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1 **Evaluating the effects of check dams on channel geometry, bed sediment size**  
2 **and riparian vegetation in Mediterranean mountain torrents**

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14  
15 **ABSTRACT**

16  
17 In mountain streams possible negative impacts of check dams on soil, water and riparian vegetation  
18 due to check dam installation can be noticed. In spite of the ample literature on the qualitative  
19 effects of engineering works on channel hydrology, morphology, sedimentary effects and riparian  
20 vegetation characteristics, quantitative evaluations of the changes induced by check dams on  
21 headwater dynamics are rare.

22 In order to fill this gap, this study has evaluated the effects of check dams located in headwaters of  
23 Calabria (Southern Italy) on hydrological and geomorphological processes and on the response of  
24 riparian vegetation to these actions. The analysis has compared physical and vegetation indicators in

25 transects identified around check dams (upstream and downstream) and far from their direct  
26 influence (control transects).

27 Check dams were found to influence significantly unit discharge, surface and subsurface sediments  
28 (both upstream and downstream), channel shape and transverse distribution of riparian vegetation  
29 (upstream) as well as cover and structure of riparian complexes (downstream). The actions of the  
30 structures on torrent longitudinal slope and biodiversity of vegetation were less significant. The  
31 differences on bed profile slope were significant only between upstream and downstream transects.  
32 The results of the Agglomerative Hierarchical Cluster analysis confirmed the substantial similarity  
33 between upstream and control transects, thus highlighting that the construction of check dams,  
34 needed to mitigate the hydro-geological risks, has not strongly influenced the torrent functioning  
35 and ecology before check dam construction. Moreover, simple and quantitative linkages between  
36 torrent hydraulics, geomorphology and vegetation characteristics exist in the analysed headwaters;  
37 these relationships among physical adjustments of channels and most of the resulting characteristics  
38 of the riparian vegetation are specific for the transect locations with respect of check dams.  
39 Conversely, the biodiversity of the riparian vegetation basically eludes any quantitative relations  
40 with the physical and other vegetal characteristics of the torrent transects.

41

42 **Keywords:** headwater; channel morphology; torrent hydraulics; bed profile; vegetation  
43 biodiversity; transects.

44

## 45 **1. INTRODUCTION**

46

47 Mountain streams, and particularly headwaters, are very delicate ecosystems, since they are affected  
48 by many disturbance factors, such as hydrological, geomorphological, and human impacts (Wohl,  
49 2017; Rodrigues et al., 2017). Furthermore, across diverse hydro-climatic regions, headwaters

50 exhibit high spatial and temporal variability, which strongly influences the river ecosystem  
51 (Marmontel et al., 2018).

52 Headwaters represent a natural hazard, because of the rapid increase in flow hydrographs, potential  
53 massive bedload transport and accelerated erosional processes that occur during high-magnitude  
54 flood events (Rickenmann, 1997; Galia and Škarpich, 2017). In Mediterranean zones, headwaters,  
55 in response to specific local conditions (e.g. frequent and intense rainstorms, small basins, steep  
56 slopes, Zema et al., 2014), are prone to high magnitude flash floods with high erosive power, often  
57 causing hydro-geological instability and disruption (Fortugno et al., 2017). In these contexts (such  
58 as in Southern Italy and Spain, as reported for instance by Bombino et al., 2007, and Boix-Fayos et  
59 al., 2007) the need to control and mitigate the hydro-geological risk has often forced local  
60 administrations to fund public works over the last 60–70 years for soil conservation strategies.

61 Two widely applied soil conservation strategies in Mediterranean environments are reforestation  
62 and the construction of check dams in rivers and streams (Boix-Fayos et al., 2008). Check-dams (in  
63 general less than 5 m high, Castillo et al., 2006) are built in staircase like sequences of cemented,  
64 boulder, or wooden grade-control structures (Lenzi and Conesa-García, 2013). The main purposes  
65 of check dams are to serve as small sediment storage structures and/or to add flow resistance  
66 (reducing channel slope and increasing bed roughness), in order to stabilize the channel bed and the  
67 adjacent hillsides (Conesa-García et al., 2007).

68 In mountain streams, where the human impact is low, the installation of check dams modifies the  
69 natural evolutionary processes and may have noticeable influences torrent hydro-morphology and  
70 eco-hydrology. More specifically, in order to establish equilibrium conditions in the torrents, check  
71 dams decrease the longitudinal profile slope, thus slowing water and sediment movement along  
72 stream channels (Bombino et al., 2009). This action plays local effects on cross section shape,  
73 surface and subsurface sediments, which, on their turn, reflect also on structure and cover of  
74 riparian vegetation and even on its biodiversity (Bombino et al., 2014). Moreover, these effects are

75 different between zones close to the check dams and those less disturbed (far from them) and  
76 between upstream and downstream of check dams.

77 However, possible negative impacts on sediment, water and vegetation due to check dam  
78 installation also in the less disturbed headwaters can be noticed. As a matter of fact, some authors  
79 state that check dams produce important negative effects like downstream scouring, which changes  
80 the stream channel, hydrological regime and morphology (e.g. Castillo et al. 2007; Boix-Fayos et al.  
81 2007; Conesa-García and García-Lorenzo, 2009; Ramos-Diez et al., 2016b; 2017). Moreover, check  
82 dams are usually not paid any further attention once they are built and their role and benefits are  
83 overlooked (Bombino et al., 2008; Ramos-Diez et al., 2016b). There is, thus, a need to evaluate the  
84 efficacy of these works and the evolution of regulated channels over time, especially in those  
85 projects in which the role of check dams is questioned (Ramos-Diez et al., 2016b).

86 Eminent and ample literature exists, which has widely debated the effects of check dams on  
87 hydrology (e.g. Norman et al., 2016; Guyassa et al., 2017), morphology (e.g. Boix-Fayos et al.,  
88 2007; Gao et al., 2016; Fortugno et al., 2017), sedimentary effects (e.g. Ramos-Diez et al., 2016a;  
89 2016b; 2017a; 2017b) and riparian vegetation characteristics (e.g. Bombino et al., 2006; 2008;  
90 2009; 2014). However, as far as now, the actual impact of check dams is still not well known  
91 (Castillo et al., 2007), because their effects on physical processes and vegetation characteristics in  
92 delicate environments, such as headwaters, are not yet completely understood or, at least, have often  
93 been studied disjointedly by qualitative approach based on field observations. In other terms, we  
94 feel the lack of an objective evaluation, which should measure the torrent response to the  
95 modifications induced by check dams on hydrological regimes, morphological changes,  
96 erosion/sedimentation processes and torrent ecology. As regards this latter, in order to better  
97 understand the global dynamics of headwaters (considering the large number of influencing  
98 variables), more attention should be paid to the effects of control works on riparian vegetation,  
99 being one of the most important indicators of soil quality, and river health and functioning. This  
100 evaluation is necessary in order to inform the development of design criteria minimising the check

101 dams impacts into fluvial dynamics and define the desired state after restoration, preliminary to the  
102 correct restoration project design (Henry and Amoros, 1996).

103 In order to accomplish this task, this study evaluates the effects played by check dams located in  
104 headwaters of Calabria (Southern Italy) on hydrological and geomorphological processes and the  
105 response of riparian vegetation to these processes. More specifically this paper: (i) analyses the  
106 differences between the indicators surveyed upstream, downstream and far from the local influence  
107 of check dams; (ii) looks for correlations between couples of indicators, able to quantify the  
108 reciprocal influence of an indicator on another; and (iii) identifies statistical similarities between  
109 such locations along the torrent. The quantitative results are explained by linkages with physical  
110 and vegetal processes acting in the studied reaches.

111

## 112 **2. STUDY WATERSHEDS**

113

114 The torrents of Calabria (Southern Italy) are steep, short and seasonally-flowing mountain streams  
115 with small catchments, locally known as *fiumara* (Bombino et al., 2009). These water courses have  
116 a torrential regime, whose response to the hydrological stress produces high-magnitude flash floods  
117 and erosive events (Fortugno et al., 2017).

118 The investigation was carried out in the mountainous reaches (“headwater”) of four fiumaras  
119 (Figure 1): Allaro (A), Torbido di Gioiosa (TG), which drain towards the south east, and Sant’Agata  
120 (SA) and Gallico (G), which drain towards the south west (Figure 1). The four torrents rise at over  
121 1200 m above sea level and flow through deep narrow valleys (mountain reaches) and wider  
122 floodplains downstream (valley reaches), discharging (main stream lengths from 17 to 26 km) into  
123 the Ionian (A, TG and SA) or Tyrrhenian sea (G). Their watershed areas vary from 56 (G) to 161  
124 (TG) km<sup>2</sup> (Table 1).

125 Many studies based on the “landscape ecology” approach (Allen and Hoekstra, 1992) and the “river  
126 continuum concept” (Raunkiaer, 1934), suggest a longitudinal subdivision of the river environment,

127 from the source to the mouth, in order to take into account the spatial and functional relationships  
128 between biotic and abiotic components of the water courses. The longitudinal subdivision of the  
129 river environment defines zones of homogenous conditions in terms of climatic (e.g. temperature  
130 and precipitation), geomorphological (e.g. channel geometry, hydrological regime, bed sediment  
131 size) and vegetal (e.g. floristic composition) factors (Bombino et al., 2006; 2007). This prior  
132 subdivision of the torrents identified "homogenous" mountain, middle and valley reaches. In order  
133 to control for factors other than the impact of engineering structures, the study was conducted  
134 within single mountainous homogeneous torrent reach (Bombino et al., 2008), hereinafter indicated  
135 as "headwaters".

136

### 137 *2.1. Climate and hydrology*

138

139 The region of Calabria is located in the extreme southern part of Italian peninsula. Its climate can be  
140 classified as Csa (mild temperate, dry and hot summer, in coastal zones) and Csb (mild temperate,  
141 dry and warm summer, in internal areas), according the Koppen-Geiger classification (Kottek et al.,  
142 2006). In the headwaters winters become very cold and summers are cool, with a total annual  
143 precipitation between 1351 (SA) to 1780 (A) and mean annual temperatures between 9.9 (G) and  
144 11.2 (A) °C (Table 1). Snow is common only in few days or one-two weeks throughout the year and  
145 mainly in the upper sub-reaches.

