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Title: Exploring the influence of vegetation cover, sediment storage capacity and channel dimensions on stone check dam conditions and effectiveness in a large regulated river in México

Article Type: Research Paper

Keywords: Vegetation cover; watershed management; check dam failure; sediment wedge; soil erosion.

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Abstract: Check dams are widely used for soil conservation at the watershed scale. When structurally sound, these engineering control works retain sediment as planned. However, there is limited information describing the influence of site characteristics on post-construction condition including structural stability and sediment retention capacity. More specifically, the effects of channel morphology, check dam geometry and vegetation characteristics as potentially influencing factors on sediment retention capacity at the watershed level are poorly understood. Thus, an investigation applying field and remotely sensed measurements, multi-regression models, redundancy and sensitivity analysis, and correlation analysis was conducted in a Mexican watershed where the characteristics of 273 check dams were evaluated 3-5 years after construction. Vegetation cover and dimensions of the channel were found to be the most important factors influencing check dam fate. Taller structures experienced the greatest failure risk, in contrast to lower and wider structures and associated vegetation cover that retained long and wide sediment wedges, which helped to stabilise the check dams. The potential sediment storage capacity of the check dams mainly depends on the downstream height of the structure, but also on the vegetation cover near the structure walls; check dams constructed across a range of channel dimensions are able to effectively store sediment. Overall, this study provides a quantitative evaluation of the dominant factors influencing the post-construction conditions of check dams and their ability to store sediment, and thus provides land managers insights into the best strategies for soil conservation at the watershed scale using check dams.

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Dear Prof. Vymazal,

We would like to thank You for giving us the possibility to revise our manuscript. We have appreciated very much the work of the referees since it helps to improve our paper. All their requests have been duly considered and included in the text. We would be very grateful if You could reconsider the revised MS for publication on Your valued journal. You will find in the resubmission a file containing the revision notes replying to each of the referees' comments; moreover, all changes made to the MS are evidenced in red characters. Finally, we have also uploaded a MS clean version.

Kind regards.

Dr. Manuel Esteban Lucas-Borja

Corresponding author

***Response to Reviewers**

AUTHORS' REPLY TO THE EDITOR

Dear Prof. Vymazal,

We would like to thank You for giving us the possibility to revise our manuscript. We have appreciated very much the work of the referees since it helps to improve our paper. All their requests have been duly considered and included in the text. We would be very grateful if You could reconsider the revised MS for publication on Your valued journal. You will find in the resubmission the revision notes replying to each of the referees' comments; moreover, all changes made to the MS are evidenced in red characters.

AUTHORS' REPLY TO COMMENTS OF THE REFEREES

Dear Sir/Madam, thanks a lot for Your revision work that we have considered very useful to improve our MS. In the following table you will find our replies to all Your comments. However, we refer You to the resubmitted manuscript file For the actual revisions.

Referee's comment	***Authors' reply***
Referee n. 2	
It is a very interesting paper about construction of check dams in a river basinin México. This kind of strucutres are necessary although the bad construction or the bad design in its dimension can be problems in terms of damages downstream. This is because interesting. The authors did a very good inventory of all the dams, a total of 273 so the work it is very specific and with a large number of individuals to have good conclusions. The introduction as well the discussion is very well documented, with a large number of references what makes the topic of a world interest. The methodology is very adjusted to have profit of all the efforts and also the data treatment. The results are so very clear and achieve the objectives. I have just said minor revision because the graphical abstract is a repeta of figures and pictures of the paper. So I do not know if it is necessary in this way, It do not provides new information.	Thank You very much for Your opinion. We are glad that the paper is considered interesting and scientifically sound by You. We have removed the graphical abstract (not compulsory according to the editorial rules) and left only the highlights (which seem to be more exhaustive than the GA).
Referee n. 3	
The manuscript deals with a relevant topic, which should be of interest to the journal's readership. It presents an interesting data set concerning check dams and linked processes. However some changes are necessary before the publication.	Thanks a lot for Your opinion about the paper. Of course, Your suggestions, which we find very relevant and constructive, have been embedded in the revised MS version.
First, there are several sentences that are	All the sentences used in the other papers have

similar to the sentences used in other works by same authors (abstract included). This should be avoided otherwise the paper could be not so original.	been rephrased to improve the MS originality. Some of them, when considered unnecessary, have been removed to shorten Discussions (as suggested by you, see below).
In the introduction section, I don't quite see the explanation about the "significant contribution" of this work. Here the authors can work more.	Thanks for the suggestion, that allows us to better stress the usefulness of our paper. In the Conclusions section we have added a related explanation.
The authors report a several works about "long terms effects of check dams" and just few works assess short terms effects, so I'm not entirely convinced that the paper can analyze the effects of check dams built only 3-5 years ago (the period isn't enough).	This is an interesting observation. Here we detail our considerations about the evaluation of the check dam effects. These control works are usually designed to control sediment dynamics, which mainly depend on climatic and geomorphological characteristics of the torrent as well as those of check dams. The main goal of our study was just the assessment of the short-term effects of the check dam installation, since in the Culiacan watershed these structures are in general small (not higher than 3 metres), temporary and made of stone (see line 164 of the new MS). These structures are built by watershed managers adopting an "emergency" strategy (that is, to retain sediments generated by channel erosion in occasion of heavy rainstorms) rather to protect riverine territories after high floods. Thus it is expected that these control works start functioning immediately after their installation. In the previous works to whom you refer (mainly those assessing the check dam effects on vegetation) we have carried out long term investigations, since growth and establishment of riparian vegetation requires many years and often decades (particularly the tree species), while sediment storage dynamics and morphological effects on the channel (linked to the sediment transfer along the watershed) have been found much more rapid to act, in spite of the large check dam size. For instance, the retention capacity of many check dams more than 6-8 metres high and made of concrete or stone-concrete in Calabria was practically depleted few years since check dam installation and the channel reached a steady-state layout and profile (e.g. Zema et al., 2014). This geomorphological effects of check dams is what is happened also in the Culiacan watershed, where, as mentioned above, the check dams are of limited height and presumably the sediment dynamics is quite rapid, being regulated by

	moderate and frequent precipitation events rather than floods with high magnitude and low frequency, as in Calabria.
The paper should be improved with information about rainfall trends, land use changes and local channel slope changes, longitudinal channel profiles showing check dam and gradient changes on watershed (as reported in Polyakov et al., 2014 and Nichols et al., 2016).	We have added: - a chart (see the new Figure 2) reporting the monthly precipitation and temperature recorded during the monitoring period (2011-2015); - a new Figure (n. 5) reporting a sample of four reaches with the layout as well as the original and regulated longitudinal profiles of the channel with the staggered check dams (unfortunately, given the scattered position of the 273 check dams it is impossible to do the same for all the check dams); this allows also to show the channel gradient changes following the structure installation. Given the shortness of the monitoring period, no land use changes have been recorded compared to the situation reported in the MS).
It is not clear as the authors calculate ASS (line 270) without data about local channel slope.	Here we clarify better (beyond the information reported at lines 187-193 and 202-212 of the MS previously submitted, clearly not sufficient and maybe misunderstanding) that during the topographic surveys the channel height was measured upstream and downstream of the check dam at a longitudinal step of 5-10 metres between two consecutive structure. Given the original profile slope (estimated from the last available digital terrain models created before the check dam construction or, when available, from the check dam designs, see lines 200-207) and after reconstructing the regulated channel profile from the topographic surveys, we have estimated the volume of the sediment wedge behind each check dam, which was assumed to be the actual stored sediment (thus the variable "ASS"). We have added slight more information in the revised MS (lines 200-207).
Other statistical indexes could be necessary to explain the relation between sediment, channel shape and vegetation cover.	Thanks for the suggestion. Accordingly, we have performed a correlation analysis between the variables reported in Table 1, to explain existing correlations between the analysed variables.
An enlargement of the map (figure 1) with check dams location is necessary.	We have added the location of the check dams in the new Figure 5.
Reading the sentences between 526-536 seems that check dams are not able to trap sediments....It is not ...	Right observation. These sentences (particularly those at lines 529-535 of the previous MS) are misleading and lead to the same conclusion made by the reviewer. Of course, we have modified them, explaining better our thoughts.

Discussion section is too long, some sentences can be avoid.	We have shortened the Discussion section, removing the unnecessary/redundant sentences.

Exploring the influence of vegetation cover, sediment storage capacity and channel dimensions on stone check dam conditions and effectiveness in a large regulated river in México

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ABSTRACT

Check dams are widely used for soil conservation at the watershed scale. When structurally sound, these engineering control works retain sediment as planned. However, there is limited information describing the influence of site characteristics on post-construction condition including structural stability and sediment retention capacity. More specifically, ~~the interactions of watershed morphological and ecological characteristics, and check dam geometry influencing potential sediment retention capacity~~ the effects of channel morphology, check dam geometry and vegetation characteristics as potentially influencing factors on sediment retention capacity at the watershed level are poorly understood. Thus, an investigation applying field and remotely sensed measurements, multi-regression models, ~~and~~ redundancy and sensitivity analysis, and correlation analysis was conducted in a Mexican watershed ~~of Mexico~~, where the characteristics of 273 check dams were evaluated 3-5 years after construction. Vegetation cover and dimensions of the channel were found to be the most important factors influencing check dam fate. Taller structures experienced the greatest failure risk, in contrast to lower and wider structures and associated vegetation cover that retained long and wide sediment wedges, which helped to stabilise the check dams. The potential sediment storage capacity of the check dams mainly depends on the downstream height of the structure, but also on the vegetation cover near the structure walls; check dams constructed across a range of channel dimensions are able to effectively store sediment. Overall, this study provides a quantitative evaluation of the dominant factors influencing the post-construction conditions of check dams and their ability to store sediment, and thus provides land managers insights into the best strategies for soil conservation at the watershed scale using check dams.

Keywords: Vegetation cover; watershed management; check dam failure; sediment wedge; soil erosion.

1. Introduction

Check dams are made of various materials, including concrete blocks, loose stones, rocks in gabion baskets, or wood, and they can be identified as a small barriers built across a drainage channel to control runoff and sediment transport, and enhance sedimentation (Nyssen et al., 2004). These stream control works are widely used around the world, often as one component of watershed scale efforts to control runoff, erosion, and sediment transfers (Mekonnen et al., 2015a; 2015b; Quiñonero et al., 2016)~~. For example, Bombino et al. (2007) observed that in some river basins of southern Calabria (Italy) 75% of the stream network is affected by control works with up to 6 check-dams per km^2, many of which were constructed in combination with complimentary engineering works.~~ They often have been installed throughout drainage networks covering extensive regions. For instance in torrents of Calabria (Southern Italy), where up to 6 check-dams per km^2 (Bombino et al., 2007) were installed, more than 75% of the hydrographic network has been treated. Guyassa et al. (2017) report extensive installation of check dams during the last three decades in gullies of the Highlands of Northern Ethiopia as soil and water conservation practices. In ephemeral channels of southeast Spain, check dams were used to stabilize hillslopes by inducing deposition and forming flat sediment wedges that reduce runoff slopes (Conesa Garcia and Garcia Lorenzo, 2010). In addition to their use in soil conservation, check dams have been constructed in gullies to retain sediment and form farmland in Loess Plateau in China (Xu et al., 2004).

