlight that a temporal gradient in runoff generation mechanism was found for the 434 B+NM+NL and B+M+NL (regardless of the treatment), indicating a decrease of the hydrological 435 response of all soils throughout the time elapsed from fire. In other words, the largest runoff - and 436 thus soil loss - was produced by the rainfall events occurring immediately after the wildfire, as 437 shown by the decrease of the runoff coefficients (data not shown). This has been observed in the 438 first and second storms in the season immediately after wildfires by several authors (e.g., de Dios 439 Benavides-Solorio and MacDonald, 2005; DeBano et al., 1998; MacDonald et al., 2000; Robichaud 440 and Brown, 1999). The large increase in the runoff coefficients just after fire has been attributed to 441 changes in soil hydrological properties, such as the development of a water-repellent layer at or near 442 the soil surface, which prevents infiltration and induces overland flow (DeBano et al., 1970; 443 Shakesby et al., 2000). In addition, this fact might be explained by the vegetation (mainly shrubs 444 and herb) recovery after fires that performed better than litter in order to stop runoff generation. The 445 complex system of vegetation patches in control plots which is highly disconnected that influence 446 of semi-arid Mediterranean vegetation on runoff generation has been widely reported in previous 447 studies (i.e. Dunjó et al., 2004).



450 Figures 4a and 4b - Precipitation, runoff volumes (a) and soil losses (b) observed in the
451 experimental plots (Liétor, Castilla La Mancha, Spain) (NB = Non-Burned; B+M+NL =
452 Burned+Mulching+No-Logging; B+NM+NL = Burned+No-Mulching+No-Logging; B+M+L =

453 Burned+Mulching+Logging; B+NM+L = Burned+No-Mulching+Logging; different lower case 454 letters indicate statistically significant differences at p < 0.05).

455

456 **3.2.** Hydrological modelling by ANN

457

First, we train the neural network for the first output. After 250000 iterations we obtained a Total
Mean Square Error drop down to a specified level of 0.0001, which means that training process was
successful.

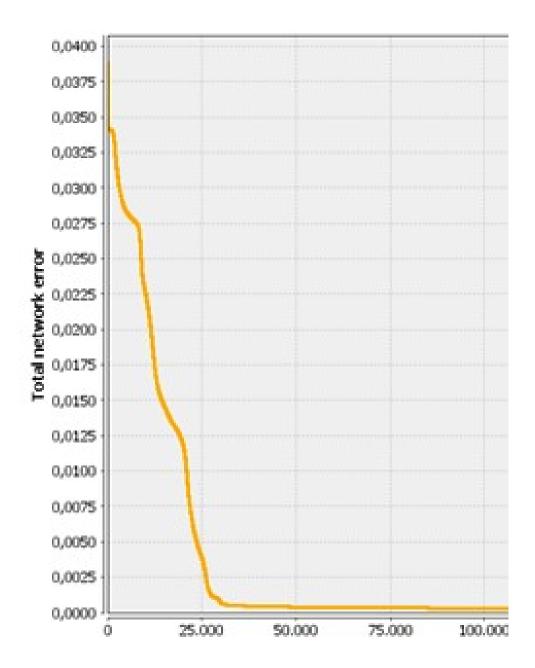
461

462 3.2.1. Neural Network Approximation

463

464 A Total Mean Square Error of 1.965 e^{-4} in simulating the runoff volume was achieved (Figure 5a), 465 which certainly is a very good result, because our goal is to get the total error to be as small as 466 possible. In more detail, Table 2a reports the observed (desired output) and simulated (ANN output) 467 runoff values and the related differences the trained neural network produced. Looking at the 468 individual errors, we can observe that most of them are at the low level, below 0.1. MAE was equal 469 to 0.025 mm. So we can conclude that this type of neural network architecture is the best choice.

We used the same neural network shown in Figure 2. Also in this case, we set the maximum error to 0.0001, the learning rate to 0.2 and the momentum to 0.7. After 175000 iterations we obtained a total MSE drop down to a specified level of 0.0001, which means that training process was successful and that now we can exploit this trained neural network (Figure 5b). The Total Mean Square Error for this second neural network was $1.78 e^{-4}$. The relative error on the individual soil loss between the observations and the simulations (Table 2b) was lower than 17.1 kg/ha while MAE was equal to 3.57 kg/ha.

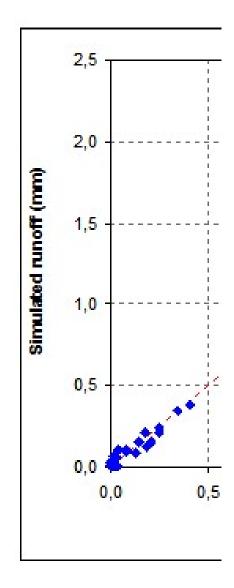


479 Figures 5a and 5b - Total Network Error (equal to the Total MSE, Mean Square Error for runoff
480 volume (a) and soil loss (b) simulated by the ANN used to model the hydrological response of plots
481 (Liétor, Castilla La Mancha, Spain).