146 The mountain reaches are located in the same pluviometric region (Versace et al., 1989) and their  
147 small watersheds are fed by contributing areas of similar size and times of concentration (Table 1).

148 The flow regime (intermittent in the lower-order streams and perennial in the main reach) is  
149 controlled by sudden storms and, once every almost two or three years, by episodic and intense  
150 flash floods. In these streams flash floods with longer recurrence intervals (5-10 years or more)  
151 have the major effects on channel morphology and ecology; the lower discharges (recurrence time  
152 of 1.5 to 2 years) can be responsible for cross-section modelling and resulting landforms (Leopold

153 et al., 1964; Gregory and Walling, 1973; Richards, 1982) with no heavy geomorphic adjustments on  
154 bed longitudinal profile (Fortugno et al., 2017). However, extreme events (such as heavy flash  
155 floods with high recurrence times) thoroughly remodel the torrent morphology by transporting  
156 along the profile the sediment eroded on hillslopes and delivered to the channel.

157

## 158 2.2. Morphology, land use and soil

159

160 The mountain reaches of the four studied torrents are characterised by: (i) catchment areas between  
161 10 (TG) and 48 (A) km<sup>2</sup>; (ii) main channels from 3.7-km (TG) to 7.0-km (SA) long; (iii) narrow  
162 sections from 10.5-m (TG) to 34-m (G) wide; (iv) thalwegs with a mean longitudinal slope from  
163 9.2% (A) to 11.4% (SA) (with sub-reaches getting 20%) (Table 1).

164 According to the CLC classification of 2016, the land use of the four mountain reaches is  
165 predominantly forest (mainly *Fagus sylvatica* L., *Pinus nigra ssp. laricio var. calabrica* and *Abies*  
166 *alba* Mill.) and shrub, which covers from 77% (SA) to 93% (G) of the headwater area. Other land  
167 uses in the headwaters are intensive crops (on average 20% in the four basins area) and complex  
168 crop system (on average about 5%) (Table 1 and Figure 2).

169 Soils are mainly Humic Dystrudept in SA and G headwaters (56% and 47% of the area,  
170 respectively), Humic Lithic Dystruxerodept in "TG" (44%), and Dystrudepts in A (39%) (USDA  
171 Soil Taxonomy classification, 1999). Other soils of the four headwaters are Typic Dystrudepts and  
172 Humic Dystruxerodept. Bed surface material has a median particle size typically 84 (A) to 92 (SA)  
173 mm (Table 1 and Figure 2). As lithologic aspects, the upper part of the studied headwaters mainly  
174 consist of Micaschist, paragneiss, phyllite and marbles (in TG and A) and granites/granodiorites  
175 rocks (in SA and G), which are altered, cracked and easily erodible (Sorrison-Valvo, 1993).

176



177 *2.3. History of watershed management*

178

179 The management strategy adopted in the majority of torrents of Calabria provided, beside heavy  
180 reforestation works, the construction of series of check dams at the middle of the twentieth century,  
181 in order to primarily stabilize the longitudinal bed profile by graded sub-reaches (with a  
182 longitudinal slope lower than the original profile) and secondarily consolidating torrent banks from  
183 lateral erosion (Fortugno et al., 2017).

184 Currently, the main channel of the studied headwater shows the presence of 5 (headwater "TG") to  
185 20 (G) staggered check dams (from 2.5-m to 10-m high). The structures are made of concrete or  
186 stone and concrete and at present are almost completely filled up with sediments. At a later date  
187 (predominantly the 1970s), additional smaller check dams (about 3-m high) were built to prevent  
188 local scouring downstream of the existing check dams. A series of sediment collectors was created  
189 and, in successive floods, the channel bed immediately upstream of the check dams was filled. As a  
190 result, the studied check dams were completely silted up and their sediment storage capacity is now  
191 depleted; at present they show well-developed sediment and scour wedges (Zema et al., 2014).

192 In spite of the extreme floods occurred in the last 60 years, no disruptive effects (due to water and  
193 sediment transport downstream) on valley zones were recorded in the four regulated watersheds;  
194 conversely, in the flood of 1951 (immediately before check dam installation) entire villages were  
195 destroyed with some fatalities (Fortugno et al., 2017).

196

197 **3. METHODS**

198

199 *3.1. Identification of check dams and survey transects*

200

201 In each headwater of the four torrents five check-dams, ranging in height from 3 to 4.5 m, were  
202 selected. All were sufficiently old (40÷50 years) for their impact on the river channel morphology

203 and longitudinal slope (with respect to conditions before check dam construction) as well as riparian  
204 vegetation to be fully developed.

205 Following the approach proposed by Bombino et al. (2006), five reaches (one for each headwater,  
206 containing a check dam) were identified and studied. In every reach three transects were located, of  
207 which two positioned in proximity from check-dams (upstream and downstream) and the third one  
208 positioned in an intermediate zone between the dams (assumed as control zone). Upstream  
209 (henceforth indicated by the letter U) and downstream (D) transects were located at the height of the  
210 check dam away from the dam, while the minimum distance of the intermediate transect from the  
211 dam was defined by the siltation basin. The intermediate transects represent sites less disturbed by  
212 the presence of the check dams and thus were assumed as control (and henceforth indicated by the  
213 letter C), since they were positioned at sufficient distance and the local influence of the check dam  
214 on the channel can be considered negligible (Bombino et al., 2006). In other words, between two  
215 consecutive check dams, the C transect was located within the sub-reach confined between the  
216 upper limit of the sediment wedge of the downstream check dam and the lower limit of the incised  
217 channel of the upstream structure, where the local effects are less evident.

218

### 219 *3.2. Selection of physical and vegetation indicators*

220

221 At each transect we studied physical and vegetation local characteristics of the channel. As physical  
222 characteristics, we considered channel morphology, hydraulic regime of the water stream and bed  
223 (surface and sub-surface) sediment parameters, while, as vegetation characteristics, development  
224 (that is, extension, structure and spatial distribution) and biodiversity (that is, species richness,  
225 relative abundance and degree of integrity) of the riparian vegetation were focused (Table 2). In  
226 order to give a quantitative approach to our study, these physical and vegetation characteristics were  
227 studied through as many synthetic but representative parameters (henceforth indicated, in general  
228 terms, as "indicators"), calculated based on field surveys and measurements (Table 2).

229 For active channel morphology indicators, the “width to depth ratio” ( $w/d$ , [ $m\ m^{-1}$ ]) of the transect  
230 section and the local slope (LS, [%]) of the longitudinal profile of the thalweg were chosen. The  
231 “w/d” indicator must be considered as a parameter indicative of the transect shape, because it is  
232 lower in narrower and deeper cross sections (V-shaped, typical of downstream transects) and larger  
233 and less incise channels (U-shaped, typical of upstream transects) (Conesa-García and García-  
234 Lorenzo, 2010). As indicator of the torrent hydraulic regime, the discharge ( $q$ ) per unit width  
235 required to fill the active channel (henceforth called “specific discharge”) was identified.

236 The characteristics of the torrent bed material were studied adopting as indicators the median  
237 particle size of surface sediments ( $SD_{50}$ , [mm]) and the percentage of subsurface finer sediment  
238 (SSF, [%]). This latter was taken into account, because of the importance of finer sediments for  
239 moisture retention (e.g. Hillel, 1982) in sub-surface of beds of these ephemeral-flowing water  
240 courses; here, the main root zone of many riparian species develops.

241 As regards the indicators related to the riparian vegetation, its extension and structure were  
242 measured by the two synthetic parameters proposed by Bombino et al. (2006), the Global Canopy  
243 Cover (GCC, [%]) and the Weighted Canopy Height (WCH, [m]). In summary, GCC is the sum of  
244 the percent cover of the herbaceous, shrub and tree layers multiplied, respectively, by 1/6, 2/6 and  
245 3/6 to take into account the ecological importance of each layer. GCC values fall in the range 0% to  
246 100%. WCH is defined as the sum of the products of the average height of the individual layers  
247 (herbaceous, shrub, tree) and the corresponding canopy cover. The spatial distribution of the  
248 riparian vegetation was measured by the Coefficients of Variation of the two parameters above  
249 mentioned ( $CV_{GCC}$ , [%],  $CV_{WCH}$ , [%]), which explain the transverse variability of extension and  
250 structure; more specifically, if  $CV_{GCC}$  and  $CV_{WCH}$  are close to zero, there is a noticeable uniformity  
251 in the vegetation cover and height in the cross section, while, if these coefficients are high (100% or  
252 more) the extension and structure of riparian vegetation along the transect must be considered less  
253 or non-uniform.

254 As outlined above, the biodiversity of riparian vegetation was quantified by species richness,  
255 relative abundance and degree of integrity of the riparian vegetation. In order to quantify these  
256 characteristics the following indicators were adopted:

- 257 - the  $\alpha$ -diversity index ( $H_\alpha$ ), proposed by Hill (1973), which measures the species richness for  $\alpha = 0$   
258 and the relative abundance for  $\alpha = 2$  (more specifically,  $H_0$  increases with species richness, while  $H_2$   
259 is close to zero in the case of dominance of a few species and is equal to one when plants are  
260 equally distributed among the different species within the surveyed area, i.e. in the case of species  
261 evenness) (Simpson, 1949);
- 262 - the ratio ( $N_{sA}/N_{sN}$ ) between the number of alien (A) and native (N) species in each transect, which  
263 measures the degree of integrity of vegetation.