~~The installation of check dams is usually aimed at slowing water and sediment movements along stream channels (e.g. Heede, 1978; Nyssen et al., 2004). After their installation in the river system, check dam structures can have important effects on both channel morphology and vegetation, which in aggregate can be important effects on stream systems because of their sediment retention capacity. The effects of check dams on many different aspects such as channel morphology, vegetation recovery or soil properties have been documented by international literature~~ Check dams, which are usually build to control water and sediment fluxes along stream channels (e.g. Heede, 1978; Nyssen et al., 2004) also exert important effects on channel morphology, bed sediment and vegetation (Conesa Garcia and Garcia Lorenzo, 2010; Xu et al., 2004; Boix-Fayos et al. 2007; Zema et al., 2014; 2018). In the Mediterranean environment of southern Italy, Bombino et al. (2009) showed the positive effects of check dams on headwater mountain channels affecting both physical adjustments and the extent and development of riparian vegetation. Boix-Fayos et al. (2007) evaluated the effects of check dams on river channel morphology in Spain and found that after 30 years, most of the check dams lost much of their trap efficiency, and erosion of the alluvial deposits upstream of check dam had begun. Xu et al. (2012) performed a series of calculations to quantify the effects of conservation managements in terms of retaining soil, water, and especially nutrients 50 years after implementation in a representative catchment on the Loess Plateau (China). This research resulted in recommendations of preferred conservation practice in the area. An evaluation of check dams constructed in two small semi-arid watersheds in the south-western United States revealed that check dam failures were minimal, however loss of sediment retention capacity was rapid, within seven years, due to high sediment loads (Polyakov et al., 2014; Nichols et al., 2016). Nyssen et al. (2004) reported that soils influenced the rate of check dam failures with higher rates in areas with smectite-rich soils that are prone to swelling. However, although check dams are widely used as a watershed management tool, often in combination with complimentary engineering works, for enhancing watershed and grade stabilization and their impacts have been investigated in various ecosystems, information describing and quantifying the watershed factors affecting check dam stability and efficacy is limited. ~~These factors are of different nature (human, physical, biological) and strictly linked (e.g. soil and vegetation, channel slope, width and depth). The influence of each of the above mentioned factors is difficult to identify and more research is needed.~~

From the previous examples, it appears that after ~~the check dams~~ construction, one of the most important features influencing the effectiveness of check dams on the watershed system is their sediment storage capacity. Storage capacity is directly related to structural condition, but the efficacy of check dams is also related to biotic and abiotic factors, such as channel geometry, land use, soil type, and vegetation cover. In particular, the scientific literature has evidenced the basic role of ~~this latter watershed factor~~ vegetative cover for an ecologically sound regulation activity of rivers (e.g. Gurnell and Petts, 2002; 2006; Allmendinger e al., 2005; Corenblit et al., 2007). In our study, we hypothesised that, vegetation cover percentage and type may significantly influence sediment transfer and channel deposition, which in turn affects check dam~~s~~ stability and failure. We expect that lower vegetation cover will result in higher sediment transfers and thus the likelihood of stone check dam failure will increase. Therefore, in view of an integrated management of regulated watersheds, there is ~~the~~ a need to investigate which of the factors mentioned above are the most influencing on both the condition of check dam structures and their ability to store sediment with particular stress on the role of the vegetation cover. This information is important to maximize the likelihood of successful conservation works. Failure to account for high intensity rainstorms, upstream areas with highly erodible soils, absence of vegetation cover in the watershed, inadequate channel dimensions for a check dams installation or steeped channels may generate high quantities of sediment transfer and drawing attention to the risks posed by these structures as they fill with sediment and deteriorate (Wang et al. 2009). Check dams failure and the sudden or gradual erosion of ~~these sediment deposits~~ previously deposited sediment ~~and the amount of material entrained may be huge~~ can reintroduce large quantities of sediment for subsequent transport (Brooks and Lawrence 1999).

To address these issues, a large regulated watershed in Mexico is presented as a case study. Here, more than 250 check dams, recently built to slow runoff and retain sediment, are intact, but many other structures have failed. A large dataset describing the condition and functioning of the check dams was compiled and reported by Cruz Hernandez et al. (2014). This dataset is combined with remotely sensed data to interpret possible cause-effect relationships between sub-watershed characteristics and the structural condition and functioning (in terms of sediment storage capacity) of the check dams. Specifically, a combination of analytical techniques (stepwise regression, redundancy analysis, ~~and~~ increase-rate-analysis and correlation analysis) to the check dam dataset collected in the watershed. The subsequent interpretation identifies and quantifies the most influential watershed factors (channel dimensions, vegetation cover, characteristics of the check dams and others) affecting both the structural condition and functioning of check dams; finally, the role of the vegetation is focussed as a co-factor ~~synergic~~ synergetic with the actions of check dams towards ~~an~~ ecologically sound regulation of the studied river.

2. Materials and methods

2.1 Study area

This study was conducted in the Culiacan watershed in the state of Sinaloa, north-western Mexico (latitude 24.867346°N, longitude -107.181013°W) (Figure 1). The watershed, which covers 10368 km^2, drains into the Sinaloa reservoir at an elevation of 128 m after running 25.3 kilometres from the headwater. Topography ranges from mountains to lower lying hilly areas and plains. Average annual precipitation is 860 mm, mostly occurring, together with the resulting surface runoff, during July, August and September; winters are generally milder and in this season rainfall is less intense. Mean annual temperatures are in the range 24-26 °C. Figure 2 reports the annual trends of precipitation and mean temperature measured at the Culiacàn meteorological station during the period 1995-2015.

Main land uses are cropland (sorghum and corn), covering 44.2% of the total watershed area, protective forest and grazing, 46.7% and 0.3% of the area, respectively. Typical vegetation consists of medium-statured tropical forest (including semi-evergreen forest, sub-deciduous forests, and riparian forests), Pinus-Quercus forest, spiny forest; gallery forest (includes “selva mediana ribereña”), tropical dry forest (Pérez-García et al., 2012). Geology is representative of Jurassic to Quaternary periods, while, according to FAO (1988), soils of the study area can be classified as *Eutric regosols* and *haplic Feozem*. The drainage patterns of the watershed are controlled by the low relief and surface runoff resulting in a trellis pattern that has produced numerous ephemeral channels. Artificial cut-offs or bank protection were not constructed to reduce lateral migration.

In this watershed, small, temporary, stone check dams were constructed across swales and drainage ditches (Figure ~~2~~ 3 and ~~3~~ 4). These structures were built to reduce flow velocity and thereby potentially control the channel grade and mitigate channel erosion. The maximum height of the stone check dams is 3 metres; to increase their stability, the base of each check dams is embedded into the soil approximately at 1-meter depth. The check dams were constructed to achieve complete cover of the channel and to assure that the middle of the dam is lower than the edges. ~~Disrupting floods with large return interval have been not recorded~~ No large magnitude, low frequency floods were recorded during the period of study (2011-2015). Figure 5 shows a general map and the original/regulated longitudinal profile of of four reaches containing the staggered check dams, allowing the identification of the channel gradient changes following the structure installation.

2.2. Survey methods

The studied stone check dams ~~have been~~ were regularly evaluated from 2011 to 2015 to assess ~~for~~ their effectiveness and impact on ~~in their functioning (as~~ channel adjustments, sediment storage, and vegetation dynamics. The structures were built as part of an "emergency" strategy aiming to retain water and sediment fluxes generated by rainstorms on upstream areas with highly erodible soils; thus it is expected that these control works start functioning immediately after their installation and a short-term monitoring activity can assure these requirements.

This study was carried out by using a combination of fieldwork and analysis of remotely sensed data. Field surveys were made by Cruz Hernandez et al. (2014) to analyse the operating condition of each check dam. During these surveys, structure dimensions and both upstream and downstream channel morphology were quantified through measurements (Figure ~~4~~ 6) using standard topographic surveying equipment and laser technology (measurements up to 75 m, accuracy ± 3 mm). Field data included both categorical and continuous variables. Categorical data for the stone check dams were *type* (gabion and stone check dams); *year of construction* (from 2011 to 2015, drawn from check dam design or construction reports available at managing authorities); *current operational condition* ("functional", i.e. operating as designed, "filled", by sediment or "broken", that is, completely collapsed and thus not functioning); *location* (low, between 59 and 104 m a.s.l., middle, 107 - 153 m, or upper watershed, 154 - 200 m); *structure* (gabions or unembedded stones). The continuous variables surveyed include *check dam location* (X, Y UTM coordinates): *check dam dimensions* (length, width and downstream height, in metres, see also Figure ~~4~~ 6); *longitudinal slope of the channel* (%, measured as the difference between the heights of the channel and check dam divided by the channel length); *potential storage capacity* (m^3); *actual sediment storage* (m^3); *ratio actual sediment storage/potential storage capacity* (%) (see below); *channel dimensions* associated with each check dam (m). During topographic surveys, the channel elevation was measured upstream of each check dam at a longitudinal step of 5-10 metres, in order to define the longitudinal profile of the regulated channel. The original channel profile was estimated from the last available digital terrain models created before the check dam construction (resolution of 1x1 metres, years 2010-11) or, when available, from the check dam designs. The two reconstructed longitudinal profiles allowed reconstructing the sediment wedge dimensions and estimating the local slope behind each check dam (Figures 5 and 6).

From the current (regulated) and the original longitudinal profiles close to the check dams as well as from check dam dimensions, ~~T~~the potential storage capacity and actual sediment storage were estimated ~~from field measurements of check dam dimensions and current channel profile~~, assuming that the deposited sediment volume behind a check dam has a prismatic shape with a trapezoidal section (Castillo et al., 2007; Ramos-Diez et al., 2016a; 2016b; 2017a). ~~The original channel profile was estimated from the last available digital terrain models created before the check dam construction (resolution of 1x1 metres, years 2010-11) or, when available, from the check dam designs.~~ The surveyed channel dimensions include *upstream/downstream depth* (measured at the check dam location)*; length; average width* (measured every 5 metres starting immediately upstream of the check dam until the check dam located immediately upstream or, for the first structure, the watershed perimeter).

Remotely sensed data was used to quantify watershed characteristics such as vegetation cover and soils. More in detail, *vegetation cover* of the sub-watershed (% of area, estimated by maps produced by remotely sensed data); *soil type* and *land use* (forest or crop, analysed separately for each of the sub-watershed in which the main basin was discretised) for *each reach/sub-watershed* was derived from GIS analyses and satellite images (Landsat 8 source data at 30-m resolution and Rapideye images at 5-m resolution). Watershed morphometric information was delineated from DEM (at 1-m resolution) which was firstly georeferenced and then processed by a GIS software. This processing allowed identifying the hydrographic network and 273 sub-watersheds/reaches (each one containing a check dam); more specifically, each check dam was associated to a reach and considered its outlet: this latter drains a sub-watershed (part of the entire watershed) conveying water to the reach. Check dams were located on the watershed map according to their geographical coordinates drawn from satellite images (where the structures were visible) or from the topographic survey.

2.3. Analytical methods

The collected data were processed by a combination of ~~three~~ four analytical techniques: (i) linear correlation analysis; ~~(i)~~ (ii) stepwise regression analysis; ~~(ii)~~ (iii) redundancy analysis (RDA); ~~(iii)~~ (iv) increase-rate-analysis (IRA). Firstly, in order to identify possible mathematical structures among vegetation cover, sediment storage capacity and channel dimensions and to determine the related correlation coefficients, the Spearman correlation matrix was computed based on the current values of the variables surveyed for the sampled check dams. Preliminarily, the indicators were standardised by converting data to zero mean and unit variance.

Then, ~~S~~stepwise regression analysis was used to build an optimized mathematical model ~~between~~ relating the *response (dependent) variable* (in our case the current operational condition of check dams) ~~and~~ to the explanatory (independent) factors (the remaining categorical and continuous variables). Stepwise regression is a type of multiple linear regression which can choose the best-fitted combination of explanatory variables for response variable predication with forward-adding and backward-deleting variables. The stepping procedure begins as an initial model definition with a stepped forward addition of a variable to the previous model. The critical F value is then used to check the eligibility of the added variable. With a new variable added, the previous variables in the model may lose their predictive ability. Thus, stepping criteria are used to check the significance of all the included variables. Based on this situation, the significant variables could be confirmed. While, if the variable is insignificant, then the backward method is used to delete it. Forward adding and backward deleting are repeated until no variable is added or removed. The stepping procedure is eliminated when the optimized model is constructed. The stepping criteria were used to check the significance (at $p = 0.05$) of all the included variables. The procedure was stopped when the optimized model was built and the maximum r^2 between *response variable* and explanatory factors was achieved.

RDA was used with the main focus on the relationships between the check dam conditions (functional, filled or broken) and those factors influencing it (selected by stepwise regression). The check dam conditions were response variables in this analyses, whereas the explanatory variables selected according to the stepwise regression belongs to the three categories (check dams characteristics, check dam volume and channel dimensions): therefore, the explanatory variables included the selected significant variables that could represented each categories. In order to explore the factors affecting check dam conditions comprehensively, the raw data were standardized before the RDA analyses, and the significance of the variables was tested with Monte Carlo simulations. All the above ~~analyze~~ analyses were performed with R software version 3.2.0 (R Core Team 2013), with the main aim of a quantitative expression of the explanatory factors on check dam conditions.

A revised IRA (Xu et al., 2015) was used to evaluate the variation in the ratio of the actual sediment storage (henceforth, ASS) to the potential storage capacity (PSC) of check dams, with respect to changes in the significant explanatory variables (considered as causal factors). This ratio (that is, ASS/PSC) is equal to the sediment filling degree (SFD, in %) of a check dam. Specifically, ASS is the volume (m^3) of the actual sediment wedge behind a check dam, while PSC is the volume (m^3) that the sediment wedge would have, if the check dam was entirely filled with sediments. Therefore, a filled check dam shows a SFD equal to 100% (ASS = PSC), for an empty structure SFD = 0, while a functional structure has a SFD between 0 and 100% (0 < ASS < PSC). In the first case, the check dam is not able to retain more sediment in the future, being its capacity completely depleted.

In order to carry out a sensitivity analysis, all dams were ordered according to the amount of potential storage capacity from the largest to the smallest. The difference in

SFD between two successively ordered check dams (*i* and *i-1*), RP_i (%), was calculated as follows:

$$\mathrm{RP_i} = \frac{2\left(\mathrm{SFD_i} - \mathrm{SFD_{i-1}}\right)}{\mathrm{SFD_i} + \mathrm{SFD_{i-1}}} \qquad [1]$$

where P_i is the SFD (%) of the i-th check dam.