483 3.2.2. Evaluation of the ANN prediction capability

484

- 485 The scatter plots of Figure 6a and 6b show a very close agreement between the predictions provided
- 486 by ANN and the corresponding observations collected at the plots for both surface runoff volumes
- 487 and soil loss for all the experimental conditions (control, burned and treated/not treated soils).



488

- 490 Figures 6a and 6b Scatter plot of the observed vs simulated (by ANN) runoff volumes (a) and soil
- 491 loss (b) in the experimental plots (Liétor, Castilla La Mancha, Spain).

493 This qualitative agreement is confirmed by the values of the indicators adopted for the quantitative 494 assessment of ANN prediction capability. In general, when the ANN performance is evaluated by 495 aggregating all the soil conditions, the statistics (i.e., mean, standard deviation, minimum and 496 maximum) were practically equal for both runoff and soil loss. Only very small differences were 497 found for the maximum runoff (under 3.2%) and the minimum soil loss (modelled as zero against a 498 mean value of 0.38 kg/ha). Moreover, the model efficiency and RMSE are good and the coefficient 499 of determination equal to one, while the CRM (equal to 0.01) indicates a very small model 500 underestimation of the observations (Table 3).

501 A more detailed analysis of the ANN performance, carried out separately for the individual soil 502 conditions (burned/unburned) and treatments (mulching/logging) highlighted that (Table 3):

- the observed and predicted mean values of both runoff and soil loss are practically the same and
the maximum difference (16.2%, however under the acceptance threshold) is detected for soil loss
prediction in B+M+L plots;

- the lower agreement between observations and predictions was found in the maximum runoff (with differences lower than 32%) and in the minimum soil loss (below 112%); for the latter, in same cases the ANN predicted soil losses equal to zero also in the case of observed erosion; instead, for the maximum soil losses, only in one case (for the B+M+L plots) the difference with the corresponding observation was more than 20%. 511 Table 3 - Values of the criteria adopted for ANN evaluation in the experimental plots (Liétor, Castilla La Mancha, Spain).

Treatment	Number of events	Value	Mean	Minimum	Maximum	Standard Deviation	Е	CRM	r ²	RMSE
	or events		(mm or kg/ha)					(-)	(-)	(mm or kg/ha)
				RUNC	OFF VOLUM	E				
ALL DATA	27	Observed	0.39	0.00	2.21	0.59	-	-	-	-
ALL DATA	21	Simulated	0.38	0.00	2.14	0.58	1.00	0.01	1.00	0.03
NB	9	Observed	0.57	0.00	2.21	0.80	-	-	-	-
		Simulated	0.56	0.01	2.14	0.78	1.00	0.01	1.00	0.03
B+M+NL	3	Observed	0.46	0.08	0.70	0.33	-	-	-	-
		Simulated	0.46	0.11	0.70	0.31	0.99	0.00	1.00	0.02
B+NM+NL	3	Observed	0.12	0.02	0.18	0.09	-	-	-	-
D I IVIVI I IVL		Simulated	0.12	0.01	0.21	0.10	0.93	-0.06	0.99	0.02
B+M+L	6	Observed	0.07	0.00	0.19	0.08	-	-	-	-
		Simulated	0.07	0.01	0.13	0.05	0.55	-0.06	0.56	0.05
B+NM+L	6	Observed	0.53	0.03	1.46	0.68	-	-	-	-

		Simulated	0.51	0.00	1.46	0.69	1.00	0.03	1.00	0.03
				S	OIL LOSS					
ALL 27	27	Observed	70.96	0.00	424.01	120.84	-	-	-	-
DATA	_,	Simulated	70.99	0.38	424.01	120.96	1.00	0.00	1.00	5.60
NB	9	Observed	169.96	0.00	424.01	170.74	-	-	-	-
	,	Simulated	171.00	0.38	424.01	170.21	1.00	-0.01	1.00	6.98
B+M+NL	3	Observed	196.89	8.30	424.01	210.52	-	-	-	-
$\boldsymbol{D} + \boldsymbol{W} \boldsymbol{I} + \boldsymbol{V} \boldsymbol{L}$	3	Simulated	198.04	12.42	424.01	208.74	1.00	-0.01	1.00	2.41
3+NM+NL	3	Observed	10.11	1.97	19.52	8.84	-	-	-	-
5 + 1 v 1 v L	5	Simulated	9.55	0.38	20.35	10.08	0.97	0.06	1.00	1.16
B+M+L	6	Observed	11.96	0.00	38.48	14.26	-	-	-	-
$\boldsymbol{D} \top \boldsymbol{M} \top \boldsymbol{L}$	0	Simulated	13.89	0.72	30.40	12.15	0.79	-0.16	0.82	5.93
B+NM+L	6	Observed	33.33	0.26	103.25	38.25	-	-	-	-
D + N N I + L	0	Simulated	31.11	0.55	101.93	38.85	0.98	0.07	0.98	5.25