264

### 265 *3.3. Survey and analysis methods*

266

267 In order to calculate the above mentioned indicators, field surveys were carried out in the studied  
268 headwaters at U, D and C transects at different date during a calendar year. Prior of the  
269 measurements of channel morphology and hydraulics, the limits of the 'active channel' - which in  
270 these ephemeral systems includes the contemporary riparian zone (ecotone) - were identified. To  
271 this aim, the following indicators were adopted: a change in vegetation from riparian to terrestrial  
272 species (this was usually clearly defined by changes in the tree species); distinct breaks in slope  
273 and/or particle size; the position of scour or trash lines (Figure 2). The most consistent measure  
274 proved to be the change in vegetation species and this was refined using the other indicators  
275 wherever they were identified (Bombino et al., 2009).

276 The width ( $w$ ) and maximum depth ( $d$ ) of the active channel were measured in correspondence to  
277 the hypothetic free surface of the torrent stream and then the  $w/d$  ratio was calculated. The local  
278 slope ( $LS$ ) of the channel longitudinal profile was measured by a total station (Pentax R-400 model).  
279 The hydraulic indicator  $q$  was calculated by the following equation:

280

$$281 \quad q = Q / \bar{w} \text{ [m}^3 \text{ s}^{-1} \text{ m}^{-1}] \quad [1]$$

282

283 where  $\bar{w}$  [m] is the ‘mean’ width of the transect and  $Q$  [m<sup>3</sup> s<sup>-1</sup>] is the uniform flow of the channel,  
284 calculated by Manning's equation. On its turn,  $\bar{w}$  was calculated as the ratio between the cross-  
285 sectional area of the active channel ( $A$ , [m<sup>2</sup>]) and its maximum depth ( $d$ , [m]) and the roughness  
286 coefficient ( $n$ ) of Manning's equation was calculated from field estimates (Figure 2), based on the  
287 values for river channels suggested by Chow (1959). The flow level entering Manning's equation  
288 was considered to be equal to the maximum depth ( $d$ ) of the active channel.

289 Postponing detailed information about sediment analysis to previous works (Bombino et al., 2008),  
290 the indicators related to channel bed sediments were evaluated by two distinct methods as  
291 summarised below.

292 The surface bed material was sampled in situ every 50÷60 cm along each transect. On each sample  
293 the photographic technique (Adams, 1979) was used to measure the intermediate B-axis of particles  
294 larger than 2 mm, since sediments were quite coarse and then this technique was considered suitable.  
295 From the particle distribution obtained for each sample, the median particle size of surface bed  
296 material ( $SD_{50}$ , [mm]) was calculated for each transect (Bombino et al., 2008). Zones with dense  
297 vegetation were excluded from positions of photographs. In such occasions, we moved slightly  
298 downstream or upstream of this position.

299 As regards the sub-surface sediments, the samples (four in the U transects, and two in each D and C  
300 transects, given the lower width of these latter) were taken at 0.30-m depth, in which the main root  
301 zone of many riparian species develops. In the laboratory, the finer sediment fraction (less than 0.25  
302 mm) was separated from the bulk sample by sieving and was expressed as the percentage of the  
303 finer sediment by weight. The average percentage of subsurface fine sediment (SSF, [%]) was  
304 calculated for each transect.

305 The vegetation complexes were classified in terrestrial and riparian species according to their  
306 floristic composition and based on the ecological habitus of each species. More information about  
307 the species detected in the studied watersheds can be found in a previous paper of the same authors  
308 (Bombino et al., 2014). To calculate the indicators related to characteristics of riparian vegetation,  
309 sample areas were identified across the transects. At each sample area (with a minimum  
310 representative area of 50 m<sup>2</sup>) vegetation parameters (number of species and plants for both N and A  
311 species, canopy cover and height of each species) were surveyed according to the methods  
312 developed by Bombino et al. (2006).

313 As regards the biodiversity indicators, the values of  $H_0$  (measure of the species richness) and  $H_2$   
314 (dominance index) were calculated using Rényi's function (O'Neill et al., 1988; Li and Reynolds,  
315 1993) applied to the frequency of plants belonging to each species found in each transects. The  
316  $N_{SA}/N_{SN}$  parameter (indicator of the degree of integrity of vegetation) was simply the ratio between  
317 the number of alien (A) and native (N) species surveyed in each transect. Both exotic species and  
318 the terrestrial complexes falling within the active channel were considered among the alien species.  
319 All the vegetation surveys were carried out in the same season in all the studied watersheds, in order  
320 to avoid seasonal differences in development and composition of riparian vegetation (mainly for the  
321 herbaceous species).

322

#### 323 *3.4. Data processing techniques*

324

325 Prior to apply other processing techniques, the statistical significance of indicators calculated in U,  
326 D and C transects were investigated by Kruskal-Wallis tests (a non-parametric alternative to  
327 analysis of variance) followed by multiple pairwise comparisons using Dunn's procedure with  
328 Bonferroni's correction for the significance level for the pairwise comparisons. To differentiate the  
329 levels of significance, both  $p < 0.01$  and  $p < 0.05$  were adopted.

330 Then, in order to identify possible mathematical relationships between couples of physical and  
 331 vegetation indicators, Pearson's correlation matrix was computed based on their current values  
 332 regardless the transect group (that is, without considering whether an indicator relates to U, D or a  
 333 C transect. Preliminarily, the indicators were standardised by converting data to zero mean and unit  
 334 variance. The correlation coefficients (r) measured the explanatory capacity of the linear regressions.  
 335 Finally, Agglomerative Hierarchical Cluster (AHC) analysis was applied to the indicators, in order  
 336 to find groups of transects with similar characteristics. AHC is a distribution-free ordination  
 337 technique used to group features (in our case the transects) in clusters with as much similar  
 338 characteristics (in our case the indicators). As similarity measure between two transects i and j,  
 339 Pearson's correlation distance  $D(X_i, X_j)$  was used, calculated by the following expression:

$$340 \quad D(X_i, X_j) = \frac{1 - r_{ij}}{2} \quad [2]$$

341 where:

$$342 \quad r_{ij} = \frac{\sum_{k=1}^N (x_{ik} - \bar{x}_i)(x_{jk} - \bar{x}_j)}{\sqrt{\sum_{k=1}^N (x_{ik} - \bar{x}_i)^2 \sum_{k=1}^N (x_{jk} - \bar{x}_j)^2}} \quad [3]$$

343 being:

- 344 -  $r_{ij}$  the Pearson's correlation coefficient between the transects i and j;
- 345 -  $X_i$  and  $X_j$  the vectors of the indicators for the transects i and j;
- 346 -  $x_{ik}$  and  $x_{jk}$  the indicators k of the transects i and j;
- 347 -  $\bar{x}_i$  and  $\bar{x}_j$  the arithmetic means of the indicators  $x_{ik}$  and  $x_{jk}$ ;
- 348 - N the number of the indicators.

349 Transects grouping through AHC analysis were reported in a dendrogram. The vertical axis of the  
 350 dendrogram indicates the distance or dissimilarity between clusters, whereas the horizontal axis  
 351 represents the different transects. Each vertical line indicate a cluster of transects. The entropy  
 352 criterion was chosen in order to identify the number of similar clusters of transects, shown in the

353 dendrogram by the position of the dotted horizontal line on the vertical axis (clustering level, Zema  
354 et al., 2015).

355 All statistical analyses were performed using XLSTAT<sup>®</sup> release 2017.

356

## 357 **4. RESULTS**

358

### 359 *4.1. Effects of check dams on physical indicators*

360

361 Upstream of check dams the transects showed a w/d ratios on the average about 3-fold and 4-fold  
362 the values measured in downstream and control zones, respectively: in U sub-reaches the channel  
363 width was 20-fold its depth and this ratio decreased to 7.6 and 5.0 in C and D transects, respectively  
364 (Figure 3). Compared to the conditions before their construction, the transects widths were  
365 increased on average by 50-60% upstream and reduced by 20-25% downstream of the check dams,  
366 respectively.

367 Due to check dam installation, the longitudinal slope (LS) of the channel, close on the average to  
368 2.3% in C zones (undisturbed reaches), decreased to 1.8% in the upstream transects and increased to  
369 3.2% downstream: on the average, the longitudinal profile in D sub-reaches was steeper by 36.4%  
370 than U zones (Figure 3). As shown by Kruskal-Wallis test, channel shape (indicated by w/d) of U  
371 transects was significantly different (at  $p < 0.01$ ) from D and C zones; the local longitudinal slope  
372 (LS) was significantly different (at  $p < 0.01$ ) only between U and D transects (Table 3).

373 The specific discharge per unit width ( $q$ ) filling the active channel, estimated by Manning's  
374 equation, was significantly different for the three groups of transects, since the active channel width  
375 ( $w$ ) and depth noticeably changes among U, D and C reaches (Table 3). In U and D transects  $q$  was  
376 on the average one third and 2-fold, respectively, the value estimated in C transects (Figure 3).

377 In C transects the median diameter of bed surface material ( $SD_{50}$ ) and the mean content of sub-  
378 surface finer sediment (SSF) were 42.1 mm and 22.9%, respectively. As expected, mean  $SD_{50}$



379 became lower in U transects (29.2 mm) and higher in D sub-reaches (92.2 mm), while mean SSF  
380 increased upstream of check dams (31.5%) and decreased downstream (14.3%) (Figure 3). The  
381 differences for the surface and subsurface sediment indicators were significant at  $p < 0.01$  among  
382 all groups of transects (Table 3).

383  
384 *4.2. The response of the riparian vegetation to the presence of check dams*

385  
386 Weighted cover (GCC) and height (WCH) of riparian vegetation, representative of the whole  
387 transects, were on the average 55.4% and 3.1 m in U transects, 38.8% and 2.1 m in D zones and  
388 49.9% and 2.7 m in C sub-reaches. Compared to these latter undisturbed zones, the extent of  
389 riparian vegetation was higher (by 11.0%) in the U transects (but not significantly different at  $p <$   
390  $0.01$ ) and lower (by 22.2% and in this case significantly different) in the D zones. Also the structure  
391 of riparian complexes was found to be higher in U sub-reaches (+15.2%, but not significantly  
392 different,  $p < 0.01$ , from C transects) and significantly lower (-24.2%) in D zones (Figure 3 and  
393 Table 3).