As reported in details by Xu et al. (2015), the Absolute Sensitivity Parameter (S), S_j, towards an explanatory variable *t* is calculated by its mean growth rate:

$$S_j = \overline{(RP_{i,j}/Rt_{i,j})}_{i=1,N} \qquad [2]$$

being *j* the j-th explanatory variable, N the total number of check dams and:

$$Rt_i = \frac{2\left(t_i - t_{i-1}\right)}{t_i + t_{i-1}} \qquad [3]$$

Rt_i is the increase rate calculated for two successively ordered check dams (*i* and *i-1*), where *t* is the value of the explanatory variable. Finally, the Relative Sensitivity Parameter (s) of the j-th explanatory variable t_j, s_j, which can be used to qualitatively evaluate the effect of the explanatory variable *t* on *s* was calculated as follows:

$$s_j = \frac{S_j}{\min\left(\left|S_j\right|\right)_{j=1,M}} \qquad [4]$$

where M is the total number of the explanatory variables.

In our study, we calculated the Absolute and Relative Sensitivity Parameters of SFD (henceforth, S_{SFD} and s_{SFD}); as explanatory variables of S_{SFD} and s_{SFD}, vegetation cover, longitudinal slope, channel depths (measured immediately from the downstream and the upstream of a check dam) as well as length and width of the channel (equal to that of the check dam) were considered.

3. Results

The results show that the potential storage capacity of the studied stone check dams ranged from ~~493.9 to~~ 0.5 to 493.5 m^3, with a mean value of 51.5 m^3. The actual volume of sediment stored upstream of the check dams ranged from ~~237.6 to~~ 0.2 to 237.6 m^3 with a mean value of 26.7 m^3. The average width of the upstream sediment wedge was 6.5 m, ranging from ~~22.5 m to~~ 2.4 to 22.5 m. The mean downstream depth of the stone check dams was 1.1 m, ranging ~~from 1.9~~ to 0.1 to 1.9 m. Finally, the length of the sediment wedge stored upstream ranged ~~from 39.1~~ m to 3.9 to 39.1 m, with a mean value of 13.8 m.

Of the 273 analysed check dams, 116 (43%) are operating as designed and thus currently regulate water and sediment flows inside the watershed. They are classified as *functional*. Seventy-four of the check dams (27%) show a compromised structure (that is, they have lost their functionality, because they have collapsed) and they are classified as *broken*; and 83 (30%) are classified as *filled*, showing thus a totally full upstream wedge, and presumably the equilibrium channel slope has been reached. On average, the functional check dams were filled to 45% of their storage capacity, and thus have the capacity to retain additional sediment (Table 1).

The average longitudinal channel slope among all evaluated check dams was quite similar (ranging from 6.53-7.68%). In general, channel profiles were steepest upstream of filled check dams (7.68%) and shallower upstream of functional (6.66%) and broken (6.53%) structures. The impact of sub-watershed vegetation cover in the immediate vicinity of the investigated control works was clear. In sub-watersheds where the vegetation cover is high (45-53%), fewer check dams were broken in comparison to those check dams in sub-watersheds where vegetation cover was low (13%) (Table 1). ~~Concerning channel dimensions influenced by the presence of the surveyed check dams, the data can be observed in Table 1.~~

Results of the stepwise regression showed that six of the ~~considered~~ categorical and continuous independent variables were significant in explaining the variability in check dam condition ($p < 0.05$) (Table 2), and there was a strong association between these selected variables and soil conditions ($r^2 = 0.96$). The six significant variables were grouped into three categories: (i) check dam site characteristic, consisting only of vegetation cover; (ii) sediment volume characteristics behind check dams, including potential storage capacity and actual sediment storage; and (iii) channel dimension characteristics, taking into account channel length as well as upstream depth and width of the channel. Check dam condition was positively correlated with vegetation cover, potential storage capacity, actual sediment storage, length and average width of the sediment wedge; more specifically, check dam condition (explained by sediment retention capacity) was positively correlated with vegetation cover. In contrast, a negative relationship was found between check dam condition and depth of the upstream channel.

The analysis of the Spearman matrix indicated high correlations (> 0.73) among pairs of site, check dam and channel dimension variables. In more detail, the potential storage capacity of the check dams was associated to the channel upstream depth ($r = 0.86$) and the structure sediment storage ($r = 0.83$), with these latter variables also strictly linked each other ($r = 0.73$). Also the channel downstream depth was strongly correlated ($r = 0.98$) to the mean channel width. Lower correlation levels (but always significant at $p < 0.05$) were detected among other variables, such as vegetation cover and sediment filling degree ($r = 0.48$), potential storage capacity of the check dams and all channel dimensions ($r > 0.47$), as well as couples of variables related to channel dimensions ($r > 0.44$) (Table 3).

RDA using the explanatory variables of the three categories (vegetation cover, sediment storage volume, and channel characteristics) as influencing factors and check dam condition as the response variable, quantitatively showed that vegetation cover and channel dimensions explain 32.5 and 33.2% of the variability in check dam condition respectively. In contrast, although sediment storage characteristics also showed significantly influences on check dam conditions, the explanatory percentage was only 4.01%, thus much lower than the vegetation cover and channel dimensions.

The results of the sensitivity ~~analysis - performed by IRA -~~ of the channel dimensions (average channel width, channel length, downstream and upstream depth), longitudinal slope, and vegetation cover on sediment potential store capacity performed by IRA are reported in Table 4~~3~~. The larger the sensitivity of an explanatory variable, the more prominently this variable influences the sediment retention capacity. Channel depth downstream of the check dam was found to be the most important influential variable as it is the most sensitivity parameter (136.7). The relative sensitivity of channel depth upstream of the check dam and vegetation cover are comparable to each other, although these variables are less important than the channel depth downstream of the check dam (38.1 and 20.7, respectively). Finally, the relative sensitivity of longitudinal slope, length and average width of the channel are very low and negative.

4. Discussion

Check dams can be an effective tool for mitigating degradation provided that they are maintained after construction. Check dam failure, which may be due to many factors, can cause scour problems and release sediment into flow downstream. This is the case of more than a half of the 273 stone check dams installed in the Culiacan watershed, which were not operating as designed just 3-5 years after their construction, due to both ~~siltation~~ sedimentation and structural failure. As a matter of fact, among the structures analysed in this case study, 27% of stone check dams were damaged by water and sediment during runoff, while for 30% of the structures the sediment storage capacity was practically depleted. In the first case, it is evident that the collapsed check dams are not functioning as designed. In the second case, it is true that the check dams no longer accumulate the solid material transported by runoff; however, these structures do contribute to bed stabilisation (due to the longitudinal slope reduction) and flood attenuation (because of the wider channel section).

The investigation ~~A deeper investigation on the relationships between check dam site characteristics, sediment storage capacity and channel dimensions on one hand and the current operation conditions of the studied check dams on the other hand~~ revealed that longitudinal channel slope behind the surveyed check dams is not noticeably different among filled, functional and broken structures ~~(Table 1 and Figure 2 and 3)~~. In general we might expect to see variations in slope because where intact structures act as a barrier against runoff and, when flow velocity is reduced, sediment accumulates behind the check dam thus aggrading locally its profile (Bombino et al., 2008). This unexpected result could be explained by the fact that in our check dams aggradation is localized and proximate to the structure and channel measurements ~~were not made~~ just limited to points immediately behind a check dam where most of the sediment accumulates, but were deliberately made at a distance upstream of the check dams.~~;~~ ~~t~~This choice was adopted because we wanted to check whether the stabilising effects of a check dam on channel profile is localised or extends upstream of the structure, that is, far from its direct influence (as instead, revealed by Bombino et al., 2008, however in other environmental contexts). Evidently, the profile survey highlights the overall stability of channel slope, independent of check dam conditions.

Furthermore, higher vegetation cover is found in sub-watershed with functional and filled check dams ~~(Table 1)~~, thus confirming the positive effects of check dams on vegetation development behind the structures, documented in other environments (e.g. Boix-Fayos et al., 2007; Bombino et al., 2006; 2009; 2013). Vegetation helps channel stabilisation: in-channel vegetation reduce water velocity and soil detachment, and in vegetated hillslopes water and sediment supply to the channel decrease (Keesstra et al., 2108). ~~This investigation confirms outcomes of the above mentioned studies, who demonstrated that, where good edaphic conditions are observed for filled and functional check dams (resulting in a larger presence of vegetation), as in this study, the consequent higher cover and height of vegetation reduces stream velocity and consolidates plant roots channel bed. This reduces hydrodynamic water and soil forces on check dams, which improves stability of the structures. In other words, as vegetation cover increased, the check dams were less likely to have failed.~~

From this investigation it is clear that the potential storage capacity of functional check dams is higher (by over 100%~~, Table 1~~) than filled structures, and the functional structures are located downstream of longer channels (supplying water and sediment) compared to filled check dams~~ (Table 1)~~; conversely, the channel profile upstream of the filled check dams is steeper compared to functional structures. This finding (that is, longer channels upstream of functional check dams) partially contrasts with the results of research conducted by Li et al. (2007), who stated that check dams with a longer or steeper up-gully have a low capacity to retain soil, because soil from the upper reaches is not easily deposited by larger and more rapid flood events. In our study, the vegetation cover associated with both filled and functional check dams (whose values are very similar) likely limits soil particle detachment and transport thereby reducing the volume of sediment available for deposition: for functional check dams this leads to a not already depleted sediment storage capacity; filled check dams, also showing a well developed vegetation cover, stores an actual sediment volume similar to functional check dams, but their potential storage capacity has not depleted~~ (Table 1)~~.

Broken check dams ~~(Figure 2) ~~retained the capacity to store accumulated sediment (although lower by about 70% than functional check dams). In particular, sediment was stored behind non-broken side walls. The actual sediment storage is similar for functional and filled check-dams, in spite of the higher length of the channel upstream of the latter~~ (Table 1)~~. On average, the ratio of actual to potential sediment storage of functional check dams (SFD) is close to 50%~~ (Table 1)~~, which should increase with time assuming the check dams continue to function. ~~Based on the data investigated from the check dams in the hilly and gully region of~~ In the Loess Plateau, Jiao et al (2003) concluded that the ratio of the soil retained by a check dam to the total transported from the upper reaches ranged from 23.3% to 52.9%, and the ratio had a positive relationship with check dam height and a negative relationship with the sub-watershed area above of the check dam. ~~A similar conclusion was also drawn by Fang et al. (1998), who analyzed the data of 864 check dams observed in the Wudinghe watershed and north-west of Shanxi (Northern China).~~

The analysis of channel dimension characteristics grouped according to the current operating condition of check dams ~~(Table 1)~~ shows better developed sediment wedges (higher upstream depth, width and length) for functional check dams compared to filled structures. As expected, larger structures stored greater volumes of sediments and nevertheless the sediment storage capacity of these structures has not been fully depleted. It is interesting to notice that downstream of broken check dams the channel depth is higher compared to the other check dam conditions; evidently, in spite of the lower upstream depth, the local scouring on the downstream side of broken structure is high, due to the erosive power of the water stream. This phenomenon was observed extensively for the broken check dams (more than 80%) through the presence of destroyed stones ~~(Figure 2)~~ at the sides of the channel that caused a decrease in cross section area~~, thus increasing stream velocity and erosive power, resulting in erosion of the channel bed (Peyras et al. 1992; Conesa Garcìa and Garcìa Lorenzo, 2010; Conesa Garcìa et al., 2007; Lenzi et al., 2003)~~.

The construction of multi-regression models between the check dam conditions and factors (linked to site location, sediment storage and channel dimension characteristics) highlights that only six of the potentially influencing variables considered have a significant impact on check dam conditions. Moreover, of these six causal factors, vegetation cover and channel dimensions were found to be the most influential ones (see results of redundancy analysis, explaining more than 65% of the variability of the check dam conditions). Overall, channel dimension was the most important factor determining check dam condition, followed by vegetation cover.

The negative correlation between check dam condition and upstream channel depth leads one to think that taller structures are most likely to be exposed to failure risk, because of the soil bank strains behind check dams of non-embedded building material. Conversely, sediment wedges behind check dams with lower height, but with overall larger widths lengths appear to be more stable, given comparable retained sediment volumes. Finally, the actual sediment storage appears to be the most influential variable on check dam conditions.

It has been highlighted in the literature (e.g. Conesa Garcìa and Garcia Lorenzo, 2010; Ramos-Diez et al., 2017b) that a large number of factors influence sediment storage capacity behind check dams. This study showed that, within the Culiacan watershed, sediment retention of check dams is related to both the downstream and upstream depths of the structure and thus the height of the check dam is important. This result is expected because the structures with greater height are associated with two predominant processes: (i) local scouring downstream of the check dam, which increases and thus mobilises more sediment to be transported downstream ~~with structure height (equal to downstream depth)~~; (ii) aggradation of channel bed upstream of check dam, forming long and wide sediment wedges, in which large volumes of sediment are stored during flooding events~~; the extent of these sediment wedges (and thus their potential or actual sediment storage) is higher in reaches confined downstream by higher check dams~~. However, in our study, the sensitivity analysis has highlighted that the magnitude of the second process is noticeably lower than scouring action downstream, as shown by the values of S_{SFD} (136.7 for downstream depth against 38.1 for upstream height of the check dam~~, Table 3~~). Moreover, the performed analysis confirms the importance of vegetation cover on reducing sediment loads and thus extending the life of check dams by retaining potential storage capacity. As a matter of fact, in areas with low vegetation cover (both in the channel and in the hillslopes) the channel bed surface remains exposed to stream erosion, which mobilises sediments along the reach (as reported above). Finally, the other factors analysed (channel profile slope, length and width) were ~~not~~ found to affect by a much lesser extent potential storage capacity, except for channel depth measured upstream of check dams (thus confirming the influence of sediment storage). This also implies that a smaller increase in the above conditions will result in a smaller decrease of the potential storage capacity.