513 Notes: NB = Non-Burned; B+M+NL = Burned+Mulching+No-Logging; B+NM+NL = Burned+No-Mulching+No-Logging; B+M+L =

514 Burned+Mulching+Logging; B+NM+L = Burned+No-Mulching+Logging.

515 As regards the other model performance indicators, the following considerations can be drawn 516 (Table 3):

ANN showed a very slight tendency to overestimate or underestimate the hydrological
observations (for instance, overestimation of runoff in B+NM+L and B+NM+L plots, CRM = -0.06
as well as underestimation of soil loss in B+NM+NL and B+NM+L, CMR = 0.06-0.07), as shown
by the very small negative or positive values of CMR;

521 - for all the soil conditions/treatments and both for runoff and soil loss predictions, E, r^2 and RMSE 522 attained good values (that is, very close to one for E and r^2 , and to zero for RMSE), except for the 523 B+M+L plots;

- for the latter soil condition and treatment, the worst performance of the ANN was found for both runoff and erosion predictions (see values of E, r^2 and RMSE). Presumably, in soil subjected to logging, the impacts of machinery wheels on soil determine the formation of small rills, in which small volumes of water and sediments are stored and do not feed runoff. Since, in general, many models find difficulties in modelling rill erosions (e.g., Aksoy and Kavvas, 2005), this behaviour could be common with ANN.

However, on account of E, r^2 and RMSE values, the prediction capability of the ANN can be considered as satisfactory to good for runoff and good for soil loss. This indicates that a soil disturbance due to more than two factors (in our case wildfire, mulching and logging) founds some difficulties in being simulated by ANN, which however does not compromise the generally good model performances.

The runoff and erosion prediction capacity provided by ANNs appears to be very satisfactory in the experimental conditions and this is even more appreciable if we make comparisons with other conceptual models. For instance, limiting the evaluation criteria to model efficiency, the very high E coefficients of this study (close to 0.99) is noticeably higher compared to the maximum values (E from -10 to 0.93) reported in the studies of Vieira et al. (2014), Fernandez et al. (2010) and Hosseini et al. (2018), who applied the MMF model for predicting runoff and erosion at seasonal and annual

541 scales on soils of Iberian Peninsula, burned by fires of different severity and subjected to different 542 post-fire treatments. Fernandez et al. (2010) and Fernandez and Vega (2016) found some 543 inaccuracies of the RUSLE model (shown by a negative E) for predicting annual soil erosion from 544 burned soils of NW Spain, since the K factor did not allow to reflect the changes on soil 545 permeability and structure after fire, while the annual soil loss predictions achieved by Vieira et al. 546 (2018) applying RUSLE in north-central Portugal were more satisfactory (E = 0.63-0.70). 547 Contrasting results in annual erosion prediction capacity provided by PESERA model applied in 548 burned plots were shown by coefficients E of 0.33 (Fernandez and Vega, 2016) or 0.73-0.85 (Vieira 549 et al., 2018).

550 The ANN models focus on mathematical solutions over process representation, such as the 551 empirical models do. In other words, it is a "black box" approach, which estimates runoff and soil 552 loss, but does not gives information about the physical factors underlying the hydrological 553 processes. Nonetheless, empirical models are frequently used in preference to more complex 554 models as they can be implemented in situations with limited data and parameter inputs, and are 555 particularly useful as a first step in identifying sources of water, sediments and pollutants (Merritt et al., 2003). However, the main goal of technicians and land planners is first the knowledge of the 556 557 runoff and erosion rates and then the selection of the most suitable treatment to reduce the 558 unsustainable rates, rather than a detailed comprehension of the hydrological processes. For 559 stakeholders or government agencies, who may be responsible for land and water management on a 560 national or regional basis, the complex models are prohibitive in terms of the time required to 561 develop and implement them (Fu et al., 2018). Since the data requirements of any model increase 562 with the model complexity, models that are less complex than the physically-based models, such as 563 the empirical models (Aksoy and Kavvas, 2005), are more indicated for use in burned areas of 564 Mediterranean forests, which are often data-poor environments. Low-data demanding models are 565 based primarily on the analysis of observations and seek to characterise response from these data 566 (Wheater et al., 1993). The simplest models are regression equations between climatic variables

(such as precipitation volumes and intensities) and runoff/erosion rates. However, in the 567 568 experimental areas, linear regressions were not able to predict with accuracy runoff volumes and 569 soil loss from simple observations of precipitation. As a matter of fact, very low coefficients of 570 determination were found by regressing both runoff volumes and soil loss to precipitation depth and 571 intensity in non-burned soils as well as in burned plots (mulched or not) (Figure 7). This 572 presumably happened, since these simple models ignore the inherent non-linearities in the 573 hydrological processes and employ unrealistic assumptions about the physics (Wheater et al., 1993). 574 Conversely, the ANNs, which require only precipitation as input, but use a more complex 575 mathematical structure, were successful in capturing the output hydrological variables from the observational input data, as shown by the very good prediction capacity detected for the ANNs in 576 577 the experimental conditions of this study.

