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Research Highlights

- Stereovision for in-field estimation of tree height and diameter
- Mobile Android app for the management and georeferencing of stereo images
- Comparison of measurements - reference (felled trees) and stereovision
- No significant differences between stereovision and traditional methods

15 **Abstract**

16 A stereovision system for the in-field estimation of trees parameters such as height and diameter is
17 proposed. The system includes a specifically developed mobile application for the management and
18 georeferencing of stereo images. Stereo imaging allows the measurement of the distance between
19 two points using triangulation formulas for the extraction of three-dimensional coordinates. The
20 methodology is structured following three phases: training using system calibration through
21 stereovision analysis of known artificial known objects; testing using measurements of standing tree
22 diameters and heights acquired through stereovision system, laser rangefinder (height) and tree
23 calliper (diameter); field application testing using direct height and diameter measurements in
24 natural and urban woods. For this last phase an Android application was developed. The results
25 show that the error between direct measurements and those measured with both stereovision and
26 traditional reference methods (laser rangefinder and tree calliper) were quite low: $6.8\pm 6.6\%$
27 between direct and laser rangefinder height measurements; $5.8\pm 5.5\%$ between direct and
28 stereovision height measurements; $4.2\pm 3.0\%$ between direct and stereovision diameter
29 measurements. No significant difference was found between the different methods for estimating
30 height and diameter. Around 200 images matched to stereovision acquisitions were acquired and
31 georeferenced using the application.

32 **Keywords**

33 Stereo images; image analysis; tree diameters; tree heights; Android application.

34

36 **Nomenclature table**

ALS	Airborne Laser Scanning
CSV	Comma-Separated Values
DGNSS	Differential Global Navigation Satellite Systems
GNSS	Global Navigation Satellite Systems
GPS	Global Positioning System
JPG	Joint Photographic Group
JSON	JavaScript Object Notation
KML	Keyhole Mark-up Language
LAI	Leaf Area Index
LIDAR	Light Detection And Ranging
PHP	Hypertext Pre-processor
RGB	Red Green Blue
SD	Standard Deviation
SLR	Single-Lens Reflex
TLS	Terrestrial Laser Scanner
VTA	Visual Tree Assessment

38 **1. Introduction**

39 Wood volume is one of the most important parameters required for the evaluation of forests. It is
40 used to assess tree growth and to monitor natural resources in order to apply proper maintenance
41 (Herrera et al., 2011). To calculate wood volume accurately is necessary to precisely acquire
42 dendrometry parameters such as height and diameter. These parameters are also used to indicate the
43 status of tree health, when referred to a population, or to classify the mechanical danger in relation
44 to the probability of tree toppling (Smiley et al., 2007). Also, identification of timber species and
45 estimation of the quantity and quality are of timber are critical for quantifying the productive value
46 of a forest (Proto et al., 2017). Furthermore, the same parameters are crucial in timber industry for
47 various commercial and logistic purposes (Gutzeit & Voskamp, 2012).

48 Computer vision applications are rapidly increasing in their scope and performance and have
49 become important ready-to use tools in the forestry sector. Some applications are available to
50 calculate leaf area index (LAI; Chianucci & Cutini, 2013), texture (Bai et al., 2005), basal area and
51 diameter distribution (Gobakken & Næsset, 2004). These techniques, also provide solutions for
52 automatically determining log quality and grade, without the necessity to climb tree, thereby
53 improving the accuracy and precision of upper diameters when estimating standing trees (Herrera et
54 al., 2011). For example, in the study by Brownlie et al. (2007), a digital image-based dendrometry
55 system was developed to provide accurate dimensional and positional measurements of the
56 branches, whorls and stems of standing trees. This included sweep and three-dimensional (3D)
57 position. Tree stereo digital images are acquired and some field parameters are measured for their
58 association with a particular image/object environment. Firth et al. (2000) acquired high resolution
59 RGB pictures of tree stems to describe the camera-to-object (*i.e.*, tree) geometry.