Although the lack of influence of channel profile slope on potential storage capacity may be explained by its low variability mentioned above, the substantial independence of potential storage capacity on channel length and width is less expected. From a deeper analysis of morphological data of the individual check dams, we noticed that for the majority of the structures channel length and width do not follow a corresponding trend; in other words, often-wider check dams are not associated to longer reaches, which leads to a substantial balance between these explanatory variables. The results of our study are in contrast with findings of Zema et al. (2014), who found that the ability to retain sediment and channel local scouring downstream is linked to the local profile slope and width of reaches regulated by check dams. ~~who found that scouring/sedimentation dynamics depend mainly on the channel slope and width in proximity to the check dams~~; H~~h~~owever, it should be noted that in the Zema et al. (2014) study, structure spacing, geomorphic conditions, and hydrologic regime where different ~~that~~ than our study~~ and these characteristics were thought to influence the general scouring and sedimentation dynamics~~.

This research confirms that is necessary to apply an integrated approach to solve the problems of watershed soil conservation, since the factors governing the related processes - in particular ~~when the river is~~ in streams regulated by check dams - are numerous and of different origin. The use of check dams can be definitely useful when the sediment source is located close to or in the channel (e.g. in-channel sediment supply, channel incision, bank erosion, armour layer removal, etc.). However, where installation of check dams alone can not mitigate watershed soil degradation (particularly in hillslopes with bare soil), ~~because often the source of the sediments is located on the hillslopes, and~~ it is necessary to reduce sediments at the sources, preferably by enhancing the establishment of a structured and extended vegetation cover; as a matter of fact, the study has demonstrated that vegetation cover can be a prerequisite for good conditions and functioning of check dams. Overall, soil conservation and flood risk mitigation at watershed scale must be addressed by a rational and integrated combination of in-channel (such as check dams) and extensive (for instance, afforestation and terracing) actions.

5. Conclusions

A quantitative analysis to understand the relation between sub-watershed characteristics (vegetation cover, sediment storage capacity and channel dimensions) and check dam conditions and effectiveness was carried out in a large ~~river of~~ Mexican watershed~~ in Mexico~~. In this regulated watershed 273 stone control structures were recently built; the factors mostly influencing their functioning and conservation - with particular regard to vegetation cover - were surveyed and ~~processed~~ analysed using ~~by~~ a combination of ~~three~~ four analytical techniques. This study showed that the ~~collapsed~~ failure of check dams was associated with low vegetation cover; conversely, with a well developed vegetation cover the majority of check dams were functional or filled, in both cases not broken. Multi-regression models showed that, under the specific environmental conditions, vegetation cover and channel dimensions explain together more than 65% of the variability of the check dam conditions. Overall, channel dimension is the most important factor determining check dam condition, but a basic role is played also by vegetation cover, since this ecological factor is important for potential storage capacity. Taller structures are most likely to be exposed to failure risk, while check dams with lower height, but with well-developed sediment wedges and large vegetation cover, are more stable. A sensitivity analysis showed strong dependence of potential sediment storage capacity of the check dams - and therefore of their ability to retain sediment circulating in the watershed - on downstream depth of the structure. However, also upstream height of check dams and vegetation cover of the drained sub-watershed are factors with a considerable influence on sediment retention processes acting behind the stone structures.

Overall, this study has provided a quantitative identification of the most important factors affecting the structural condition of check dams and their ability to store sediment, highlighting ~~on which factors (~~channel characteristics, dimensions of check dams and vegetation cover as dominant factors~~)~~. ~~land managers~~ One must pay ~~more~~ attention to these factors ~~identify~~ in developing the best strategies for soil conservation at the watershed scale; the role of vegetation is clear and it definitely helps for a ecologically sound management and functioning of watersheds. These findings suggest that managers: (i) consider with caution the installation of control works (such as stone or rock check dams) in sub-watershed with low vegetation cover and highly erodible soils, since here the high sediment transfer rates may increase the structure failure likelihood; (ii) adopt a larger number of small structures rather than controlling the evolution of the channel longitudinal profile by large-sized check dams, since taller structures are most likely to be exposed to failure risk, thus loosing much of their functioning.

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Table 1 - Site characteristics, sediment storage and channel dimensions for 273 check dams surveyed in the Culiacan watershed (Mexico).

Check dam condition (number)	**Site characteristics**		**Check dams ~~Sediment storage~~**			**Channel dimensions**			
	Longitudinal slope (%)	*Vegetation cover (%)*	*Potential storage capacity (m^3)*	*Actual sediment storage (m^3)*	*Sediment Filling Degree* (%)*	*Length (m)*	*Downstream** depth (m)*	*Upstream** depth (m)*	*Average width of the sediment wedge (m)*
Functional (116)	6.66±0.57	45±2	77.54±7.44	35.78±4.04,	45±0.01	15.47±0.73	1.14±0.02	0.51±0.02	6.93±0.29
Filled (83)	7.68±0.76	53±3	37.64	37.64	100	11.15±0.85	0.99±0.03	0.36±0.02	5.49±0.28
Broken (74)	6.53±0.60	13±3	25.47±8.67	-	-	14.62±0.97	1.24±0.05	0.15±0.04	8.54±0.56

* ratio of actual sediment storage to potential storage capacity; ** measured immediately close to the check dams.

Table 2 - Best-fit combination of explanatory variables for check dam conditions as response variable using stepwise regression analysis applied to check dam data in Culiacan watershed (Mexico).

Explanatory variables	**Estimate**	**Std. Error**	**F-value**	**Pr(>|t|)**
Vegetation cover	0.070	0.030	30.34	<0.001
Potential storage capacity	0.001	0.000	2.06	0.04
Actual sediment storage	1.760	0.030	3.19	0.002
Channel length	0.010	0.010	66.14	<0.001
Upstream channel depth	-1.110	0.060	-19.84	<0.001
Average channel width	0.070	0.010	10.49	<0.001

Table 3 - Spearman's correlation matrix among variables measured close to 273 check dams in Culiacan watershed (Mexico).

Variable		**Site characteristics**		**Check dams**			**Channel dimensions**			
		Longitudinal slope	*Vegetation cover*	*Potential storage capacity*	*Actual sediment storage*	*Sediment Filling Degree*	*Length*	*Downstream depth*	*Upstream depth*	*Average width of the sediment wedge*
Site characteristics	*Longitudinal slope*	**1**	-0.024	0.025	-0.039	0.027	-0.020	-0.041	-0.037	-0.050
	Vegetation cover		**1**	0.209	0.343	0.484	-0.199	-0.138	0.264	-0.170
Check dams	*Potential storage capacity*			**1**	0.826	0.281	0.512	0.552	0.860	0.468
	Actual sediment storage				**1**	0.586	0.359	0.375	0.734	0.301
	Sediment Filling Degree					**1**	-0.200	-0.157	0.380	-0.193
Channel dimensions	*Length*						**1**	0.534	0.231	0.530
	Downstream depth							**1**	0.442	0.977
	Upstream depth								**1**	0.378
	Average width of the sediment wedge									**1**

Table 4 ~~3~~ - Sensitivity analysis of site characteristics and channel dimensions (explanatory variables, ordered by SFD) on sediment retention of check dams in the Culiacan watershed (Mexico).

Parameter	**Explanatory variables**					
	Downstream depth	*Upstream depth*	*Vegetation cover*	*Longitudinal slope*	*Channel length*	*Average channel width*
Absolute Sensitivity (S_{SFD})	1.0056	0.2802	0.1525	-0.0377	-0.0107	-0.0074
Relative Sensitivity (s_{SFD})	136.7	38.1	20.7	-5.1	-1.5	-1.0

Figure captions

Figure 1. Geographic location of the stone check dams investigated in the Culiacan watershed (Mexico).

Figure 2 - Annual trends (mean ± std. dev.) of precipitation and mean temperature measured at the meteorological station of Culiacàn in the period 1995-2015.

Figure 3~~2~~. Stone check dam failure (broken) in the ~~(~~Culiacan watershed~~,~~ (Mexico).

Figure 4~~3~~. A working gabion check dam in the ~~(~~Culiacan watershed~~,~~ (Mexico).

Figure 5. Map (a) as well as longitudinal original and regulated profiles (b) of four check dam staggered series in the Culiacan watershed (Mexico).

Figure 6~~4~~. Annotated schematic showing side and oblique views of a typical stone check dam in the Culiacan watershed (Mexico).

Exploring the influence of vegetation cover, sediment storage capacity and channel dimensions on stone check dam conditions and effectiveness in a large regulated river in México

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ABSTRACT

Check dams are widely used for soil conservation at the watershed scale. When structurally sound, these engineering control works retain sediment as planned. However, there is limited information describing the influence of site characteristics on post-construction condition including structural stability and sediment retention capacity. More specifically, the effects of channel morphology, check dam geometry and vegetation characteristics as potentially influencing factors on sediment retention capacity at the watershed level are poorly understood. Thus, an investigation applying field and remotely sensed measurements, multi-regression models, redundancy and sensitivity analysis, and correlation analysis was conducted in a Mexican watershed where the characteristics of 273 check dams were evaluated 3-5 years after construction. Vegetation cover and dimensions of the channel were found to be the most important factors influencing check dam fate. Taller structures experienced the greatest failure risk, in contrast to lower and wider structures and associated vegetation cover that retained long and wide sediment wedges, which helped to stabilise the check dams. The potential sediment storage capacity of the check dams mainly depends on the downstream height of the structure, but also on the vegetation cover near the structure walls; check dams constructed across a range of channel dimensions are able to effectively store sediment. Overall, this study provides a quantitative evaluation of the dominant factors influencing the post-construction conditions of check dams and their ability to store sediment, and thus provides land managers insights into the best strategies for soil conservation at the watershed scale using check dams.

Keywords: Vegetation cover; watershed management; check dam failure; sediment wedge; soil erosion.

1. Introduction

Check dams are made of various materials, including concrete blocks, loose stones, rocks in gabion baskets, or wood, and they can be identified as a small barriers built across a drainage channel to control runoff and sediment transport, and enhance sedimentation (Nyssen et al., 2004). These stream control works are widely used around the world, often as one component of watershed scale efforts to control runoff, erosion, and sediment transfers (Mekonnen et al., 2015a; 2015b; Quiñonero et al., 2016). They often have been installed throughout drainage networks covering extensive regions. For instance in torrents of Calabria (Southern Italy), where up to 6 check-dams per km^2 (Bombino et al., 2007) were installed, more than 75% of the hydrographic network has been treated. Guyassa et al. (2017) report extensive installation of check dams during the last three decades in gullies of the Highlands of Northern Ethiopia as soil and water conservation practices. In ephemeral channels of southeast Spain, check dams were used to stabilize hillslopes by inducing deposition and forming flat sediment wedges that reduce runoff slopes (Conesa Garcia and Garcia Lorenzo, 2010). In addition to their use in soil conservation, check dams have been constructed in gullies to retain sediment and form farmland in Loess Plateau in China (Xu et al., 2004).

Check dams, which are usually build to control water and sediment fluxes along stream channels (e.g. Heede, 1978; Nyssen et al., 2004) also exert important effects on channel morphology, bed sediment and vegetation (Conesa Garcia and Garcia Lorenzo, 2010; Xu et al., 2004; Boix-Fayos et al. 2007; Zema et al., 2014; 2018). In the Mediterranean environment of southern Italy, Bombino et al. (2009) showed the positive effects of check dams on headwater mountain channels affecting both physical adjustments and the extent and development of riparian vegetation. Boix-Fayos et al. (2007) evaluated the effects of check dams on river channel morphology in Spain and found that after 30 years, most of the check dams lost much of their trap efficiency, and erosion of the alluvial deposits upstream of check dam had begun. Xu et al. (2012) performed a series of calculations to quantify the effects of conservation managements in terms of retaining soil, water, and especially nutrients 50 years after implementation in a representative catchment on the Loess Plateau (China). This research resulted in recommendations of preferred conservation practice in the area. An evaluation of check dams constructed in two small semi-arid watersheds in the south-western United States revealed that check dam failures were minimal, however loss of sediment retention capacity was rapid, within seven years, due to high sediment loads (Polyakov et al., 2014; Nichols et al., 2016). Nyssen et al. (2004) reported that soils influenced the rate of check dam failures with higher rates in areas with smectite-rich soils that are prone to swelling. However, although check dams are widely used as a watershed management tool, often in combination with complimentary engineering works, for enhancing watershed and grade stabilization and their impacts have been investigated in various ecosystems, information describing and quantifying the watershed factors affecting check dam stability and efficacy is limited.