394 In the C sub-reaches mean  $CV_{GCC}$  of 32.7% and  $CV_{WCH}$  of 28.8% were detected; in U transects  
395  $CV_{GCC}$  and  $CV_{WCH}$  were halved (15.7% and 14.4%, respectively), while, in D zones,  $CV_{GCC}$  was  
396 lower (26.9%) and  $CV_{WCH}$  was higher (33.8%). The differences in transverse distribution of riparian  
397 vegetation along the transects were significant ( $p < 0.01$ ) between U zones and the other groups of  
398 transects (D and C), but not between D and C zones (Figure 3 and Table 3).

399 As regards the biodiversity of riparian vegetation, U and C transects showed the same species  
400 richness (mean  $H_0$  of 3.4 and 3.2, respectively) and relative abundance (mean  $H_2$  of 3.0 and 2.9);  
401 lower values were measured for D sub-reaches ( $H_0 = 2.9$  and  $H_2 = 2.5$ ). The degree of integrity of  
402 vegetation ( $N_{SA}/N_{SN}$ ) was on the average higher in U transects (0.21) and lower in D zones (0.08)  
403 compared to the C sub-reaches (0.17). The differences in the biodiversity indicators were significant

404 only for the  $N_{s_A}/N_{s_N}$  indicator and only between D transects and the two other groups (U and C)  
405 (Figure 3 and Table 3).

406

#### 407 *4.3. Relationships between vegetation and physical properties*

408

409 Pearson's correlation matrix showed interesting quantitative associations both across categories  
410 (hydro-morphology, sediment and vegetation) of indicators surveyed in the transects and, within  
411 each category, among couples of individual indicators; the most important mathematical  
412 relationships are summarised below.

413 As regards the associations between physical indicators - always significant at  $p < 0.01$  ( $|r| > 0.518$ ),  
414 except for the association LS - w/d, - we found that q was inversely correlated ( $r = -0.729$ ) to w/ and  
415  $SD_{50}$  was directly correlated with q ( $r = 0.775$ ); reverse correlation was found between SSF and q ( $r$   
416  $= -0.776$ ) and direct between SSF and w/d ( $r = 0.836$ ) (Table 4).

417 Concerning the quantitative relationships among the characteristics of the riparian vegetation and  
418 the physical indicators, we found: (i) significant correlations between GCC, WHC and their CVs on  
419 one hand and w/d ( $|r| > 0.752$ ) on the other hand; (ii) weaker correlations between the vegetation  
420 indicators and q, but always significant ( $|r| > 0.530$ ), except for the correlation  $CV_{GCC}$  - LS ( $r =$   
421  $0.421$ ); (iii) fair correlations between GCC ( $r = 0.814$ ), WCH ( $r = 0.821$ ),  $CV_{GCC}$  ( $r = -0.814$ ) and  
422  $CV_{GCC}$  ( $r = -0.632$ ) with SSF; (iv) the weakest correlations among the vegetation characteristics and  
423  $SD_{50}$  ( $|r| < 0.649$ ). No correlation was found between  $CV_{GCC}$  and  $SD_{50}$  ( $r = 0.337$ ) (Table 4). The  
424 quantitative association among the biodiversity parameters and the other (physical and vegetal)  
425 indicators were always weak ( $|r| < 0.419$ ), except for some relationships between  $N_{s_A}/N_{s_N}$  on one  
426 side and q,  $SD_{50}$ , SSF,  $H_0$  and  $H_2$  on the other side ( $|r| < 0.523$ ) (Table 4).

427

428 *4.4. Analysis of similarities among groups of transects*

429

430 AHC analysis identified three groups of transects by a high level of similarity. The first group,  
431 indicated as C<sub>1</sub> in Figure 4, included all U and C transects, but also six D sub-reaches; the majority  
432 of D transects (globally 14 out of 20) fell into the two other groups (C<sub>2</sub> and C<sub>3</sub>) (Figure 4).

433

## 434 **5. DISCUSSIONS**

435

436 Our study compared the hydro-morphological and sediment calibre indicators (w/d, LS, q and SD<sub>50</sub>,  
437 SSF) surveyed close to the check dams - immediately upstream and downstream - with those  
438 measured in less disturbed zones (assumed as control sub-reaches). These comparisons confirmed  
439 by a quantitative approach where and how much the control works significantly change cross  
440 section shape, hydraulic regime and bed sediment characteristics of headwaters. A conceptual  
441 scheme of the channel evolution and vegetation dynamics of Mediterranean headwaters regulated  
442 by check dams (before and after construction) is sketched in Figure 5. Below the physical and  
443 ecological processes governing this headwater dynamics are analysed and explained by a  
444 quantitative approach.

445 First of all, as regards the channel morphologic adjustments, upstream of check dams, thanks to the  
446 sediment accumulation behind the wall, the bed longitudinal profile aggradates (lower LS), the  
447 cross section enlarges (higher w) and the water stream is laminated (lower d) (Simons 1979;  
448 Leopold et al. 1964; Shieh et al., 2007). These results match findings of the previous study of Zema  
449 et al. (2014), who, carrying out a morphological and sedimentary analysis close to 10 check dams  
450 installed in one (Sant'Agata torrent) of the same headwaters, noted that the control works play a  
451 function of bed stabilization (due to the longitudinal slope reduction) and flood lamination (because  
452 of the wider channel section). Also Liu (1992) reported that the stream reaches behind each check  
453 dam increase in width. According to the same Author, theoretically the stream power is positively

454 related to channel gradient and flood discharge, but negatively related to channel width. Therefore,  
455 after the installation of these check dams, the stream power is significantly reduced. The capacity  
456 for sediment transport is also decreased and channel erosion is prevented (Liu, 1992).

457 Conversely, downstream of the check dams, the erosive power of the stream scours and shrinks the  
458 channel, which shows a more incised and narrower section (higher  $d$  and lower  $w$ ), leading locally  
459 to a steeper longitudinal profile (higher  $LS$ ). Also Boix-Fayos et al. (2007) found downstream of the  
460 check dams lower width/depth ratio than upstream and fine sediments and vegetation present only  
461 on the flood plains or bars. Low width/depth ratios indicate erosion downstream of the check dam,  
462 due to the high erosive power of water without sediment (which has been trapped by the structure)  
463 and to the erosive power of the water falling from the top of the dam (Boix-Fayos et al., 2007).

464 Furthermore, the contrasting processes acting upstream and downstream of check dams were  
465 highlighted by Hooke and Mant (2000), which showed an increase in channel erosion downstream  
466 and, in contrast, depositional processes occurring upstream. In these latter sites sedimentary wedges  
467 develop up to the achievement of the lower equilibrium channel slope and lead to a local decrease  
468 in  $SD_{50}$  of the surface bed sediments (Galia et al., 2016; Boix-Fayos et al., 2007). Overall, the  
469 sequences of bed sills and check dams decelerate or interrupt the sediment connectivity, especially  
470 coarser bed fractions. This often implies the downstream narrowing and incision of the channel and  
471 the downstream coarsening of the bed sediments (Gaudio et al., 2000; Martín-Vide and Andreatta,  
472 2009; Wohl et al., 2013; Galia et al., 2016).

473 These morphologic changes affect channel hydraulics, since, upstream of check dams, water flows  
474 through larger cross sections (thus  $q$  increases), while, downstream, the stream is concentrated  
475 (lower  $q$ ) in an incised thalweg. The changes in morphology and hydraulics of torrents determined  
476 by the presence of the check dams explain the significant inverse correlation between  $w/d$  and  $q$  and  
477 the direct correlation between  $q$  and  $LS$  shown by Pearson's matrix, detected in our study.

478 The adjustments of torrent morphology and hydraulic regime, induced by the check dams, influence  
479 also the surface and subsurface sediment size. These hydrological and geomorphic processes

480 explain the significant correlations found by this study among hydrological and geomorphological  
481 indicators ( $w/d$ ,  $LS$  and  $q$ ) on one hand and sediment grain size characteristics ( $SD_{50}$  and  $SSF$ )  
482 resulting from the actions of check dams on torrent headwaters.

483 Many authors previously observed that the check dams, slowing down the water stream and  
484 inducing sedimentation of the finer material upstream of the check dam, interrupt the natural  
485 sediment transport; as a consequence, the under-saturated water flow increases its erosive capacity  
486 and induces bed scouring downstream (Conesa-García and García-Lorenzo 2010; Bombino et al.  
487 2008; Conesa-García et al. 2007; Lenzi et al. 2003; Shieh et al., 2007). These processes comply  
488 with the results of our study, since, as explained above, upstream of check dams, water stream flows  
489 in enlarged cross sections (higher  $w/d$ ) of channels with gentler slopes (lower  $LS$ ) and reduced unit  
490 discharge (lower  $q$ ); therefore water velocity is slower and part of the kinetic energy of the stream is  
491 reduced, which encourages sedimentation of finer particles (higher  $SSF$ ) in the sediment wedge  
492 behind the wall. Since part of these sediments is trapped in the coarser fractions of channel bed, it  
493 results a lower caliber of surface sediments (lower  $SD_{50}$ ). In the wider and flatter reaches upstream  
494 of each check dam, the depositional surface behind the wall is relatively stable when the storage  
495 capacity is filled (Liu, 1992), as in the case of the check dams surveyed in our study (Zema et al.,  
496 2014; Fortugno et al., 2017).