60 Although computer vision techniques are widely available and applied for the volume calculation of
61 the wood log piles, only a few studies report the use of these technologies to calculate dendrometry
62 parameters for single standing trees (Firth et al., 2000; Brownlie et al., 2007). Fink (2004) applied
63 classical vision algorithms and active contours to identify log cut surfaces. The procedure they

64 proposed worked semi-automatically making assumptions on wood type, lighting conditions and
65 image quality. The method proposed by Dahl et al. (2006), consisted of a measuring system based
66 on stereovision with a structure relying on two cameras. The area of wood section was calculated
67 beforehand by using stereovision algorithms. This method estimated the percentage of wood in the
68 measurement of wood log piles and worked only with clear images without disturbing factors.
69 Herrera et al. (2011) present a strategy for computing disparity maps from hemispherical stereo
70 images obtained with dedicated fish-eye lenses. To obtain appropriate measures of vegetation
71 structure at multiple spatial scales in forest and in urban environments is normally challenging.
72 Remote sensing provides tools can measure vegetation structure working across large areas (Kerr &
73 Ostrovsky, 2003) and it plays an important role within the field of forest inventory. Airborne laser
74 scanning (ALS) has also become an effective tool for acquiring forest inventory data. The
75 georeferencing of these field plots is typically carried out by means of differential global navigation
76 satellite systems (DGNSS) and normally relies on logging times of 15-20 min ensuring adequate
77 accuracy under different forest conditions (Hauglin et al., 2014). Until recently, most remotely
78 sensed data only provide only two-dimensional (2D) information (Seavy et al., 2009) and
79 information regarding the structure of vegetation, which requires 3D to obtain for example tree
80 heights, was has not been available. Light detection and ranging (LIDAR) is a remote-sensing
81 technique that can provide detailed information on vegetation structure. LIDAR imagery can be
82 generated using low-flying aircraft to record the reflection data and measure the height of objects
83 beneath the aircraft (Lefsky et al. 2002). LIDAR imagery has been used to estimate the canopy
84 height, stem diameter, canopy cover, biomass and other components of vegetation structure
85 (Chasmer et al. 2006, Clawges et al. 2007). However, since the spatial extent and spatial resolution
86 of a given sensor are inversely related (Franklin et al., 2002) the vegetation structure
87 characterisation of large areas, based on remotely sensed data, often have sub-optimal precision for
88 several applications (Xie et al., 2008).

89 Simonse et al. (2003) used a terrestrial laser scanner (TLS) for tree identification and to assess tree
90 characteristics such as their positions and the diameters at breast height. This instrumentation
91 presents a great accuracy of the data and the advantage of this technique is to obtain repeatable
92 results of measurements because of the high level of automatism. However, the system was very
93 expensive.

94 This study proposes a smart, cost-effective, mobile stereovision system for in-field estimation of
95 dendrometry parameters (*i.e.*, heights and diameters). The system includes a specifically developed
96 mobile application for the management and georeferencing of the stereo images. The use of stereo
97 images allows the measurement of the distance between two points using formulas for triangulation
98 of the 3D coordinates and angles (Wu et al., 2004; Costa et al., 2009; Menesatti et al., 2014;
99 Pallottino et al., 2015).

100

101 **2. Materials and methods**

102 This study was carried out in 3 phases:

- 103 – training with system calibration through stereovision analysis of known artificial objects;
- 104 – testing with measurements of standing tree diameters and heights acquired through stereovision
105 system, laser range finding (height) and tree calliper (diameter) and comparisons with direct
106 measurements with felled trees;
- 107 – field testing of application (in natural and urban forests using the stereovision system).

108

109 In addition, during this last phase, an Android mobile application was developed for georeferencing
110 the stereo images and manage the additional information.

111

112 *2.1 Stereovision hardware and software*

113 The stereovision system consists of two high resolution SLR cameras (Canon EOS 100D) mounted
114 on plates, locked in a known position on a bar, provided with an anti-deformation rail system, and

115 horizontal pan adjustment to obtain a greater precision and superior alignment between the two
116 cameras. The tilt angle error for repeated camera positioning was $< 0.001^\circ$. The two camera
117 distances were adopted: 518 mm used for the measurements of the trees diameters and 1479 mm
118 used for height measurement (Fig. 1). The exposure mode of the two cameras exposure was set to
119 automatic mode and without flash use to allow the exposure to adapt to different lighting
120 conditions. During preliminary testing, the two stereo images were checked to verify that the
121 exposure values were identical despite the slightly different scenes acquired.

122

123 One of the main problems concerning stereovision regards the synchronism in the acquisition of the
124 images pair, especially for moving objects. Therefore, was developed a device for synchronised
125 shooting between the two cameras based on the open-source computer board Arduino (Board model
126 NANO v3.3; Fig. 2). This device guarantees synchronism with a negligible margin of difference of
127 about 35 ms.