From the previous examples, it appears that after construction, one of the most important features influencing the effectiveness of check dams on the watershed system is their sediment storage capacity. Storage capacity is directly related to structural condition, but the efficacy of check dams is also related to biotic and abiotic factors, such as channel geometry, land use, soil type, and vegetation cover. In particular, the scientific literature has evidenced the basic role of vegetative cover for an ecologically sound regulation activity of rivers (e.g. Gurnell and Petts, 2002; 2006; Allmendinger e al., 2005; Corenblit et al., 2007). In our study, we hypothesised that, vegetation cover percentage and type may significantly influence sediment transfer and channel deposition, which in turn affects check dam stability and failure. We expect that lower vegetation cover will result in higher sediment transfers and thus the likelihood of stone check dam failure will increase. Therefore, in view of an integrated management of regulated watersheds, there is a need to investigate which of the factors mentioned above are the most influencing on both the condition of check dam structures and their ability to store sediment with particular stress on the role of the vegetation cover. This information is important to maximize the likelihood of successful conservation works. Failure to account for high intensity rainstorms, upstream areas with highly erodible soils, absence of vegetation cover in the watershed, inadequate channel dimensions for a check dams installation or steeped channels may generate high quantities of sediment transfer and drawing attention to the risks posed by these structures as they fill with sediment and deteriorate (Wang et al. 2009). Check dams failure and the sudden or gradual erosion of previously deposited sediment can reintroduce large quantities of sediment for subsequent transport (Brooks and Lawrence 1999).

To address these issues, a large regulated watershed in Mexico is presented as a case study. Here, more than 250 check dams, recently built to slow runoff and retain sediment, are intact, but many other structures have failed. A large dataset describing the condition and functioning of the check dams was compiled and reported by Cruz Hernandez et al. (2014). This dataset is combined with remotely sensed data to interpret possible cause-effect relationships between sub-watershed characteristics and the structural condition and functioning (in terms of sediment storage capacity) of the check dams. Specifically, a combination of analytical techniques (stepwise regression, redundancy analysis, increase-rate-analysis and correlation analysis) to the check dam dataset collected in the watershed. The subsequent interpretation identifies and quantifies the most influential watershed factors (channel dimensions, vegetation cover, characteristics of the check dams and others) affecting both the structural condition and functioning of check dams; finally, the role of the vegetation is focussed as a co-factor synergetic with the actions of check dams towards ecologically sound regulation of the studied river.

2. Materials and methods

2.1 Study area

This study was conducted in the Culiacan watershed in the state of Sinaloa, north-western Mexico (latitude 24.867346°N, longitude -107.181013°W) (Figure 1). The watershed, which covers 10368 km^2, drains into the Sinaloa reservoir at an elevation of 128 m after running 25.3 kilometres from the headwater. Topography ranges from mountains to lower lying hilly areas and plains. Average annual precipitation is 860 mm, mostly occurring, together with the resulting surface runoff, during July, August and September; winters are generally milder and in this season rainfall is less intense. Mean annual temperatures are in the range 24-26 °C. Figure 2 reports the annual trends of precipitation and mean temperature measured at the Culiacàn meteorological station during the period 1995-2015.

Main land uses are cropland (sorghum and corn), covering 44.2% of the total watershed area, protective forest and grazing, 46.7% and 0.3% of the area, respectively. Typical vegetation consists of medium-statured tropical forest (including semi-evergreen forest, sub-deciduous forests, and riparian forests), Pinus-Quercus forest, spiny forest; gallery forest (includes “selva mediana ribereña”), tropical dry forest (Pérez-García et al., 2012). Geology is representative of Jurassic to Quaternary periods, while, according to FAO (1988), soils of the study area can be classified as *Eutric regosols* and *haplic Feozem.* The drainage patterns of the watershed are controlled by the low relief and surface runoff resulting in a trellis pattern that has produced numerous ephemeral channels. Artificial cut-offs or bank protection were not constructed to reduce lateral migration.

In this watershed, small, temporary, stone check dams were constructed across swales and drainage ditches (Figure 3 and 4). These structures were built to reduce flow velocity and thereby potentially control the channel grade and mitigate channel erosion. The maximum height of the stone check dams is 3 metres; to increase their stability, the base of each check dams is embedded into the soil approximately at 1-meter depth. The check dams were constructed to achieve complete cover of the channel and to assure that the middle of the dam is lower than the edges. No large magnitude, low frequency floods were recorded during the period of study (2011-2015). Figure 5 shows a general map and the original/regulated longitudinal profile of of four reaches containing the staggered check dams, allowing the identification of the channel gradient changes following the structure installation.

2.2. Survey methods

The studied stone check dams were regularly evaluated from 2011 to 2015 to assess their effectiveness and impact on channel adjustments, sediment storage, and vegetation dynamics. The structures were built as part of an "emergency" strategy aiming to retain water and sediment fluxes generated by rainstorms on upstream areas with highly erodible soils; thus it is expected that these control works start functioning immediately after their installation and a short-term monitoring activity can assure these requirements.

This study was carried out by using a combination of fieldwork and analysis of remotely sensed data. Field surveys were made by Cruz Hernandez et al. (2014) to analyse the operating condition of each check dam. During these surveys, structure dimensions and both upstream and downstream channel morphology were quantified through measurements (Figure 6) using standard topographic surveying equipment and laser technology (measurements up to 75 m, accuracy ± 3 mm). Field data included both categorical and continuous variables. Categorical data for the stone check dams were *type* (gabion and stone check dams); *year of construction* (from 2011 to 2015, drawn from check dam design or construction reports available at managing authorities); *current operational condition* ("functional", i.e. operating as designed, "filled", by sediment or "broken", that is, completely collapsed and thus not functioning); *location* (low, between 59 and 104 m a.s.l., middle, 107 - 153 m, or upper watershed, 154 - 200 m); *structure* (gabions or unembedded stones). The continuous variables surveyed include *check dam location* (X, Y UTM coordinates): *check dam dimensions* (length, width and downstream height, in metres, see also Figure 6); *longitudinal slope of the channel* (%, measured as the difference between the heights of the channel and check dam divided by the channel length); *potential storage capacity* (m^3); *actual sediment storage* (m^3); *ratio actual sediment storage/potential storage capacity* (%) (see below); *channel dimensions* associated with each check dam (m). During topographic surveys, the channel elevation was measured upstream of each check dam at a longitudinal step of 5-10 metres, in order to define the longitudinal profile of the regulated channel. The original channel profile was estimated from the last available digital terrain models created before the check dam construction (resolution of 1x1 metres, years 2010-11) or, when available, from the check dam designs. The two reconstructed longitudinal profiles allowed reconstructing the sediment wedge dimensions and estimating the local slope behind each check dam (Figures 5 and 6).

From the current (regulated) and the original longitudinal profiles close to the check dams as well as from check dam dimensions, the potential storage capacity and actual sediment storage were estimated assuming that the deposited sediment volume behind a check dam has a prismatic shape with a trapezoidal section (Castillo et al., 2007; Ramos-Diez et al., 2016a; 2016b; 2017a). The surveyed channel dimensions include *upstream/downstream depth* (measured at the check dam location)*; length; average width* (measured every 5 metres starting immediately upstream of the check dam until the check dam located immediately upstream or, for the first structure, the watershed perimeter).

Remotely sensed data was used to quantify watershed characteristics such as vegetation cover and soils. More in detail, *vegetation cover* of the sub-watershed (% of area, estimated by maps produced by remotely sensed data); *soil type* and *land use* (forest or crop, analysed separately for each of the sub-watershed in which the main basin was discretised) for *each reach/sub-watershed* was derived from GIS analyses and satellite images (Landsat 8 source data at 30-m resolution and Rapideye images at 5-m resolution). Watershed morphometric information was delineated from DEM (at 1-m resolution) which was firstly georeferenced and then processed by a GIS software. This processing allowed identifying the hydrographic network and 273 sub-watersheds/reaches (each one containing a check dam); more specifically, each check dam was associated to a reach and considered its outlet: this latter drains a sub-watershed (part of the entire watershed) conveying water to the reach. Check dams were located on the watershed map according to their geographical coordinates drawn from satellite images (where the structures were visible) or from the topographic survey.

2.3. Analytical methods

The collected data were processed by a combination of four analytical techniques: (i) linear correlation analysis; (ii) stepwise regression analysis; (iii) redundancy analysis (RDA); (iv) increase-rate-analysis (IRA). Firstly, in order to identify possible mathematical structures among vegetation cover, sediment storage capacity and channel dimensions and to determine the related correlation coefficients, the Spearman correlation matrix was computed based on the current values of the variables surveyed for the sampled check dams. Preliminarily, the indicators were standardised by converting data to zero mean and unit variance.

Then, stepwise regression analysis was used to build an optimized mathematical model relating the *response (dependent) variable* (in our case the current operational condition of check dams) to the explanatory (independent) factors (the remaining categorical and continuous variables). Stepwise regression is a type of multiple linear regression which can choose the best-fitted combination of explanatory variables for response variable predication with forward-adding and backward-deleting variables. The stepping procedure begins as an initial model definition with a stepped forward addition of a variable to the previous model. The critical F value is then used to check the eligibility of the added variable. With a new variable added, the previous variables in the model may lose their predictive ability. Thus, stepping criteria are used to check the significance of all the included variables. Based on this situation, the significant variables could be confirmed. While, if the variable is insignificant, then the backward method is used to delete it. Forward adding and backward deleting are repeated until no variable is added or removed. The stepping procedure is eliminated when the optimized model is constructed. The stepping criteria were used to check the significance (at $p = 0.05$) of all the included variables. The procedure was stopped when the optimized model was built and the maximum r^2 between *response variable* and explanatory factors was achieved.

RDA was used with the main focus on the relationships between the check dam conditions (functional, filled or broken) and those factors influencing it (selected by stepwise regression). The check dam conditions were response variables in this analyses, whereas the explanatory variables selected according to the stepwise regression belongs to the three categories (check dams characteristics, check dam volume and channel dimensions): therefore, the explanatory variables included the selected significant variables that could represented each categories. In order to explore the factors affecting check dam conditions comprehensively, the raw data were standardized before the RDA analyses, and the significance of the variables was tested with Monte Carlo simulations.

All the above analyses were performed with R software version 3.2.0 (R Core Team 2013), with the main aim of a quantitative expression of the explanatory factors on check dam conditions.

A revised IRA (Xu et al., 2015) was used to evaluate the variation in the ratio of the actual sediment storage (henceforth, ASS) to the potential storage capacity (PSC) of check dams, with respect to changes in the significant explanatory variables (considered as causal factors). This ratio (that is, ASS/PSC) is equal to the sediment filling degree (SFD, in %) of a check dam. Specifically, ASS is the volume (m^3) of the actual sediment wedge behind a check dam, while PSC is the volume (m^3) that the sediment wedge would have, if the check dam was entirely filled with sediments. Therefore, a filled check dam shows a SFD equal to 100% (ASS = PSC), for an empty structure SFD = 0, while a functional structure has a SFD between 0 and 100% (0 < ASS < PSC). In the first case, the check dam is not able to retain more sediment in the future, being its capacity completely depleted.

In order to carry out a sensitivity analysis, all dams were ordered according to the amount of potential storage capacity from the largest to the smallest. The difference in SFD between two successively ordered check dams (*i* and *i-1*), RP_i (%), was calculated as follows:

$$\mathrm{RP_i} = \frac{2\left(\mathrm{SFD_i} - \mathrm{SFD_{i-1}}\right)}{\mathrm{SFD_i} + \mathrm{SFD_{i-1}}} \qquad [1]$$

where P_i is the SFD (%) of the i-th check dam.

As reported in details by Xu et al. (2015), the Absolute Sensitivity Parameter (S), S_j, towards an explanatory variable *t* is calculated by its mean growth rate:

$$S_{\mathrm{j}} = \overline{\left(RP_{\mathrm{i,j}} / Rt_{\mathrm{i,j}}\right)}_{\mathrm{i=1,N}} \qquad [2]$$

being *j* the j-th explanatory variable, N the total number of check dams and:

$$Rt_{\mathrm{i}} = \frac{2\left(t_{\mathrm{i}} - t_{\mathrm{i-1}}\right)}{t_{\mathrm{i}} + t_{\mathrm{i-1}}} \qquad [3]$$

Rt_i is the increase rate calculated for two successively ordered check dams (*i* and *i-1*), where *t* is the value of the explanatory variable. Finally, the Relative Sensitivity

Parameter (s) of the j-th explanatory variable t_j, s_j, which can be used to qualitatively evaluate the effect of the explanatory variable t on s was calculated as follows:

$$s_j = \frac{S_j}{\min\left(\left|S_j\right|\right)_{j=1,M}} \quad [4]$$

where M is the total number of the explanatory variables.