497 Conversely, downstream of check dams, the investigation evidenced that the water stream is more  
498 concentrated (higher  $q$ ), but it is also more limpid, since it has lost much of the sediment load  
499 (deposited upstream, since over-saturated); thus, since its erosive power is increased, channel bed is  
500 scoured and narrowed (lower  $w/d$ ) and its slope is steeper (higher  $LS$ ). In these downstream sub-  
501 reaches, the erosion process mobilizes part of the bed material, which loses its finer fraction (lower  
502  $SSF$ ) and thus becomes coarser (higher  $SD_{50}$ ). Findings of our study fit other literature experiences.  
503 For instance, in ephemeral streams of South-East Spain Boix-Fayos et al. (2007) found upstream of  
504 check dams very fine sediments ( $SD_{50} < 1$  mm), while the  $SD_{50}$  downstream of most of the check  
505 dams was between 20 and 200 times coarser than upstream; occasionally very fine sediments ( $SD_{50}$

506 < 2 mm) also appeared downstream of some check dams. Also in these ephemeral streams the  
507 coarsening probably resulted from a selective scour of fine sediments: owing to reduced transport  
508 capacity in sedimentary wedges, downstream sediment supply decreased (Galia et al., 2016). This  
509 alteration of sediment transport connectivity most likely caused the selective scouring of fine  
510 particles from the channel bed, which prevented the systematic downstream fining of the sediment  
511 calibres (Galia and Škarpich, 2016). Bed armouring may explain also the higher LS detected in the  
512 D reaches compared to both U and D transects. In fact, the higher sediment size prevents the  
513 “rotation” of the longitudinal profile between two consecutive check dams, due to scouring  
514 downstream and sedimentation in the upstream wedge after check dam installation. Moreover, bed  
515 armouring leads to a decrease in flow velocity due to the higher channel bed roughness; however,  
516 this effect can not compensate the increase of flow velocity due to the steeper longitudinal slope and  
517 channel narrowing.

518 Construction of a check dam not only changes bed slope and channel shape as well as flow regime,  
519 but also alters habitat form and structure, impacting river ecology (Winston et al., 1991; Franklin et  
520 al., 1995; Shieh et al., 2007). Land terracing and check dams stabilize hillslopes and slow down  
521 erosion, thus enabling ecological recovery, because of the increased probability of vegetation  
522 becoming established (Mongi-Manso et al., 2016).

523 In the studied headwaters, as it will be shown below, the characteristics of riparian plant  
524 communities may be affected according to the prevailing geomorphic processes (Steiger et al.,  
525 2005), and can be quantitatively linked to the morphological, hydraulic and sediment characteristics  
526 of the channel. However, in our study, in spite of the noticeable effects played on the physical  
527 parameters of the active channel, check dams have not altered significantly the characteristics of  
528 riparian vegetation upstream of the control structures, except for its transverse uniformity (lower  
529  $CV_{GCC}$  and  $CV_{WCH}$ ). In other words, it is true that higher cover and height of vegetation were found  
530 compared to less disturbed zones (as already demonstrated in other investigations, Bombino et al.,  
531 2008; 2009), but the most evident effect directly linked to the installation of check dams seemed to

532 be water stream lamination, which reduced the hydraulic strength and thus made more uniform  
533 water stress on the vegetation across the transect, compared to the undisturbed zones: higher width  
534 to depth ratios, lower unit discharges and finer bed sediments - all significantly different between U  
535 and C zones - provided more favourable edaphic conditions and a lower disturbance across the  
536 transects, in which vegetation can develop with lower spatial variability (Bombino et al., 2009).  
537 Overall, upstream of check dams, finer sediment is retained, generating opportunities for vegetation  
538 to re-establish as a result of sediment retention and slope stabilization (Ramos-Diez et al., 2016b).  
539 Moreover, in the same environment as our study, these latter authors and Navarro et al. (2014)  
540 observed that higher vegetation cover stabilised substrate and reduced erosion processes to  
541 minimum values in both areas under study with respect to the bare land.

542 Conversely, in the transects located downstream of the check dams the extent and development of  
543 riparian vegetation is significantly lower with respect to control zones, which may be mainly  
544 explained by the significant effects measured in the studied headwaters, as: the extirpation  
545 phenomena exerted by the water stream (due to the higher  $q$ ) and the removal of the sub-surface  
546 finer sediment (shown by the lower SSF due to the higher  $SD_{50}$ ). This latter effect was also noticed  
547 by Bombino et al. (2008) in an investigation on one of the studied fiumaras (Sant'Agata torrent),  
548 and by Boix-Fayos et al. (2007), who in their study torrents of SE Spain observed a general pattern  
549 of armouring of the channel locally downstream of check dams.

550 In our study, the biodiversity of riparian vegetation seemed to be much less influenced than the  
551 other vegetal characteristics by the changes in torrent morphology, hydraulic regime and sediment  
552 characteristics due to the check dam presence. As a matter of fact, the extent of the surveyed  
553 changes were not of the same order of magnitude as the geomorphic and hydrologic adjustments  
554 recorded in the studied channels, in spite of the higher species richness and evenness observed  
555 upstream of check dams and, on the contrary, the parallel decrease detected downstream. Only the  
556 incidence of alien species on the native ones (that is, higher  $N_{SA}/N_{SN}$  upstream of the check dams  
557 and lower values of this parameter downstream) was found significantly different between D

558 transects and the other headwater zones: this was ascribed by Bombino et al. (2014) to the terrestrial  
559 character that the riparian vegetation assumes in these local contexts, where some herbaceous  
560 species of the riparian environment (typical of the undisturbed transects) are lost at the early growth  
561 stage, due to the action of the erosive stream power (significantly higher  $q$  compared to C transects).  
562 As regards the similarity between groups of transects, AHC analysis revealed strong similarities  
563 between upstream and control sub-reaches; this was demonstrated by the fact that all U and C  
564 transects fall in the first cluster ( $C_1$ ), which includes also six D transects (Figure 4); these latter  
565 transects are those characterised by the lowest values of  $PC_1$ , therefore showing physical and  
566 vegetal characteristics more similar to other transect groups. The remaining D transects fall in two  
567 other clusters ( $C_2$  and  $C_3$ ), but two transects of cluster  $C_3$  (SAD1 and GD1) show extreme values of  
568 some indicators (namely  $SD_{50}$ ,  $SSF$ ,  $WCH$ ,  $CV_{GCC}$  and  $N_{s_A}/N_{s_N}$ ), which are discriminated from all  
569 the other transects (presumably because these transects belong to the first check dams of the  
570 staggered series of the two torrents).

571

## 572 **6. CONCLUSIONS**

573

574 The study has evaluated the physical (morphology, hydrology, sediment) and vegetation  
575 characteristics in transects around check dams (upstream and downstream) and far from the direct  
576 influence of the structures (control transects). Compared to these latter zones, check dams were  
577 found to influence significantly unit discharge, surface and subsurface sediments (both upstream  
578 and downstream), channel shape and transverse distribution of riparian vegetation (upstream) as  
579 well as cover and structure of riparian complexes (downstream). The actions of the control  
580 structures on torrent longitudinal slope and biodiversity of vegetation were less significant. The  
581 differences on bed profile slope were significant only between upstream and downstream transects.  
582 The results of the Agglomerative Hierarchical Cluster analysis confirmed the substantial similarity  
583 between upstream and control transects, thus highlighting that the construction of check dams,



584 needed to mitigate the hydro-geological risks, has not strongly influenced the torrent functioning  
585 and ecology as it was before check dam installation.

586 When the cover, structure and transverse variability of riparian vegetation were regressed on  
587 morphological, hydraulic and sedimentary variations of channel characteristics induced by check  
588 dams, high coefficients of correlations were found for the majority of the linear regressions. This  
589 means that direct, simple and quantitative linkages between torrent hydraulics, geomorphology and  
590 vegetation characteristics exist in the analysed headwaters; these relationships among physical  
591 adjustments of channels and most of the resulting characteristics of the riparian vegetation are  
592 specific for the transect locations with respect of check dams. Conversely the biodiversity of the  
593 riparian vegetation basically eludes any quantitative relations with the physical and other vegetal  
594 characteristics of the torrent transects.

595 Overall, the quantitative approach of this analysis helps a better comprehension of physical  
596 processes and vegetation response in very constrained headwater locations of the Mediterranean  
597 torrents.

598

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739

740 **TABLES**

741

742 Table 1 – Watershed and headwater main characteristics of Allaro, Torbido di Gioiosa, Gallico and

743 Sant'Agata torrents (Calabria, Southern Italy).

744

| <b>Characteristics</b>                                        | <b>Allaro<br/>(A)</b> | <b>Torbido di<br/>Gioiosa<br/>(TG)</b> | <b>S. Agata<br/>(SA)</b> | <b>Gallico<br/>(G)</b> |
|---------------------------------------------------------------|-----------------------|----------------------------------------|--------------------------|------------------------|
| <b>Watersheds <sup>(a)</sup></b>                              |                       |                                        |                          |                        |
| <i>Area (km<sup>2</sup>)</i>                                  | 132.2                 | 160.1                                  | 61.0                     | 55.5                   |
| <i>Mean watershed slope (%)</i>                               | 20                    | 22                                     | 29                       | 26                     |
| <i>Length of main stream (km)</i>                             | 21                    | 17                                     | 24                       | 26                     |
| <i>Mean slope of main stream (%)</i>                          | 5.5                   | 7                                      | 6.7                      | 7.2                    |
| <i>Total number of check-dams</i>                             | 44                    | 196                                    | 130                      | 107                    |
| <b>Headwaters</b>                                             |                       |                                        |                          |                        |
| <i>Climate</i>                                                |                       |                                        |                          |                        |
| <i>Average annual air temperature<br/>(°C) <sup>(b)</sup></i> | 10.7±0.6              | 11.2±0.9                               | 11.1±0.9                 | 9.9±0.8                |
| <i>Average annual rainfall depth (mm)<br/><sup>(c)</sup></i>  | 1780±550              | 1775±50                                | 1351±50                  | 1438±50                |
| <i>Morphology</i>                                             |                       |                                        |                          |                        |
| <i>Area (km<sup>2</sup>)</i>                                  | 47                    | 10                                     | 22                       | 26                     |
| <i>Maximum altitude (m.a.s.l.)</i>                            | 1395                  | 1215                                   | 1580                     | 1730                   |
| <i>Minimum altitude (m.a.s.l.)</i>                            | 1110                  | 850                                    | 910                      | 875                    |
| <i>Length of main stream (km)</i>                             | 5.6                   | 3.7                                    | 7.0                      | 4.5                    |



| <i>Land use</i>                     |                                    |                              |                                              |                          |
|-------------------------------------|------------------------------------|------------------------------|----------------------------------------------|--------------------------|
| <i>Main land use<sup>(d)</sup></i>  | Broad lived forest                 | Broad lived forest (Oakwood) | Mixed forest (Chestnut)                      | Mixed forest (Chestnut)  |
| <i>Main aspect of vegetation</i>    | Woodland                           |                              |                                              |                          |
| <i>Main vegetation association</i>  | <i>Alnetum glutinoso cordateae</i> |                              |                                              | <i>Populetalia albae</i> |
| <i>Soil</i>                         |                                    |                              |                                              |                          |
| <i>Main texture<sup>(e)</sup></i>   | Sandy loam                         |                              |                                              |                          |
| <i>Main type<sup>(e)</sup></i>      | Humic Dystrudept                   |                              |                                              |                          |
| <i>Main lithology<sup>(f)</sup></i> | Granites and granodiorites         |                              | Micaschist, paragneiss, phyllite and marbles |                          |