128

129 *2.2 Data collection*

130 The training phase consisted in measuring known distance on reference objects. Two kinds of
131 objects were used: a T shape 3 m bars with 250 mm markers, and distances among landmarks
132 measured on buildings (recorded with a laser meter; from 3 to 5 m). A total of 162, for the 1479 mm
133 camera distance, and of 384, for the 518 mm camera distance, measures were recorded to calibrate
134 the system. Sensors were positioned at a distance from the object ranging from 1 to 30 m.

135 Field testing was carried out at CREA in Monterotondo, Rome, Italy. The testing phase consists in
136 measuring heights (poplars) and diameters (poplars, limes, firs, chestnut) of standing trees using the
137 stereovision system, the traditional laser rangefinder (for heights) and the tree calliper (for
138 diameters) on the same standing trees. Afterwards, these images were compared with the data
139 collected directly from felled trees. Repeated measurements using different trained operators were
140 carried out using the laser rangefinder and the tree calliper. A total of 194 tree heights and 50 breast

141 height tree diameters were collected. The reference measurements for both height and diameter
142 were considered to be those from the felled trees. The “reference” diameters were obtained
143 considering the mean diameter measured using at least 3 replicates using the tree calliper. Thus, the
144 errors of the two systems used for height (laser rangefinder and stereovision), and the stereovision
145 used for diameter, were calculated using the felled trees as reference using:

$$146 \quad \%Error = \frac{Measurement - Reference}{Reference} \cdot 100 \quad (1)$$

147 The operator error (Pallottino et al., 2015) was evaluated by considering the variability in the
148 measurements taken by different operators on the same tree, for laser rangefinder (height) and tree
149 calliper (diameter), using:

$$150 \quad \% \text{ Operator error} = \sum_{j=1}^3 \left(1 - \frac{\bar{X}_j}{\bar{X}_j + SD_j} \right) \cdot 100 \quad (2)$$

152 where \bar{X}_j is the average repeated measurement of j^{th} tree, SD_j is the standard deviation of average
153 repeated measures of j^{th} tree and j is the number of trees with repeated measurements.

154

155 During the field testing of the application a total of 194 image pairs were obtained using the
156 stereovision system, of which 50 were from woodland near Serra San Bruno, Calabria, Italy and
157 144 were from urban parks in Rome, Italy.

158

159 *2.3 Triangulation and measurement software*

160 Specific software was designed to extract the distances between two points within stereo images
161 through a triangulation method. The software, implemented in the Matlab (rel 7.1; Mathworks,
162 Natick, Mass., USA) environment. A trained operator manually identified, on each stereo image,
163 two points describing height or diameter (i.e. landmarks) and the software automatically returns the
164 required measurement. The software could also extract of angles based on 3 landmarks on the two
165 stereo images.

166

167 *2.4 Georeferencing web application*

168 An *ad hoc* georeferencing web application was developed for the stereo images data management
169 and the introduction of geographic and additional information. The system, called infotracing
170 (Costa et al., 2013), consists of an application (designated SmartTree) developed for the Android
171 OS platform. This application allows for image acquisition using a smartphone and the storage of
172 associated of additional information, including: information related to stereo images and GPS data
173 for the position and species name of the tree. This information is stored on a centralised web
174 database. The data on the database could be inserted or queried from different devices. Users could
175 be technical (i.e. forestry) operators conducting field operations, policy managers, inspectors, forest
176 technicians and also generic users. The SmartTree application can work autonomously in an off-line
177 mode, not synchronised with the web-database, or as a field digital data collector for distributed
178 surveys synchronised with the web-database. In this second mode, the application becomes the
179 software interface layer of a shared workflow established between the users to manage the data
180 archived in the web database.

181 The web-database runs on a server which provides a web-site application (SmartTree-Web) to allow
182 access from desktop terminals. The web application allows users to query and manage the collected
183 data with the proper security policies. The application also provides specific geographic
184 functionality for data analysis. The web application architecture is based on a classic client-server
185 scheme and has been developed using open-source technology. The client application runs on a
186 standard web browser and is implemented in JavaScript using Geo-Ext, an Ext-JS framework
187 extension focused on geographical data visualisation and editing. The client application has the
188 appearance of a desktop application with tabular data, detail views and geographical map rendering.
189 The client application does not store data on the user's personal computer. All the data are provided
190 by a server application implemented in PHP and are stored in a web-database implemented in
191 MySQL with a geographical extension. The data needed by the client application are requested by

192 the server application to the database and are returned through the internet connection to the client
193 application.