In our study, we calculated the Absolute and Relative Sensitivity Parameters of SFD (henceforth, S_{SFD} and s_{SFD}); as explanatory variables of S_{SFD} and s_{SFD}, vegetation cover, longitudinal slope, channel depths (measured immediately from the downstream and the upstream of a check dam) as well as length and width of the channel (equal to that of the check dam) were considered.

3. Results

The results show that the potential storage capacity of the studied stone check dams ranged from 0.5 to 493.5 m^3, with a mean value of 51.5 m^3. The actual volume of sediment stored upstream of the check dams ranged from 0.2 to 237.6 m^3 with a mean value of 26.7 m^3. The average width of the upstream sediment wedge was 6.5 m, ranging from 2.4 to 22.5 m. The mean downstream depth of the stone check dams was 1.1 m, ranging to 0.1 to 1.9 m. Finally, the length of the sediment wedge stored upstream ranged m to 3.9 to 39.1 m, with a mean value of 13.8 m.

Of the 273 analysed check dams, 116 (43%) are operating as designed and thus currently regulate water and sediment flows inside the watershed. They are classified as *functional*. Seventy-four of the check dams (27%) show a compromised structure (that is, they have lost their functionality, because they have collapsed) and they are classified as *broken*; and 83 (30%) are classified as *filled,* showing thus a totally full upstream wedge, and presumably the equilibrium channel slope has been reached. On average, the functional check dams were filled to 45% of their storage capacity, and thus have the capacity to retain additional sediment (Table 1).

The average longitudinal channel slope among all evaluated check dams was quite similar (ranging from 6.53-7.68%). In general, channel profiles were steepest upstream of filled check dams (7.68%) and shallower upstream of functional (6.66%) and broken (6.53%) structures. The impact of sub-watershed vegetation cover in the immediate vicinity of the investigated control works was clear. In sub-watersheds where the vegetation cover is high (45-53%), fewer check dams were broken in comparison to those check dams in sub-watersheds where vegetation cover was low (13%) (Table 1).

Results of the stepwise regression showed that six of the categorical and continuous independent variables were significant in explaining the variability in check dam condition ($p < 0.05$) (Table 2), and there was a strong association between these selected variables and soil conditions ($r^2 = 0.96$). The six significant variables were grouped into three categories: (i) check dam site characteristic, consisting only of vegetation cover; (ii) sediment volume characteristics behind check dams, including potential storage capacity and actual sediment storage; and (iii) channel dimension characteristics, taking into account channel length as well as upstream depth and width of the channel. Check dam condition was positively correlated with vegetation cover, potential storage capacity, actual sediment storage, length and average width of the sediment wedge; more specifically, check dam condition (explained by sediment retention capacity) was positively correlated with vegetation cover. In contrast, a negative relationship was found between check dam condition and depth of the upstream channel.

The analysis of the Spearman matrix indicated high correlations (> 0.73) among pairs of site, check dam and channel dimension variables. In more detail, the potential storage capacity of the check dams was associated to the channel upstream depth ($r = 0.86$) and the structure sediment storage ($r = 0.83$), with these latter variables also strictly linked each other ($r = 0.73$). Also the channel downstream depth was strongly correlated ($r = 0.98$) to the mean channel width. Lower correlation levels (but always significant at $p < 0.05$) were detected among other variables, such as vegetation cover and sediment filling degree ($r = 0.48$), potential storage capacity of the check dams and all channel dimensions ($r > 0.47$), as well as couples of variables related to channel dimensions ($r > 0.44$) (Table 3).

RDA using the explanatory variables of the three categories (vegetation cover, sediment storage volume, and channel characteristics) as influencing factors and check dam condition as the response variable, quantitatively showed that vegetation cover and channel dimensions explain 32.5 and 33.2% of the variability in check dam condition respectively. In contrast, although sediment storage characteristics also showed significantly influences on check dam conditions, the explanatory percentage was only 4.01%, thus much lower than the vegetation cover and channel dimensions.

The results of the sensitivity of the channel dimensions (average channel width, channel length, downstream and upstream depth), longitudinal slope, and vegetation cover on sediment potential store capacity performed by IRA are reported in Table 4. The larger the sensitivity of an explanatory variable, the more prominently this variable influences the sediment retention capacity. Channel depth downstream of the check dam was found to be the most important influential variable as it is the most sensitivity parameter (136.7). The relative sensitivity of channel depth upstream of the check dam and vegetation cover are comparable to each other, although these variables are less important than the channel depth downstream of the check dam (38.1 and 20.7, respectively). Finally, the relative sensitivity of longitudinal slope, length and average width of the channel are very low and negative.

4. Discussion

Check dams can be an effective tool for mitigating degradation provided that they are maintained after construction. Check dam failure, which may be due to many factors, can cause scour problems and release sediment into flow downstream. This is the case of more than a half of the 273 stone check dams installed in the Culiacan watershed, which were not operating as designed just 3-5 years after their construction, due to both sedimentation and structural failure. As a matter of fact, among the structures analysed in this case study, 27% of stone check dams were damaged by water and sediment during runoff, while for 30% of the structures the sediment storage capacity was practically depleted. In the first case, it is evident that the collapsed check dams are not functioning as designed. In the second case, it is true that the check dams no longer accumulate the solid material transported by runoff; however, these structures do contribute to bed stabilisation (due to the longitudinal slope reduction) and flood attenuation (because of the wider channel section).

The investigation revealed that longitudinal channel slope behind the surveyed check dams is not noticeably different among filled, functional and broken structures. In general we might expect to see variations in slope because where intact structures act as a barrier against runoff and, when flow velocity is reduced, sediment accumulates behind the check dam thus aggrading locally its profile (Bombino et al., 2008). This unexpected result could be explained by the fact that in our check dams aggradation is localized and proximate to the structure and channel measurements just limited to points immediately behind a check dam where most of the sediment accumulates, but were deliberately made at a distance upstream of the check dams. This choice was adopted because we wanted to check whether the stabilising effects of a check dam on channel profile is localised or extends upstream of the structure, that is, far from its direct influence (as instead, revealed by Bombino et al., 2008, however in other environmental contexts). Evidently, the profile survey highlights the overall stability of channel slope, independent of check dam conditions.

Furthermore, higher vegetation cover is found in sub-watershed with functional and filled check dams, thus confirming the positive effects of check dams on vegetation development behind the structures, documented in other environments (e.g. Boix-Fayos et al., 2007; Bombino et al., 2006; 2009; 2013). Vegetation helps channel stabilisation: in-channel vegetation reduce water velocity and soil detachment, and in vegetated hillslopes water and sediment supply to the channel decrease (Keesstra et al., 2108).

From this investigation it is clear that the potential storage capacity of functional check dams is higher (by over 100%) than filled structures, and the functional structures are located downstream of longer channels (supplying water and sediment) compared to filled check dams; conversely, the channel profile upstream of the filled check dams is steeper compared to functional structures. This finding (that is, longer channels upstream of functional check dams) partially contrasts with the results of research conducted by Li et al. (2007), who stated that check dams with a longer or steeper up-gully have a low capacity to retain soil, because soil from the upper reaches is not easily deposited by larger and more rapid flood events. In our study, the vegetation cover associated with both filled and functional check dams (whose values are very similar) likely limits soil particle detachment and transport thereby reducing the volume of sediment available for deposition: for functional check dams this leads to a not already depleted sediment storage capacity; filled check dams, also showing a well developed vegetation cover, stores an actual sediment volume similar to functional check dams, but their potential storage capacity has not depleted.

Broken check dams retained the capacity to store accumulated sediment (although lower by about 70% than functional check dams). In particular, sediment was stored behind non-broken side walls. The actual sediment storage is similar for functional and filled check-dams, in spite of the higher length of the channel upstream of the latter. On average, the ratio of actual to potential sediment storage of functional check dams (SFD) is close to 50%, which should increase with time assuming the check dams continue to function. In the Loess Plateau, Jiao et al (2003) concluded that the ratio of the soil retained by a check dam to the total transported from the upper reaches ranged from 23.3% to 52.9%, and the ratio had a positive relationship with check dam height and a negative relationship with the sub-watershed area above of the check dam.

The analysis of channel dimension characteristics grouped according to the current operating condition of check dams shows better developed sediment wedges (higher upstream depth, width and length) for functional check dams compared to filled structures. As expected, larger structures stored greater volumes of sediments and nevertheless the sediment storage capacity of these structures has not been fully depleted. It is interesting to notice that downstream of broken check dams the channel depth is higher compared to the other check dam conditions; evidently, in spite of the lower upstream depth, the local scouring on the downstream side of broken structure is high, due to the erosive power of the water stream. This phenomenon was observed extensively for the broken check dams (more than 80%) through the presence of destroyed stones at the sides of the channel that caused a decrease in cross section area.

The construction of multi-regression models between the check dam conditions and factors (linked to site location, sediment storage and channel dimension characteristics) highlights that only six of the potentially influencing variables considered have a significant impact on check dam conditions. Moreover, of these six causal factors, vegetation cover and channel dimensions were found to be the most influential ones (see results of redundancy analysis, explaining more than 65% of the variability of the check dam conditions). Overall, channel dimension was the most important factor determining check dam condition, followed by vegetation cover.

The negative correlation between check dam condition and upstream channel depth leads one to think that taller structures are most likely to be exposed to failure risk, because of the soil bank strains behind check dams of non-embedded building material. Conversely, sediment wedges behind check dams with lower height, but with overall larger widths lengths appear to be more stable, given comparable retained sediment volumes. Finally, the actual sediment storage appears to be the most influential variable on check dam conditions.

It has been highlighted in the literature (e.g. Conesa Garcìa and Garcia Lorenzo, 2010; Ramos-Diez et al., 2017b) that a large number of factors influence sediment storage capacity behind check dams. This study showed that, within the Culiacan watershed, sediment retention of check dams is related to both the downstream and upstream depths of the structure and thus the height of the check dam is important. This result is expected because the structures with greater height are associated with two predominant processes: (i) local scouring downstream of the check dam, which increases and thus mobilises more sediment to be transported downstream; (ii) aggradation of channel bed upstream of check dam, forming long and wide sediment wedges, in which large volumes of sediment are stored during flooding events. However, in our study, the sensitivity analysis has highlighted that the magnitude of the second process is noticeably lower than scouring action downstream, as shown by the values of S_{SFD} (136.7 for downstream depth against 38.1 for upstream height of the check dam). Moreover, the performed analysis confirms the importance of vegetation cover on reducing sediment loads and thus extending the life of check dams by retaining potential storage capacity. As a matter of fact, in areas with low vegetation cover (both in the channel and in the hillslopes) the channel bed surface remains exposed to stream erosion, which mobilises sediments along the reach (as reported above). Finally, the other factors analysed (channel profile slope, length and width) were found to affect by a much lesser extent potential storage capacity, except for channel depth measured upstream of check dams (thus confirming the influence of sediment storage). This also implies that a smaller increase in the above conditions will result in a smaller decrease of the potential storage capacity.

Although the lack of influence of channel profile slope on potential storage capacity may be explained by its low variability mentioned above, the substantial independence of potential storage capacity on channel length and width is less expected. From a deeper analysis of morphological data of the individual check dams, we noticed that for the majority of the structures channel length and width do not follow a corresponding trend; in other words, often-wider check dams are not associated to longer reaches, which leads to a substantial balance between these explanatory variables. The results of our study are in contrast with findings of Zema et al. (2014), who found that the ability to retain sediment and channel local scouring downstream is linked. However, it should be noted that in the Zema et al. (2014) study, structure spacing, geomorphic conditions, and hydrologic regime where different than our study.

This research confirms that is necessary to apply an integrated approach to solve the problems of watershed soil conservation, since the factors governing the related processes - in particular in streams regulated by check dams - are numerous and of different origin. The use of check dams can be definitely useful when the sediment source is located close to or in the channel (e.g. in-channel sediment supply, channel incision, bank erosion, armour layer removal, etc.). However, where installation of check dams alone can not mitigate watershed soil degradation (particularly in hillslopes with bare soil), it is necessary to reduce sediments at the sources, preferably by enhancing the establishment of a structured and extended vegetation cover; as a matter of fact, the study has demonstrated that vegetation cover can be a prerequisite for good conditions and functioning of check dams. Overall, soil conservation and flood risk mitigation at watershed scale must be addressed by a rational and integrated combination of in-channel (such as check dams) and extensive (for instance, afforestation and terracing) actions.