745

<sup>a</sup> Estimated from 1:25000 scale maps

746

<sup>b</sup> Estimated from the relationships  $T_m = 18.294 - 0.007A$  for the Ionian coast e  $T_m = 16.913 - 0.006A$  from the Thyrrenian coast, both developed using gauged data within the basins; where:  $T_m$  = Mean annual temperature in °C; A = Altitude in m (average  $\pm$  one standard deviation)

747

748

749

<sup>c</sup> Estimated by using the isohyetal method (average  $\pm$  one standard deviation)

750

<sup>d</sup> Corine Land Cover (2012)

751

<sup>e</sup> USDA soil taxonomy classification (1975)

752

<sup>f</sup> Geological Map of Italy scale 1:50000 (1976)

753 Table 2 – Physical and vegetation indicators adopted in this study together with their significance and survey/calculation methods.

754

| Effect     |              | Indicator                        | Significance                         | Range of variability | Survey/calculation method                                              |
|------------|--------------|----------------------------------|--------------------------------------|----------------------|------------------------------------------------------------------------|
| Physical   | Morphology   | w/d                              | Cross section shape                  | 0 ÷ ∞                | Field topographic survey/ratio between channel width and maximum depth |
|            |              | LS                               | Longitudinal slope                   |                      |                                                                        |
|            | Hydraulic    | q                                | Flow regime                          |                      | Field survey/Manning's equation                                        |
|            | Sediment     | SD <sub>50</sub>                 | Surface sediment size                |                      | Sediment grain size measurement/Adams (1979)                           |
|            |              | SSF                              | Finer sub-surface sediment content   | 0 ÷ 100%             | Laboratory sieving/-                                                   |
| Vegetation | Development  | GCC                              | Extension                            | 0 ÷ ∞                | Field survey/Bombino et al. (2006)                                     |
|            |              | WCH                              | Structure                            |                      |                                                                        |
|            |              | CV <sub>GCC</sub>                | Transversal variability of extension |                      |                                                                        |
|            |              | CV <sub>WCH</sub>                | Transversal variability of structure |                      |                                                                        |
|            | Biodiversity | H <sub>0</sub>                   | Species richness                     |                      | Field survey/Hill (1973)                                               |
|            |              | H <sub>2</sub>                   | Relative abundance                   |                      |                                                                        |
|            |              | N <sub>SA</sub> /N <sub>SN</sub> | Degree of integrity                  |                      |                                                                        |

755

756 Table 3 – Statistical significance of differences (performed by Kruskal-Wallis test) in physical and  
 757 vegetation indicators (measured in transects around 20 check dams) in the mountain reaches of  
 758 Allaro, Torbido di Gioiosa, Gallico and Sant'Agata torrents (Calabria, Southern Italy).

759

| Parameter                            | Transect group |            |            |
|--------------------------------------|----------------|------------|------------|
|                                      | <i>U/C</i>     | <i>D/C</i> | <i>U/D</i> |
| <i>w/d</i>                           | **             | *          | **         |
| <i>LS</i>                            | *              | *          | **         |
| <i>q</i>                             | **             | **         | **         |
| <i>SD<sub>50</sub></i>               | **             | **         | **         |
| <i>SSF</i>                           | **             | **         | **         |
| <i>GCC</i>                           | *              | **         | **         |
| <i>WCH</i>                           | *              | **         | **         |
| <i>CV<sub>GCC</sub></i>              | **             | *          | **         |
| <i>CV<sub>WCH</sub></i>              | **             | *          | **         |
| <i>H<sub>0</sub></i>                 | n.s.           | n.s.       | n.s.       |
| <i>H<sub>2</sub></i>                 | n.s.           | n.s.       | n.s.       |
| <i>N<sub>SA</sub>/N<sub>SN</sub></i> | n.s.           | **         | **         |

760 Notes: U = upstream; D = downstream; C = control; \*\* = significant (at  $p < 0.01$ ); \* = significant (at  $p < 0.05$ ); n.s. =  
 761 not significant.

762 Table 4 – Pearson's correlation matrix between couples of physical and vegetation indicators (measured in transects around 20 check dams) in the  
 763 mountain reaches of Allaro, Torbido di Gioiosa, Gallico and Sant'Agata torrents (Calabria, Southern Italy).

764

| Indicators |              |                                  | Physical         |        |           |                  |        | Vegetation  |        |                   |                   |                |                |                                  |
|------------|--------------|----------------------------------|------------------|--------|-----------|------------------|--------|-------------|--------|-------------------|-------------------|----------------|----------------|----------------------------------|
|            |              |                                  | Morphology       |        | Hydraulic | Sediment         |        | Development |        |                   |                   | Biodiversity   |                |                                  |
|            |              |                                  | w/d              | LS     | q         | SD <sub>50</sub> | SSF    | GCC         | WCH    | CV <sub>GCC</sub> | CV <sub>WCH</sub> | H <sub>0</sub> | H <sub>2</sub> | N <sub>SA</sub> /N <sub>SN</sub> |
| Physical   | Morphology   | w/d                              | 1                |        |           |                  |        |             |        |                   |                   |                |                |                                  |
|            |              | LS                               | -0.428           | 1      |           |                  |        |             |        |                   |                   |                |                |                                  |
|            | Hydraulic    | q                                | -0.729           | 0.666  | 1         |                  |        |             |        |                   |                   |                |                |                                  |
|            |              | Sediment                         | SD <sub>50</sub> | -0.518 | 0.526     | 0.775            | 1      |             |        |                   |                   |                |                |                                  |
| SSF        | 0.836        |                                  | -0.501           | -0.776 | -0.649    | 1                |        |             |        |                   |                   |                |                |                                  |
| Vegetation | Development  | GCC                              | 0.752            | -0.674 | -0.799    | -0.607           | 0.814  | 1           |        |                   |                   |                |                |                                  |
|            |              | WCH                              | 0.796            | -0.660 | -0.780    | -0.607           | 0.821  | 0.941       | 1      |                   |                   |                |                |                                  |
|            |              | CV <sub>GCC</sub>                | -0.785           | 0.421  | 0.530     | 0.337            | -0.632 | -0.621      | -0.652 | 1                 |                   |                |                |                                  |
|            |              | CV <sub>WCH</sub>                | -0.858           | 0.542  | 0.784     | 0.573            | -0.814 | -0.748      | -0.763 | 0.837             | 1                 |                |                |                                  |
|            | Biodiversity | H <sub>0</sub>                   | 0.295            | -0.097 | -0.342    | -0.372           | 0.322  | 0.237       | 0.260  | -0.204            | -0.250            | 1              |                |                                  |
|            |              | H <sub>2</sub>                   | 0.150            | -0.175 | -0.350    | -0.389           | 0.251  | 0.213       | 0.161  | -0.116            | -0.200            | 0.795          | 1              |                                  |
|            |              | N <sub>SA</sub> /N <sub>SN</sub> | 0.403            | -0.178 | -0.578    | -0.565           | 0.523  | 0.400       | 0.366  | -0.215            | -0.419            | 0.635          | 0.647          | 1                                |

765 Note: acronyms of indicators are reported in Table 2; Pearson's coefficients are reported: in red, when they are not significant (at p < 0.05); in yellow, when higher than 0.50 and  
 766 significant (at p < 0.05); in green, when higher than 0.75 and significant (at p < 0.05).

767 **FIGURES**

768

769 Figure 1 - The locations of the four study watersheds (“A” Allaro, “TG” Torbido di Gioiosa, “G”  
770 Gallico and “SA” Sant’Agata).

771

772 Figure 2 – Schematic cross section of a typical transect in the mountain reaches of the Allaro,  
773 Torbido di Gioiosa, Gallico and Sant'Agata torrents (Calabria, Southern Italy).

774

775 Figure 3 - Box and Whisker plots for physical and vegetation indicators measured at upstream (U),  
776 downstream (D) and control (C) transects around 20 check dams in the mountain reaches of the  
777 Allaro, Torbido di Gioiosa, Gallico and Sant'Agata torrents (Calabria, Southern Italy).

778

779 Note: Different letters indicate significant differences among groups of transects (at  $p < 0.05$  of Kruskal-Wallis test)

780

781 Figure 4 - Dendrogram provided by Agglomerative Hierarchical Cluster (AHC) analysis applied to  
782 the physical and vegetation indicators measured in upstream (U), downstream (D) and control (C)  
783 transects around 20 check dams in the mountain reaches of Allaro (A), Torbido di Gioiosa (TG),  
784 Gallico (G) and Sant'Agata (SA) torrents (Calabria, Southern Italy).

785

786 Figure 5 - Scheme of the channel and vegetation dynamics of Mediterranean semi-arid headwaters  
787 under natural conditions (a) and after check dam installation (b).