194 The GPS information is acquired manually through the smartphone antenna with an accuracy
195 approximately equal to 5 m. Once the tree reference image is acquired and stored, the operator
196 needs to move as close as possible to the tree to acquire the tree coordinates. The GPS coordinates,
197 relative to the stereo-images pair, are registered within the picture taken by the smartphone,
198 according to the specifications of Exchangeable image file format (Exif), and consequently
199 extracted. In time it is hoped that the GPS location accuracy will increase consistently due to the
200 diffusion of L5 GNSS satellites characteristics and the capability of the smartphone chipset to lock
201 first onto the satellite with the L1 signal from satellites, and then to refine it with the L5. This is
202 much less prone to distortions from multipath reflections than L1 (Svaton, 2015).

203 The acquired stereo images are archived and georeferenced creating a KML file for sharing and
204 disseminating along with all the additional information manually inserted by the operator. The
205 KML file was chosen as the interchange file format because it has been adopted by various software
206 platforms in order to eliminate problems while sharing information. Such files can be viewed
207 through both, Google Maps and Google Earth. The KML file was written using a dedicated utility
208 in Java which elaborates the images acquired by the SmartTree application, including the extraction
209 of all the information manually inserted by the operator. It then extracts the GPS position (latitude
210 and longitude) from the smartphone JPG (Exif) creating a CSV file. This CSV file contains all the
211 info of each single image with references to stereo-visual images acquired from SLR cameras.

212 Subsequently the software generates the KML file containing: the single image, the position, the
213 species and the notes, adapting them graphically according to the scheme of KML file.

214 The KML file can be easily converted in a JSON structure and can be imported in the web-database
215 to archive all the data collected by the application.

216 The application is not yet available via Google since it is a prototype developed in the specific
217 context of the research projects reported here.

218

219 **3. Results**

220 *3.1 Training phase*

221 Figure 3A shows a scatter plot for the average percentage error between the observed and estimated
222 readings for the stereovision algorithm, during training performed on 162 objects of known
223 measurement (from 100 mm to 4500 mm placed at distances from 5 to 30 m) with focal length set
224 at 18 mm and sensor distance was 1479 mm. The average percentage error resulted was $1.76 \pm$
225 1.33% . Figure 3B shows the same results but for the calibration performed on 384 objects and with
226 a sensor distance equal to 518 mm. For this phase the average percentage error was $0.93 \pm 1.12\%$.
227 Since this distance between sensors is used only with small and close objects (i.e. trees diameters),
228 this effect is negligible.

229

230 *3.2 Testing phase*

231 The operator error (%) was $6.5 \pm 4.5\%$ for the measurements of heights with laser rangefinder and
232 to $1.8 \pm 1.7\%$ for the measurements of diameters with the tree calliper for a total of 50 trees used for
233 each .

234 Figure 4 shows the scatter plots of the differences between the reference measurements and these
235 measured with the stereovisions in the testing phase. Figure 4A shows a scatter plot of the
236 differences between the 50 observed heights (reference) and the heights measured with the laser
237 rangefinder; the measurement error was equal to $6.8 \pm 6.6\%$. There were no significant differences
238 between the two systems (t test $p > 0.05$). Figure 4B shows the scatter plot of the differences
239 between the 50 observed heights (reference) and the heights measured with the stereovision. The
240 measurement error was equal to $5.8 \pm 5.5\%$. There were no significant differences between the two
241 systems (t test $p > 0.05$). Figure 4C shows the scatter plot of the differences between the 60 observed
242 diameters (reference) and the diameters measured with the stereovision. The measurement error was

243 equal to $4.2\pm 3.0\%$. There were no significant differences between the two methodologies (t test $p >$
244 0.05).

245

246 *3.3 In-field testing phase*

247 Figure 5 shows screen images from the SmartTree application developed in this study for the
248 georeferencing and management of the stereo images developed in the research projects reported
249 here. Through this application, 50 surveys in Calabria and 144 in Rome of georeferenced images
250 were established with the stereovision system. The set of images were processed following capture
251 and exported in KML format as reported earlier.