578 Therefore, the main advantages of the ANN use are in such environmental contexts are the low 579 input requirement in comparison to the more complex physically-based models and, at the same 580 time, the prediction accuracy in comparison to the simpler empirical models. This is appreciated by 581 land planners and forest managers, who have a powerful prediction tool easy to be used in data-poor 582 environment, as often the Mediterranean forests are.

583 However, further experimental tests are needed to assure ANN applicability to these climatic, 584 geomorphological and ecological contexts and to upscale the model applications from the plot to the 585 watershed scale; for instance, a larger database of rainfall/runoff events may make the ANN 586 prediction capacity more accurate. On the other hand, a larger and general use of ANN for 587 hydrological predictions requires more experimental investigations in other environmental contexts 588 (different for climate and geomorphology), which should assure a large transferability of this 589 modelling tool for hydrological and ecological management in forest ecosystems potentially prone 590 to fire. If simulations of runoff and erosion remain good also out of the experimental conditions of 591 this study after fire, the availability of powerful ANNs can support landscape planners not only in 592 control the fire risk in forestland, but also in identifying the most efficient countermeasures to limit

593	ecosystem degradation. Conversely, in the case of less accurate hydrological predictions, other
594	important variables - of easy measurement or estimation, - influencing the runoff and erosion
595	generation mechanisms should be implemented when an ANN is designed, such as the rainfall
596	intensity, vegetal cover and texture of soils. Therefore, estimations of water flows and soil erosion
597	using ANN decrease the costs and the studies time otherwise required by hydrological models of
598	other nature.

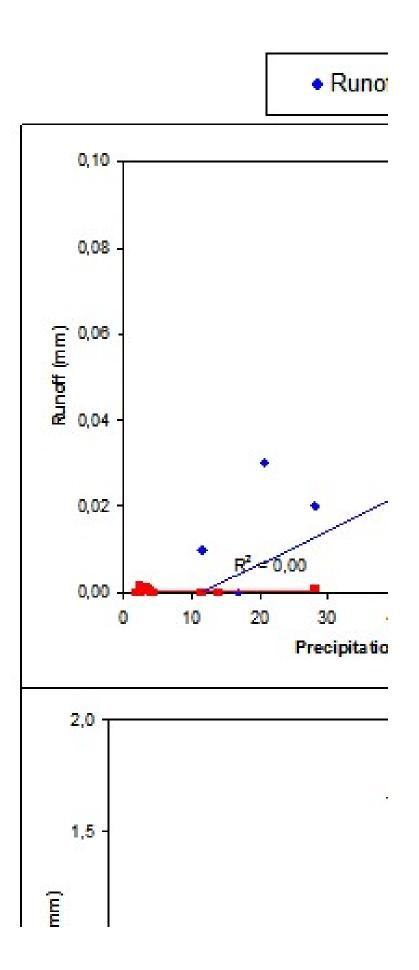


Figure 7 - Linear regression between runoff volumes and precipitation depth as well as soil loss and
maximum 1-h precipitation intensity in the experimental non-burned (a), burned and mulched (b)
and burned and non-mulched (c) plots (Liétor, Castilla La Mancha, Spain).

604

605

606 4. CONCLUSIONS

607

608 The evaluation of the ANN for hydrological modelling in the forest plots subject to wildfire showed 609 that the runoff and erosion prediction capability is in general very good. The ANN performance was 610 exceptionally high for all the experimental conditions, since the model efficiency and the coefficient 611 of determination was equal to one, while the very low CRM indicated a negligible underestimation 612 of the observations. The ANN proposed is also very robust, in the sense that its performance is 613 exceptionally high for all the experimental conditions (burned or non-burned soils) and treatments 614 (mulching and/or logging or not), with only one exception (that is, in the condition where the soil 615 disturbance is higher).

Overall, this modelling approach only needs precipitation data (whose measuring equipment are available also in forestlands) as well as a reasonable set of rainfall-runoff observations to train the ANN. Therefore, the use of ANNs for hydrological predictions in burned areas of Mediterranean forests seems to be a useful decision support system for the integrated assessment and management of forested watersheds.

621

622

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