788

789 Legend

790  $w/d$ : width/depth ratio;  $q$  specific discharge; LS: channel longitudinal slope;  $SD_{50}$ : surface sediment median diameter;

791 SSF: finer sub-surface sediment content; GCC: Global Canopy Cover; WCH: Weighted Canopy Height;  $CV_{GCC}$ ,

792  $CV_{WCH}$ : Coefficients of Variation of GCC and WCH;  $H_0$ :  $\alpha$ -diversity ( $\alpha = 0$ );  $H_2$ :  $\alpha$ -diversity ( $\alpha = 2$ );  $N_{SA}/N_{SN}$ : ratio

793 alien/native species; T = return interval of floods (years).

794

795 a) *The mountain streams of the Mediterranean semi-arid environment are high-energy and hazardous hydro-systems,*  
796 *characterized by small basins with torrential regime and steep slopes. Frequent and intense rainstorms produce high-*  
797 *magnitude flash floods with high erosive power, often causing hydro-geological instability and destruction of human*  
798 *works. Under natural conditions the active channel shape and profile, the bed sediment caliber and distribution as well*  
799 *as the spatial connectivity of structure, habitat, evolution and biodiversity of vegetation are dominated by a state of*  
800 *non-equilibrium associated with fluvial processes and landform dynamics. These features can be measured in transects*  
801 *of the active channel by a set of significant indicators: channel shape (w/d) and longitudinal slope (LS); water flow unit*  
802 *discharge (q); surface sediment size ( $SD_{50}$ ) and finer sub-surface sediment content (SSF); vegetation extent (GCC) and*  
803 *development (WCH); vegetation transverse variability ( $CV_{GCC}$ ,  $CV_{WCH}$ ); vegetation species richness and relative*  
804 *abundance ( $H_0$  and  $H_2$ ), and degree of vegetation integrity ( $N_{SA}/N_{SN}$ ), in order to quantitatively evaluate and understand*  
805 *the channel and vegetation dynamics.*

806

807 b) *In mountain reaches, where natural disturbance plays an important role to maintain discontinuity and diversity of*  
808 *riparian zones, the interaction between hydrological, geomorphological, and ecological processes can be strongly*  
809 *affected by check dams, widely built by local administrations to control and mitigate the hydrological risk over the last*  
810 *70-80 years. The check dams significantly alter ecohydrological and geomorphological dynamics and these changes*  
811 *are evident in transects located immediately upstream and downstream of the check dams compared to the intermediate*  
812 *zone between two check dams (that can be considered as 'control', because they are representative of zones not locally*  
813 *disturbed by check dam and thus reflecting the conditions of the active channel before construction).*

Figure 1  
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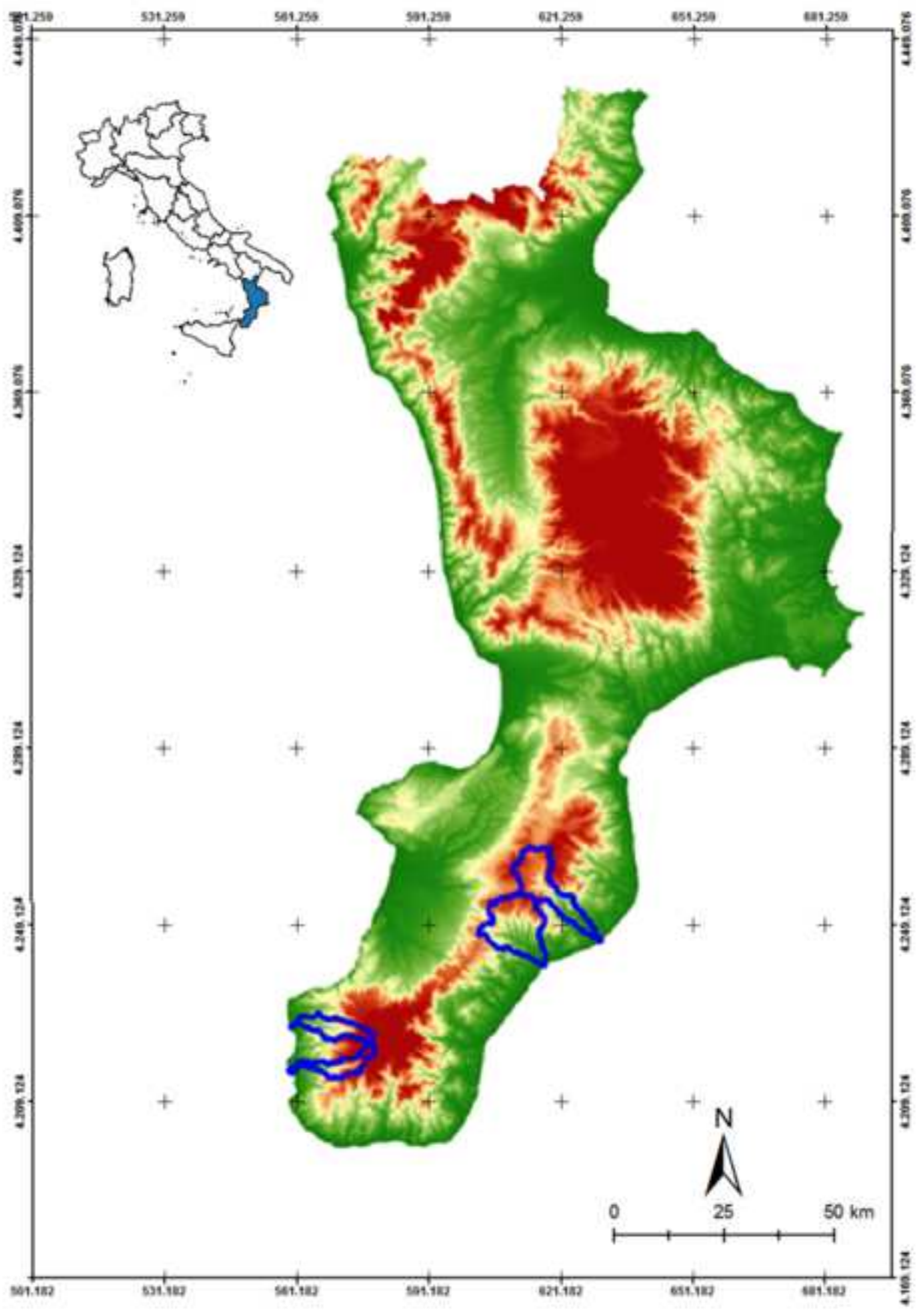
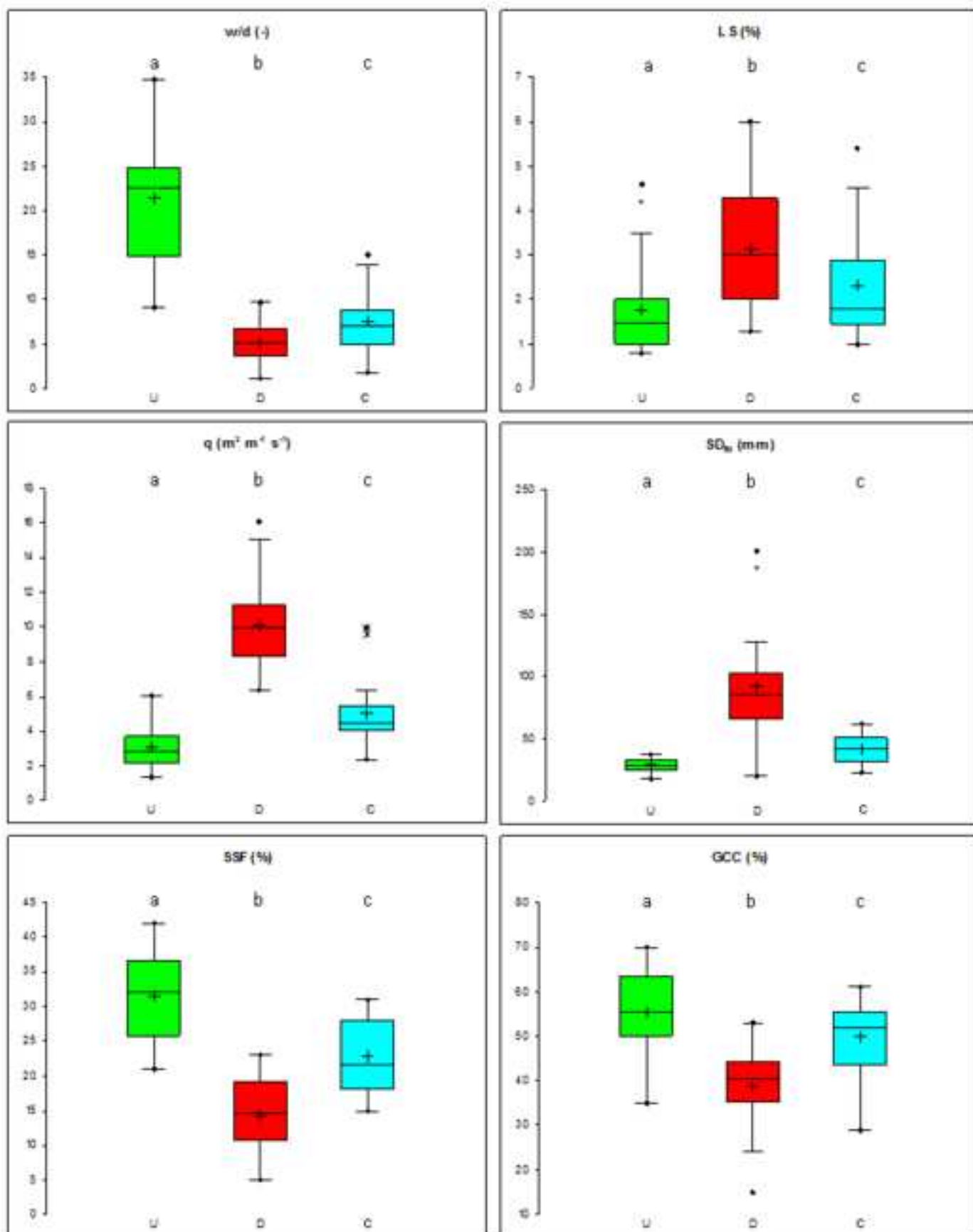