252

253 **4. Discussion**

254 Timely and accurate measurements of vegetation structure are increasingly needed across large
255 areas to support a wide range of activities related to sustainable forest and urban green space
256 management (Rosenqvist et al., 2003). This study deals with the setup of a smart stereovision
257 system for estimating dendrometry parameters (height and diameter), georeferencing the relative
258 information and the management of stereo images using a specifically developed application that is
259 available in the field.

260 The stereovision system, being unable to process the data in real time, can optimise the data
261 acquisition. The main limit to the use of the system is imposed by the visual field. It gives the
262 possibility to estimate parameters without the need to fell the trees and the application can produce
263 biomass estimates based on a single stereo images pair. As previously mentioned, remote sensing
264 based applications (such as LIDAR) represent a resource to measure vegetation structure across
265 large areas. However, critical to the adoption of LIDAR as a survey tool, is the capacity to
266 simultaneously measure in detail and with high accuracy both, vertical and horizontal vegetative
267 structure as well as terrain morphology (Wulder et al., 2012). In addition, because the spatial extent
268 and spatial resolution of a given sensor are inversely related (Franklin et al., 2002) the

269 characterisations of large areas of vegetation using remote sensing tools often have sub-optimal
270 precision for many applications (Xie et al., 2008).

271 The tools proposed here are particularly useful for urban green applications, given the possibility to
272 georeference image acquisitions through the mobile device and insert additional information such
273 as, for example, tree health status. Moreover, the stereovision system has the possibility of totally
274 surveying the plant, and of measuring the distances and angles, and this could be used to analyse
275 and classify the state of mechanical danger represented by each tree toppling or otherwise failing.
276

277 In forests, acquisition of multiple dendrometry parameters is needed, and, even if it becomes
278 difficult to frame the entire plant (information on the height of the individual), the system can be
279 useful to support measurements of basal area using the relascope (Bitterlich, 1984). In fact, from the
280 readings of the diameters it is possible to derive other population statistics such as population size
281 and the diameter class distribution. With repeated measures over time, accurate diameter estimation
282 provided by stereo-vision could allow other important parameters related to the timber growth to be
283 determined. Moreover, using the same information integrating the dendrometry measurements and
284 the leaf area index (LAI; Chianucci et al., 2015) and knowing the age of the tree population it could
285 be possible to estimate the health status of the trees and establish the level of soil fertility. The same
286 technology could be further applied for the evaluation of forestry vehicles transit inside wooded
287 areas.

288 The “user friendly” information provided could be used by a field operative, as well as by a trained
289 technician. In fact, a member of the public with this application, could both actively provide
290 information as well as receive it. In this way, a public institution would already have a data base of
291 trees at risk of failure to commission a technician to perform a visual tree assessment (VTA)
292 analysis. The use of such technology could improve profitability and help foresters make economic
293 and environmental management decisions for treatment of individual trees and forest stands,

294 improve thinning and harvesting operations and efficiently allocating timber resources for optimal
295 utilisation (Proto et al., 2017).

296

297 **5. Conclusions**

298 The proposed system allows dendrometry information to be acquired with an error comparable to
299 the one of the devices used. The information can be georeferenced with using web-based
300 application (SmartTree). The system can be considered as a valid tool but with some limitations
301 due, for example, to the poor woodland management, low usability in coppices and the
302 impossibility of estimating heights in the presence of dense vegetation. Because the two cameras
303 were set to the automatic exposure mode, the resulting images were adapted to varying lighting
304 conditions acquired. However, the possibility of optimising the acquisition times in the forest, for
305 example by the estimation of diameters, allows the number of observations to be increase and
306 giving better approximations than traditionally methods using manual measurement that are also
307 subject errors or to the relascope. Finally, the application of the proposed technology has the
308 possibility to use the dendrometry data acquired by the georeferencing and the positioning of the
309 forest plants with the ultimate aim of geolocating the wood mass present in the examined area.

310

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320

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399 **Figure captions**

400 Fig. 1: Stereovision system consisting of two high resolution SLR cameras (Canon EOS 100D)
401 mounted on plates positioned on stiff rails and with horizontal tilt adjustment.

402

403 Fig. 2: Device for simultaneous shooting control over the two high resolution SLR cameras (Canon
404 EOS 100D) developed with an open source (Arduino) technology.