5. Conclusions

A quantitative analysis to understand the relation between sub-watershed characteristics (vegetation cover, sediment storage capacity and channel dimensions) and check dam conditions and effectiveness was carried out in a large Mexican watershed. In this regulated watershed 273 stone control structures were recently built; the factors mostly influencing their functioning and conservation - with particular regard to vegetation cover - were surveyed and analysed using a combination of four analytical techniques. This study showed that the failure of check dams was associated with low vegetation cover; conversely, with a well developed vegetation cover the majority of check dams were functional or filled, in both cases not broken. Multi-regression models showed that, under the specific environmental conditions, vegetation cover and channel dimensions explain together more than 65% of the variability of the check dam conditions. Overall, channel dimension is the most important factor determining check dam condition, but a basic role is played also by vegetation cover, since this ecological factor is important for potential storage capacity. Taller structures are most likely to be exposed to failure risk, while check dams with lower height, but with well-developed sediment wedges and large vegetation cover, are more stable. A sensitivity analysis showed strong dependence of potential sediment storage capacity of the check dams - and therefore of their ability to retain sediment circulating in the watershed - on downstream depth of the structure. However, also upstream height of check dams and vegetation cover of the drained sub-watershed are factors with a considerable influence on sediment retention processes acting behind the stone structures.

Overall, this study has provided a quantitative identification of the most important factors affecting the structural condition of check dams and their ability to store sediment, highlighting channel characteristics, dimensions of check dams and vegetation cover as dominant factors. One must pay attention to these factors in developing the best strategies for soil conservation at the watershed scale; the role of vegetation is clear and it definitely helps for a ecologically sound management and functioning of watersheds. These findings suggest that managers: (i) consider with caution the installation of control works (such as stone or rock check dams) in sub-watershed with low vegetation cover and highly erodible soils, since here the high sediment transfer rates may increase the structure failure likelihood; (ii) adopt a larger number of small structures rather than controlling the evolution of the channel longitudinal profile by large-sized check dams, since taller structures are most likely to be exposed to failure risk, thus loosing much of their functioning.

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Table 1 - Site characteristics, sediment storage and channel dimensions for 273 check dams surveyed in the Culiacan watershed (Mexico).

Check dam condition (number)	**Site characteristics**		**Check dams**			**Channel dimensions**			
	Longitudinal slope (%)	*Vegetation cover (%)*	*Potential storage capacity (m^3)*	*Actual sediment storage (m^3)*	*Sediment Filling Degree* (%)*	*Length (m)*	*Downstream** depth (m)*	*Upstream** depth (m)*	*Average width of the sediment wedge (m)*
Functional (116)	6.66±0.57	45±2	77.54±7.44	35.78±4.04,	45±0.01	15.47±0.73	1.14±0.02	0.51±0.02	6.93±0.29
Filled (83)	7.68±0.76	53±3	37.64	37.64	100	11.15±0.85	0.99±0.03	0.36±0.02	5.49±0.28
Broken (74)	6.53±0.60	13±3	25.47±8.67	-	-	14.62±0.97	1.24±0.05	0.15±0.04	8.54±0.56

* ratio of actual sediment storage to potential storage capacity; ** measured immediately close to the check dams.

Table 2 - Best-fit combination of explanatory variables for check dam conditions as response variable using stepwise regression analysis applied to check dam data in Culiacan watershed (Mexico).

Explanatory variables	**Estimate**	**Std. Error**	**F-value**	**Pr(>|t|)**
Vegetation cover	0.070	0.030	30.34	<0.001
Potential storage capacity	0.001	0.000	2.06	0.04
Actual sediment storage	1.760	0.030	3.19	0.002
Channel length	0.010	0.010	66.14	<0.001
Upstream channel depth	-1.110	0.060	-19.84	<0.001
Average channel width	0.070	0.010	10.49	<0.001

Table 3 - Spearman's correlation matrix among variables measured close to 273 check dams in Culiacan watershed (Mexico).

Variable		**Site characteristics**		**Check dams**			**Channel dimensions**			
		Longitudinal slope	*Vegetation cover*	*Potential storage capacity*	*Actual sediment storage*	*Sediment Filling Degree*	*Length*	*Downstream depth*	*Upstream depth*	*Average width of the sediment wedge*
Site characteristics	*Longitudinal slope*	**1**	-0.024	0.025	-0.039	0.027	-0.020	-0.041	-0.037	-0.050
	Vegetation cover		**1**	0.209	0.343	0.484	-0.199	-0.138	0.264	-0.170
Check dams	*Potential storage capacity*			**1**	0.826	0.281	0.512	0.552	0.860	0.468
	Actual sediment storage				**1**	0.586	0.359	0.375	0.734	0.301
	Sediment Filling Degree					**1**	-0.200	-0.157	0.380	-0.193
Channel dimensions	*Length*						**1**	0.534	0.231	0.530
	Downstream depth							**1**	0.442	0.977
	Upstream depth								**1**	0.378
	Average width of the sediment wedge									**1**

Table 4 - Sensitivity analysis of site characteristics and channel dimensions (explanatory variables, ordered by SFD) on sediment retention of check dams in the Culiacan watershed (Mexico).

Parameter	**Explanatory variables**					
	Downstream depth	*Upstream depth*	*Vegetation cover*	*Longitudinal slope*	*Channel length*	*Average channel width*
Absolute Sensitivity (S_{SFD})	1.0056	0.2802	0.1525	-0.0377	-0.0107	-0.0074
Relative Sensitivity (s_{SFD})	136.7	38.1	20.7	-5.1	-1.5	-1.0

Figure captions

Figure 1. Geographic location of the stone check dams investigated in the Culiacan watershed (Mexico).

Figure 2 - Annual trends (mean ± std. dev.) of precipitation and mean temperature measured at the meteorological station of Culiacàn in the period 1995-2015.

Figure 3. Stone check dam failure (broken) in the Culiacan watershed (Mexico).

Figure 4. A working gabion check dam in the Culiacan watershed (Mexico).

Figure 5. Map (a) as well as longitudinal original and regulated profiles (b) of four check dam staggered series in the Culiacan watershed (Mexico).

Figure 6. Annotated schematic showing side and oblique views of a typical stone check dam in the Culiacan watershed (Mexico).

Figure 1

Click here to download high resolution image

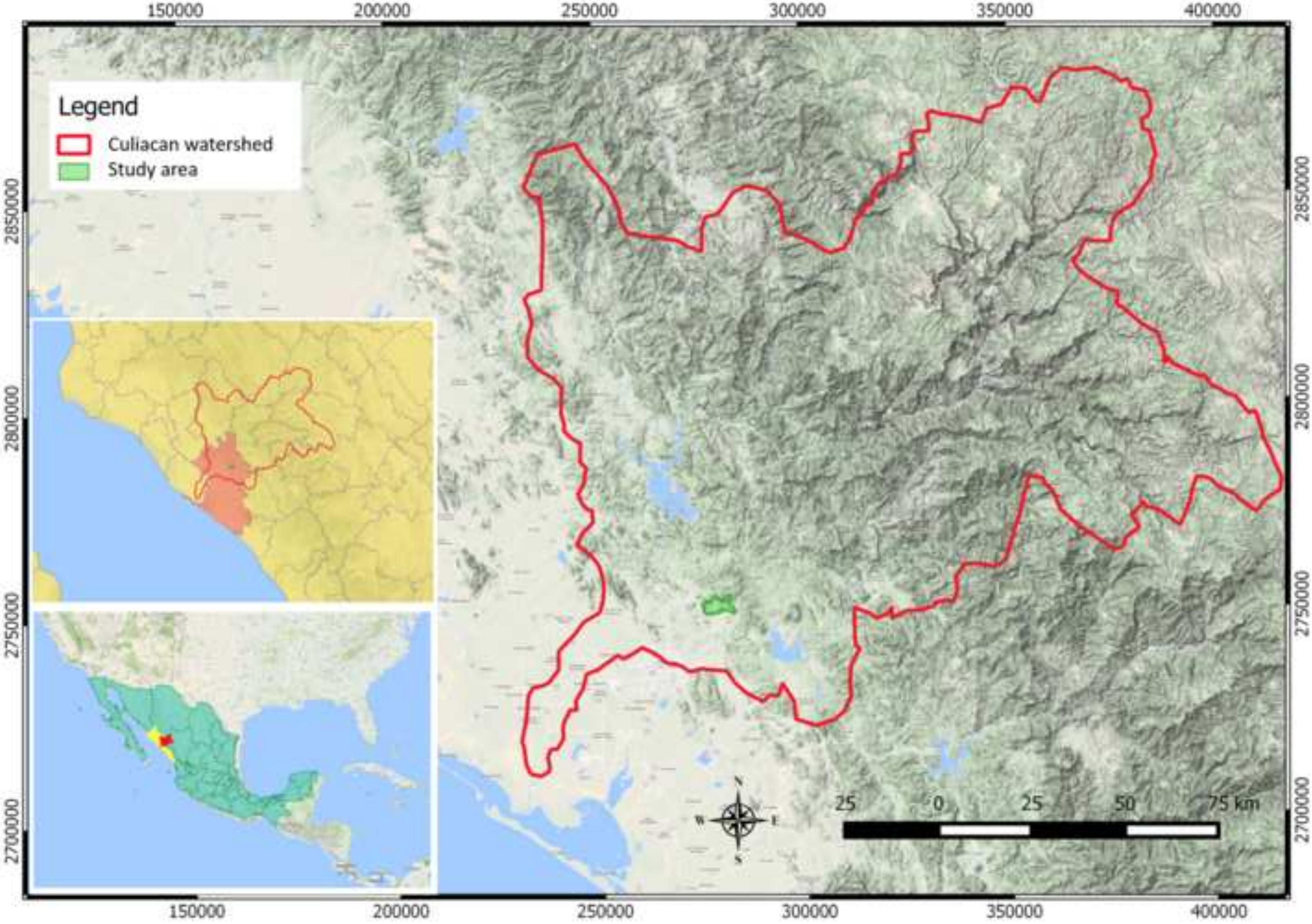


Figure 2

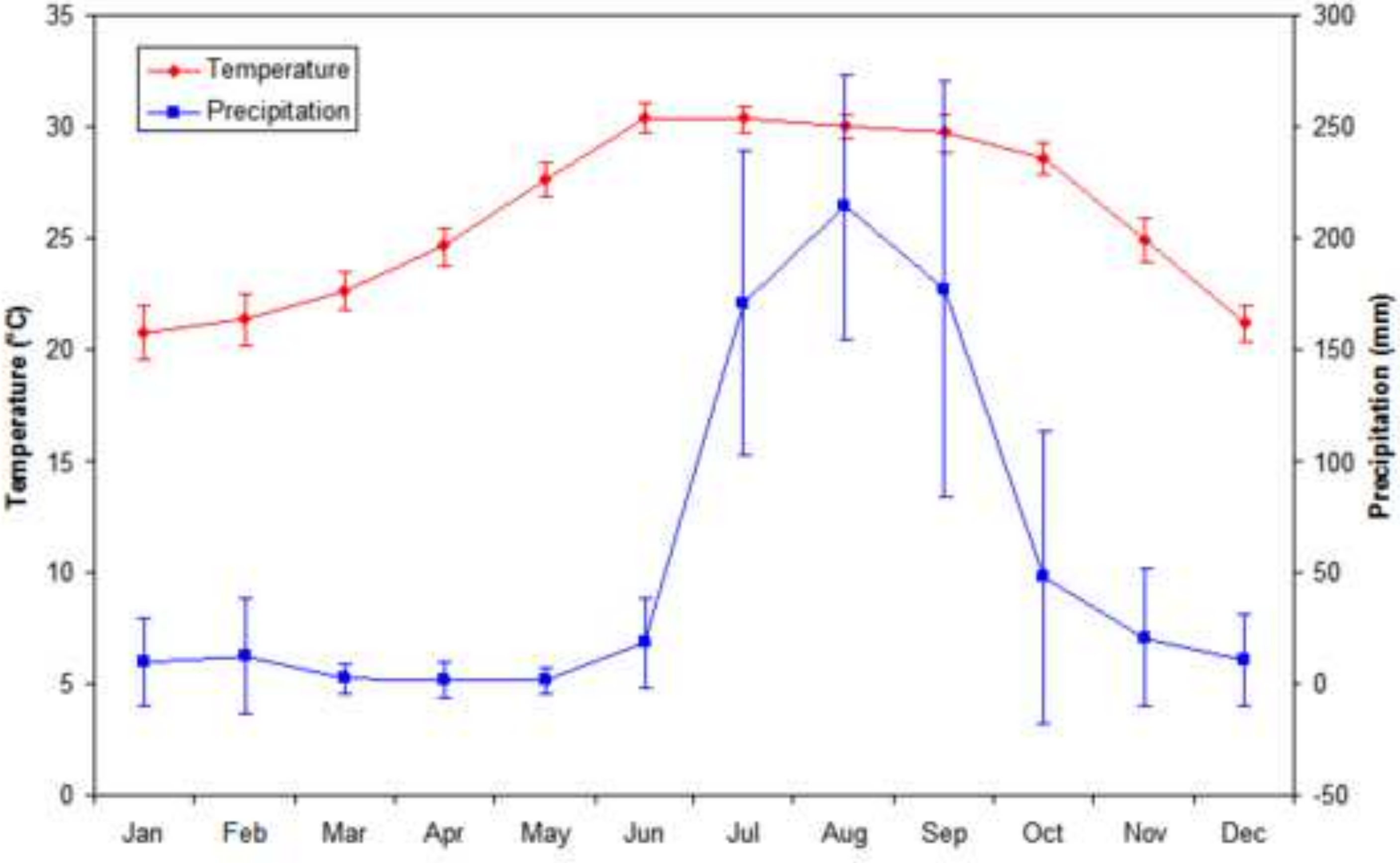

Temperature
Precipitation
Temperature (°C)
Precipitation (mm)
35
30
25
20
15
10
5
0
300
250
200
150
100
50
0
-50
Jan
Feb
Mar
Apr
May
Jun
Jul
Aug
Sep
Oct
Nov
Dec