Figure 2  
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Figure 3a  
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**Figure 3b**  
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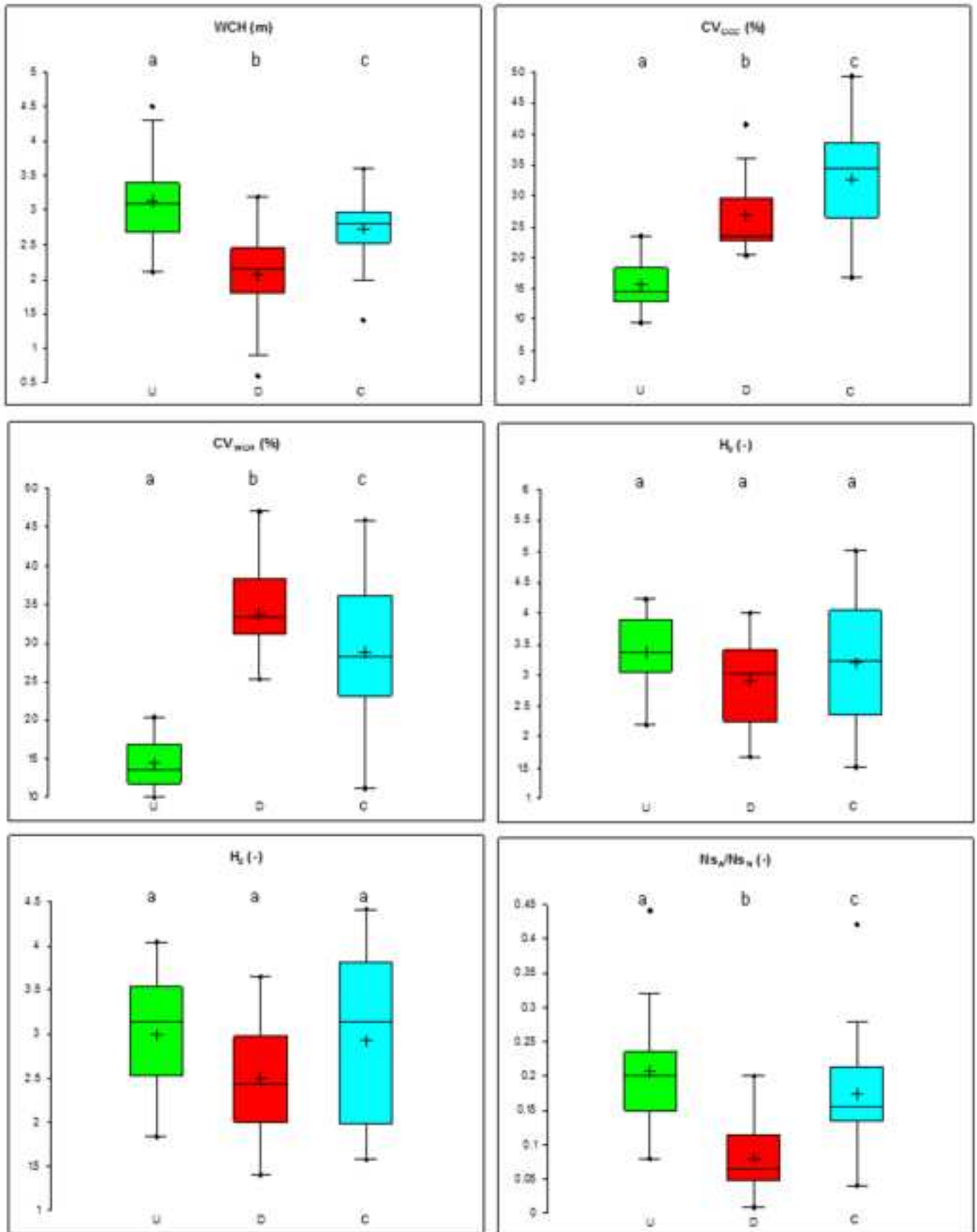


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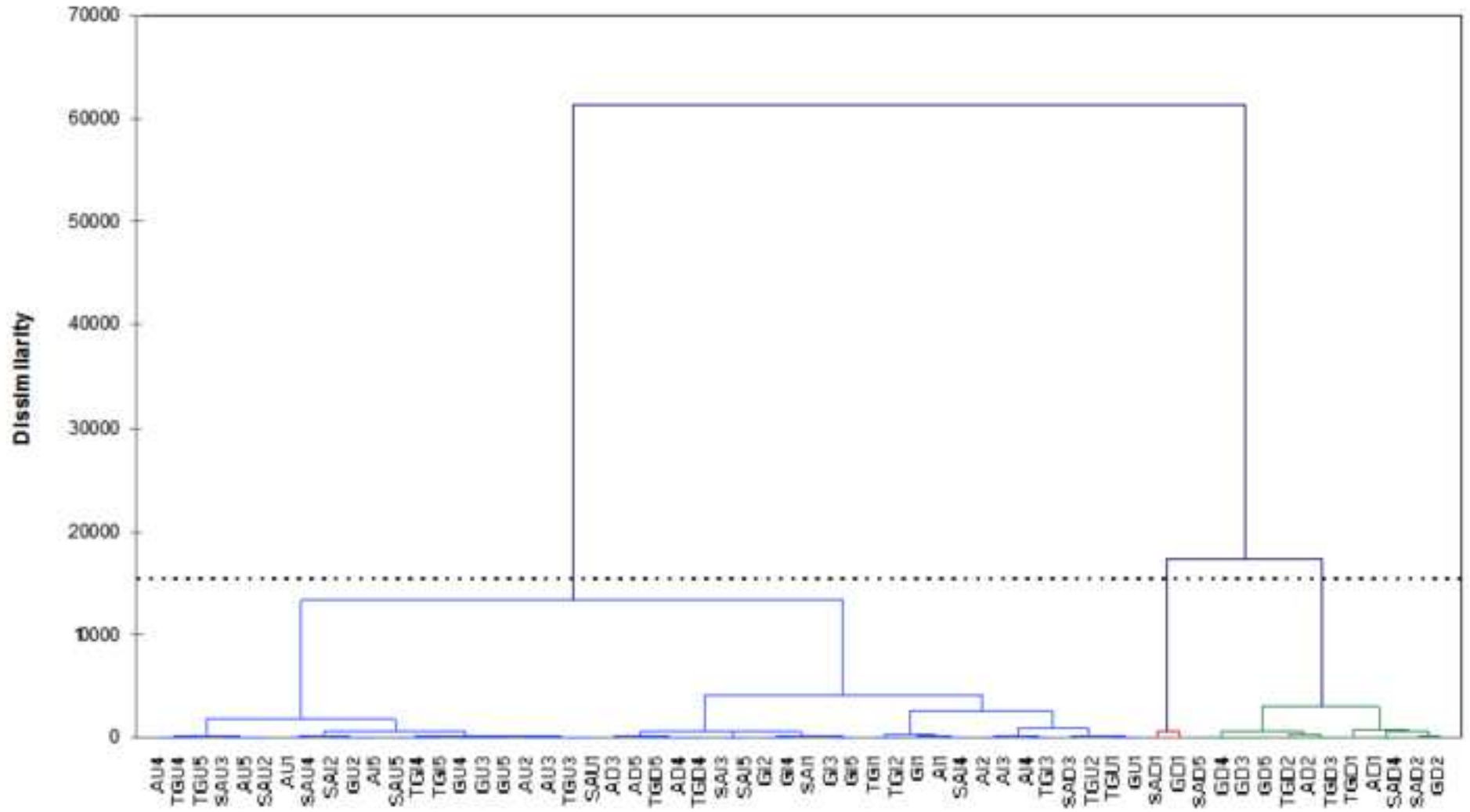
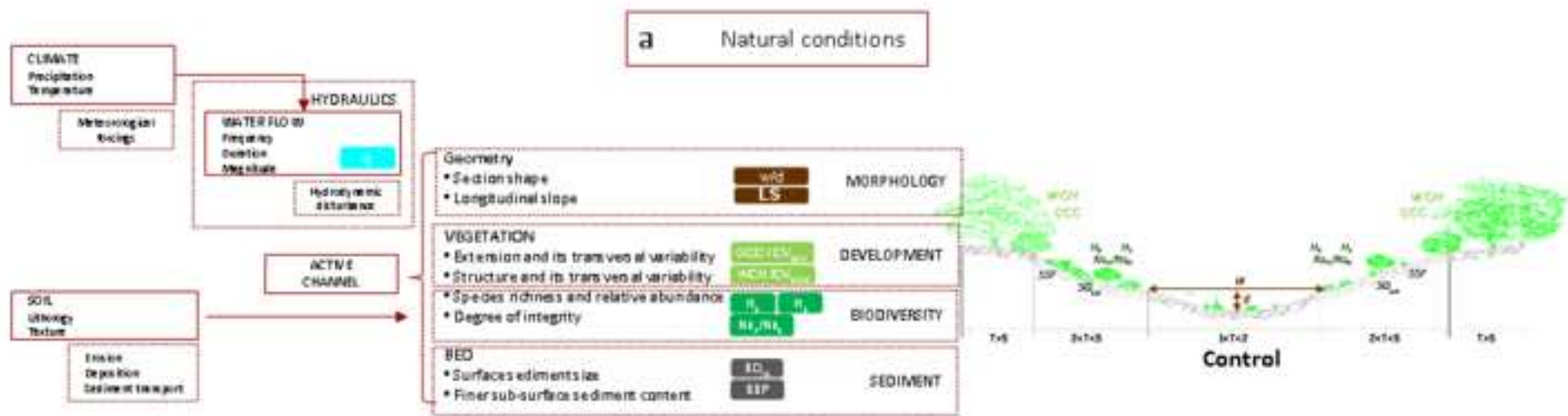


Figure 5  
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**b Post operam (after check dams installation)**