405

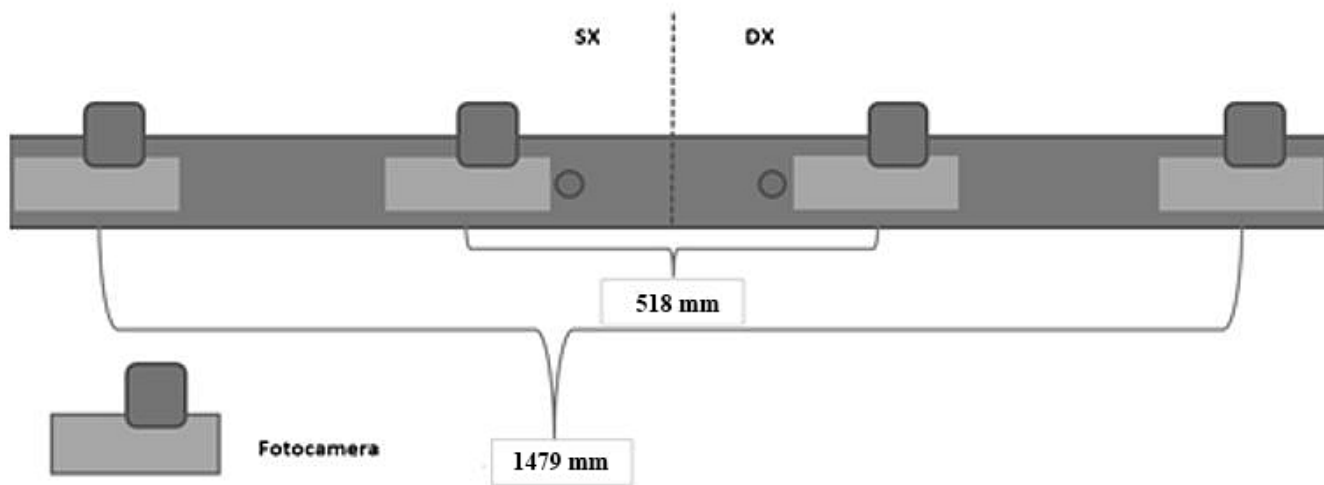
406 Fig. 3: Scatter plots for the estimation of the average percentage error between the observed and
407 estimated measurements by the stereovision algorithm, during training performed on 162 (A) and
408 on 384 (B) objects of known measurement (from 100 mm to 4500 mm placed at distances from 5 to
409 30 m) with focal length set at 18 mm and sensor distance equal to 1479 mm (A) and 518 mm (B).