Figure 3

Figure 4

Figure 5 a

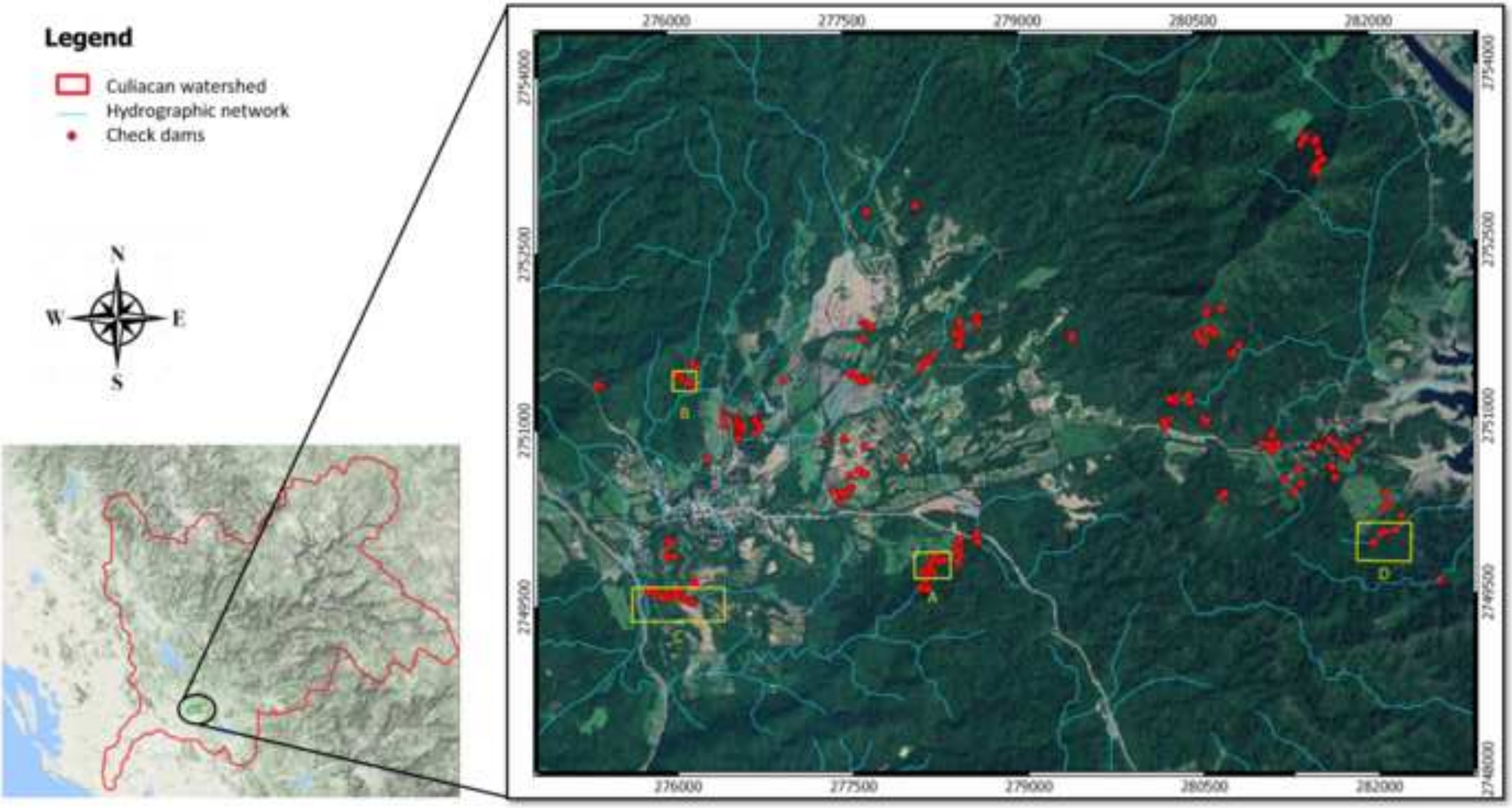

Legend
Culiacan watershed
Hydrographic network
Check dams
N
W
E
S
276000
277500
279000
280500
282000
2754000
2752500
2751000
2749500
2748000
A
B
C
D

Figure 5 b

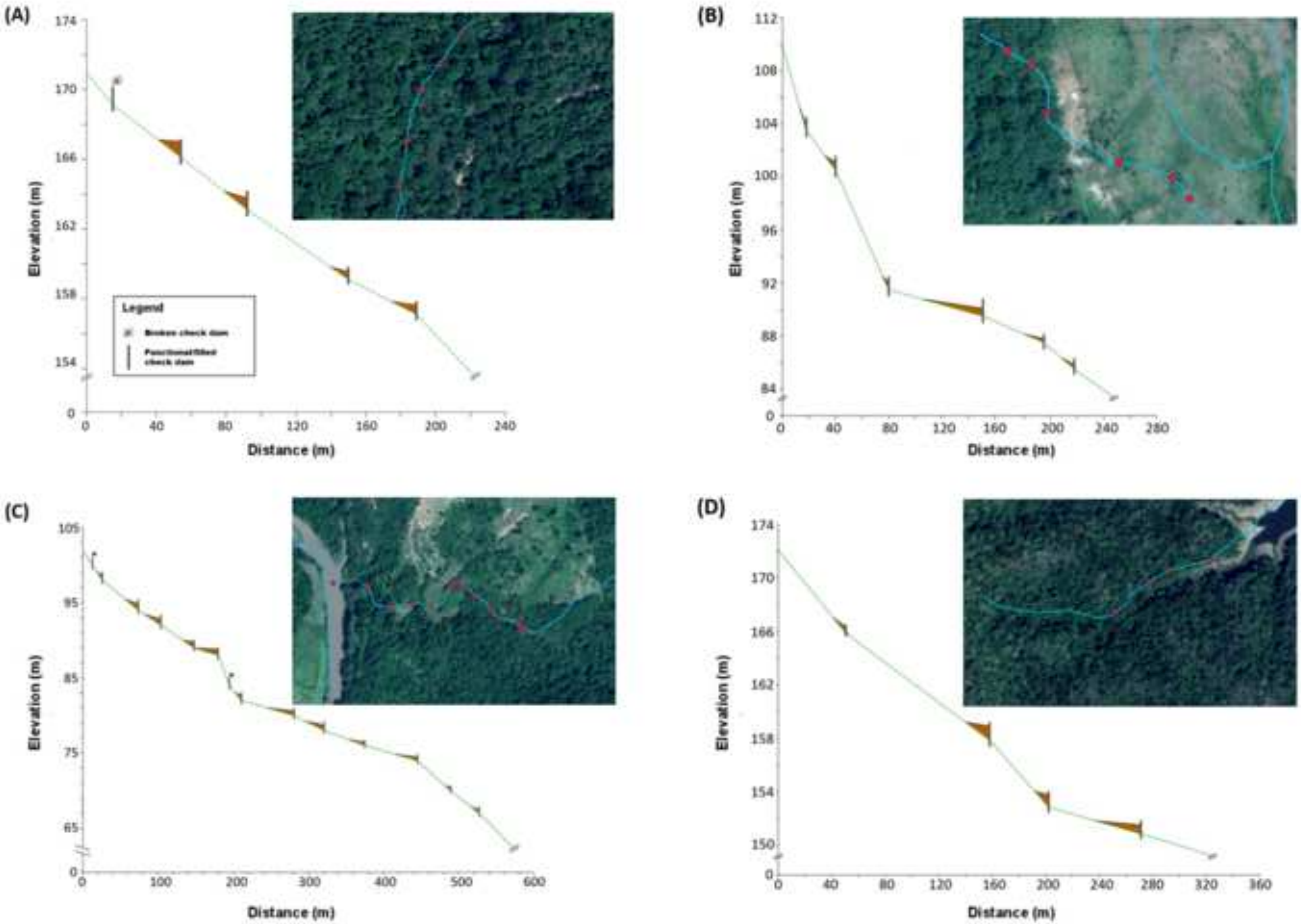
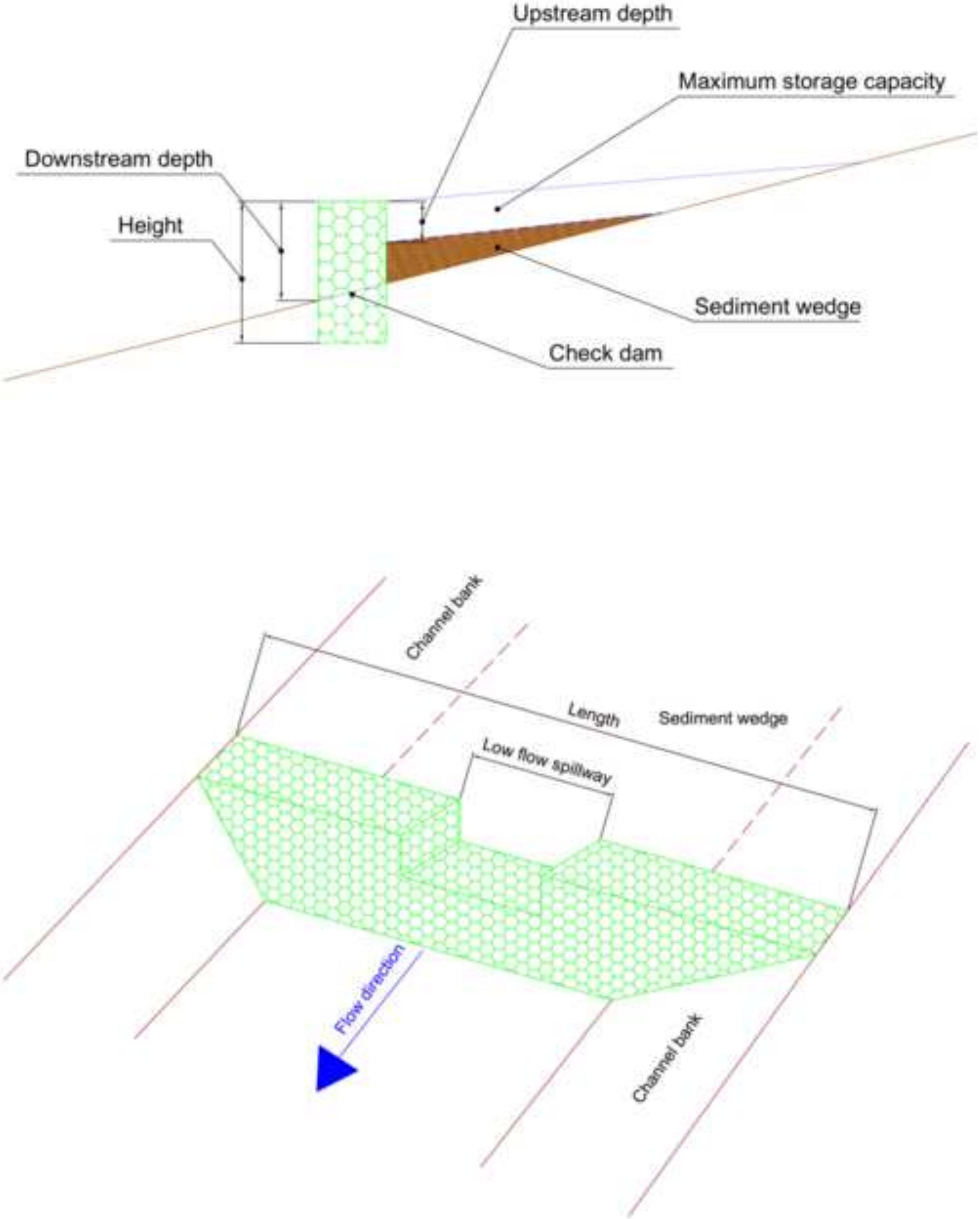

(A)
174
170
166
162
158
154
0
Elevation (m)
0
40
80
120
160
200
240
Distance (m)
Legend
Broken check dam
Functional/filled check dam
(B)
112
108
104
100
96
92
88
84
0
Elevation (m)
0
40
80
120
160
200
240
280
Distance (m)
(C)
105
95
85
75
65
0
Elevation (m)
0
100
200
300
400
500
600
Distance (m)
(D)
174
170
166
162
158
154
150
0
Elevation (m)
0
40
80
120
160
200
240
280
320
360
Distance (m)

Figure 6


Upstream depth
Maximum storage capacity
Downstream depth
Height
Sediment wedge
Check dam
Channel bank
Length
Sediment wedge
Low flow spillway
Flow direction
Channel bank