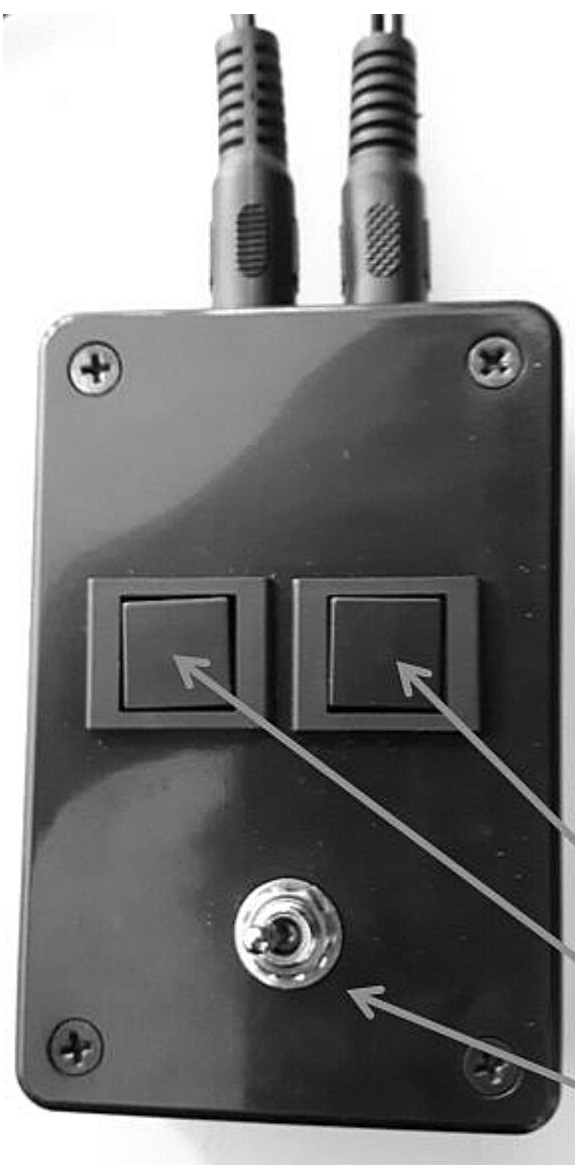
410

411 Fig. 4: Scatters plots of the testing phase. A) scatter plot of the differences between the 50 observed
412 heights (reference) and the heights measured with the laser rangefinder; the measurement error was
413 equal to $6.8 \pm 6.6\%$; B) scatter plot of the differences between the 50 observed heights (reference)
414 and the heights measured with the stereovision.

415

416 Fig. 5: Screen images from the SmartTree application developed in this study for georeferencing
417 and management of stereo images.



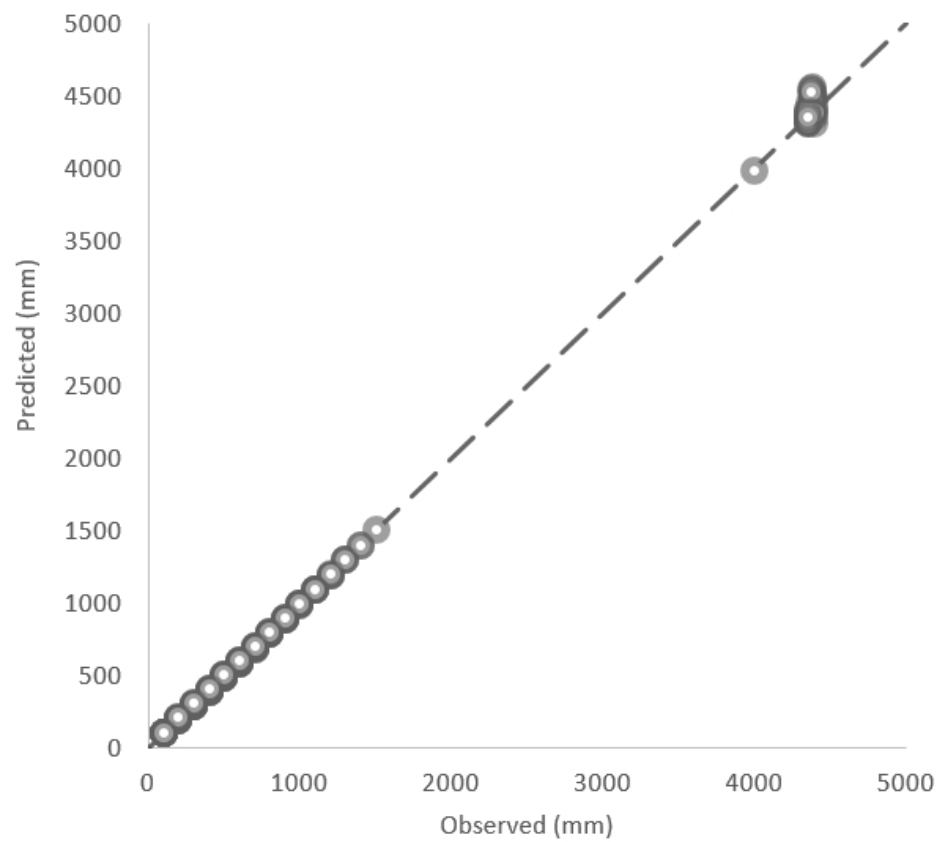


Shooting

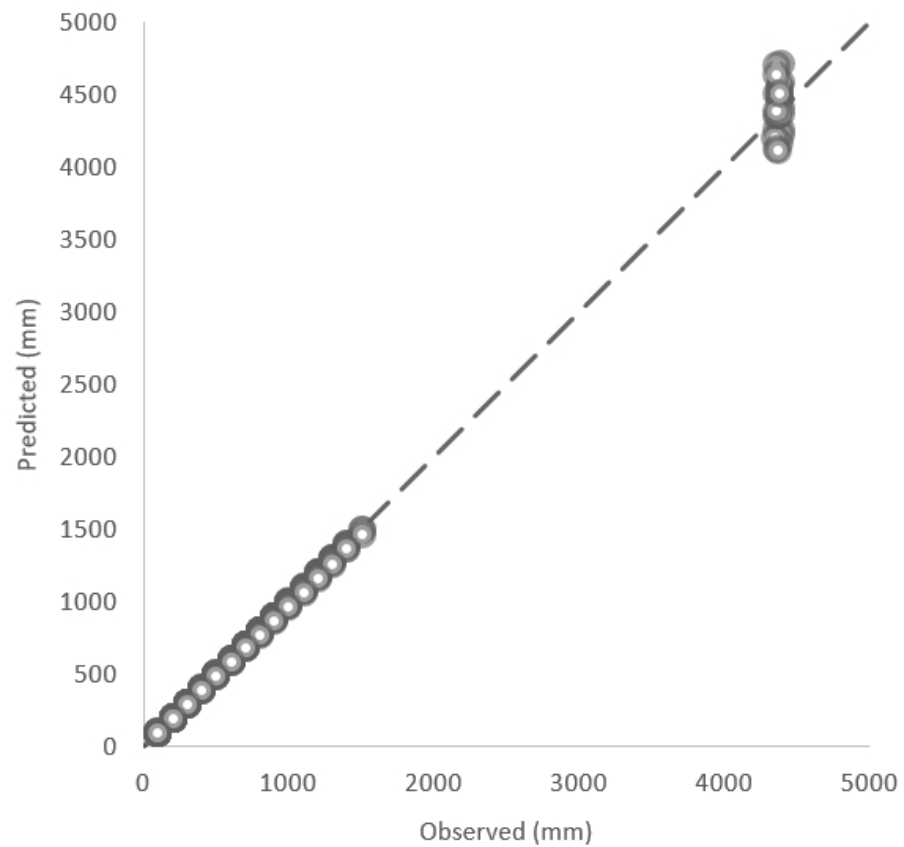
Focus

Selector for
continuous
shooting and
laying B (Bulb)

A) Training (Sensors distance 1479 mm)

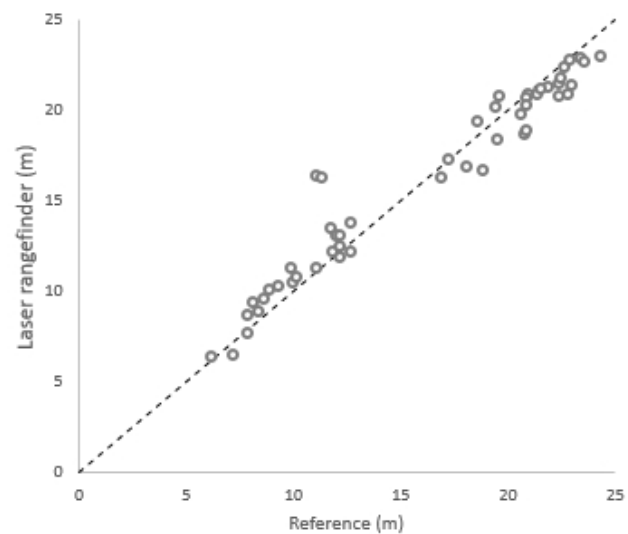


B) Training (Sensors distance 518 mm)

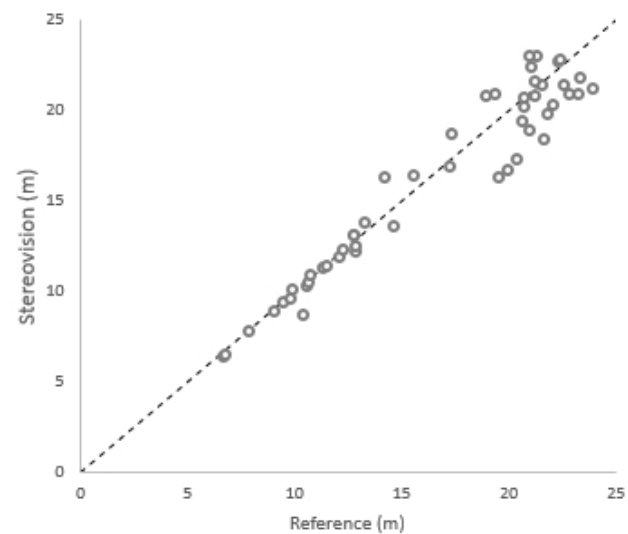


A)

Testing (heights)
Reference vs Laser rangefinder

**B)**

Testing (heights)
Reference vs Stereovision

**C)**

Testing (diameters)
Reference vs Stereovision

